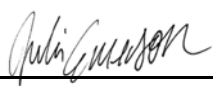


**NEW YORK STATE DEPARTMENT OF HEALTH
DIVISION OF HEALTH PLAN CONTRACTING AND OVERSIGHT
ARTICLES 44 AND 49 STATEMENT OF DEFICIENCIES**

NAME OF MANAGED CARE ORGANIZATION Molina Healthcare of New York Inc.	TYPE OF SURVEY: Focus Survey: Mental Health Parity and Addiction Equity Act Testing of Phase III Workbooks
STREET ADDRESS, CITY, STATE, ZIP CODE 5232 Witz Drive North Syracuse, NY 13212	SURVEY DATES: March 11, 2020 – November 30, 2020 Survey ID #: -1798357006

NOTE: The following list of deficiencies was identified by Health Department representatives during an Article 44 and/or Article 49 operational or focused survey of your Managed Care Organization (MCO). Correction of these deficiencies is required in order to bring your MCO into compliance with Article 44 and/or 49 of the New York State Public Health Law and the New York State Official Compilation of Codes, Rules, and Regulations (10NYCRR). In the column headed Provider Plan of Correction, describe the Plan of Corrective Action and anticipated date of corrections. The Plan of Correction should be returned within 15 business days.

Deficiencies	Plan of Correction with Timetable
<p>10 CRR-NY 98-1.16 Disclosure and filing. (h) In the event an MCO does not provide substantially complete reports or other information required under this Subpart by the due date, or provide requested information within 30 days of any written request for a specific analysis or report by the superintendent or commissioner, the superintendent or commissioner is authorized to levy a civil penalty, after notice and hearing, pursuant to section 12 of the Public Health Law or sections 307 and 308 of the Insurance Law.</p> <p>Deficiency:</p> <p>Based on the review of Molina Healthcare of New York Inc.'s (Molina) Phase III nonquantitative treatment limitation (NQTL) workbook submissions, the MCO failed to provide all required information and comparative analyses demonstrating compliance with the Mental Health Parity and Addiction Equity Act of 2008 (MHPAEA), P.L. 110-343, for 6 of 10 NQTLs examined; retrospective review, outlier review, experimental/investigational determinations, fail first, provider credentialing, and failure to complete.</p> <ul style="list-style-type: none"> Specifically, Molina failed to provide all required information and substantive 	<p>Phase III</p> <ul style="list-style-type: none"> Review of the Noncompliance <ul style="list-style-type: none"> Molina Health of New York, Inc. (MNY) is committed to maintaining an updated Phase III workbook with required information and substantive comparative analyses demonstrating compliance with the MHPAEA. MNY reviewed the report card and citations provided on October 26, 2021. After further review of our initial submission (August 21, 2020), MNY concluded that the NQTL workbook analysis provided for Phase III was completed incorrectly, reflecting that MNY is noncompliant, which may not necessarily be accurate. Methods to Revise and Remediate <ul style="list-style-type: none"> Because the Health Plan's parity status was not documented accurately in our initial submission to the Department, MNY is in the initial stages of our NQTL analysis, and it is on track to be completed by March 31, 2022. MNY will then be able to gauge and report on whether we have areas of non-compliance or are MH Parity-compliant. <ul style="list-style-type: none"> The business owners (outlined below) are responsible for completing their respective workbooks and providing them to Compliance and Government Contracts by April 1, 2022. Compliance and Government Contracts will have an assessment of the workbooks to determine MH Parity compliance by April 15, 2022. Our remediation plan is centered on completing the workbooks in a detailed and accurate fashion. <ul style="list-style-type: none"> If MNY determines to be compliant, the

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Title Compliance Officer	

comparative analyses for retrospective review, outlier review, and experimental/investigational determinations in Steps 2 through 5 in the inpatient, outpatient, emergency care, and prescription drugs benefit classifications. Additionally, the MCO failed to provide all required information and substantive comparative analyses for fail first, provider credentialing, and failure to complete in Steps 1 through 5 in the inpatient, outpatient, emergency care, and prescription drugs in the benefit classification.

Health Plan will prepare for a monitoring state as next steps, rather than corrective action.

- If MNY determines to be noncompliant, the Health Plan will shift into a corrective action state:
 - Remediate any areas of noncompliance by revising or stopping the practice(s) creating disparity. This remediation work will be done consistent with project management discipline, replying upon relevant workbooks to drive and document this work.
 - Educate department leadership and other relevant personnel regarding why the practice is not compliant, to include communication of what is expected to assure compliance.
 - Train staff on the respective change- why it's happening and what their role is going forward to maintain compliance.
 - All education and training will include resources for questions to be answered and concerns to be reported about potential MH Parity noncompliance moving forward.
 - Necessary changes will be affirmed by a business owner, sign off on agreed upon changes will be held by Compliance and Government Contracts.

- **Monitoring the Implementation of the POC**

- MNY Compliance and Government Contracts are responsible for the oversight of this POC
 - Compliance/ Government Contracts will establish a meeting series to follow the respective departments through the analysis phase to completion.
 - Upon complete, Compliance/ Government Contracts will establish a quarterly meeting with the identified business owners to determine if the Phase III workbooks have had any changes made or have proposed changes that need to be discussed prior to implementation.
- MNY Health Care Services, Pharmacy,

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Credentialing and Network/ Contracting are responsible for implementing this POC, including completion of the NQTL analysis, determination of compliance (in conjunction with Compliance and Government Contracts) and then monitoring of any possible changes to the NQTLs as they originally stand.

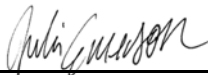
- These departments are responsible for monitoring change(s) to ensure parity and that the NQTL is applied to MH/SUD services comparably and no more stringently than to Med/Surg services. Should need for a change be identified, it is the duty of the responsible parties (outlined below) to notify Compliance and Government Contracts of the change and begin the process to review implications to the NQTL.

- Health Care Services- retrospective review, outlier review, experimental/ investigational, fail first, certification requirements, unlicensed provider/ staff requirements, exclusions for court ordered treatments, failure to complete
- Pharmacy- retrospective review, outlier review, experimental/ investigational, fail first, certification requirements, unlicensed provider/ staff requirements, exclusions for court ordered treatments, failure to complete
- Network/ Contracting- UCR rate determinations
- Credentialing- provider credentialing

- **Responsible Parties**

- o Compliance- Julie Emerson- Compliance Officer
- o Government Contracts- Jennifer Young- AVP of Government Contracts
- o Health Care Services- Dr. D'Angelo- Chief Medical Officer, Joanne Scilla- VP Health Care Services, Stacy Marko- Director of Health Care Services, Kristine Knoll- BH Clinical Programs Manager
- o Pharmacy- Irina Venshtain- Manager of Health Plan Pharmacy Services
- o Credentialing- Kari Hough- Director of Credentialing
- o Network- Gregg Gordon- VP of Provider Network Mgmt. and Operations

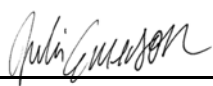
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	<ul style="list-style-type: none"> ○ Contracting- Mario Macias- Director of Contracting - Date Certain <ul style="list-style-type: none"> ○ Complete NQTL analysis for Phase III- March 31, 2022 ○ Determine parity compliance and next steps- April 15, 2022 <ul style="list-style-type: none"> ▪ Additional milestones to be created based on results of compliance assessment. ○ Business owners maintain ongoing responsibility to monitor their respective NQTLs for changes and report such to Compliance and Government Contracts- March 31, 2022 ○ By April 15, 2022 MNY will have fully implemented the Plan of Correction outlined in this document, this includes maintenance of required information and comparative analyses. ○ Compliance to include Phase III monitoring into the already established quarterly meetings with business owners to evaluate any impacts to NQTLs- no later than June 30, 2022
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