



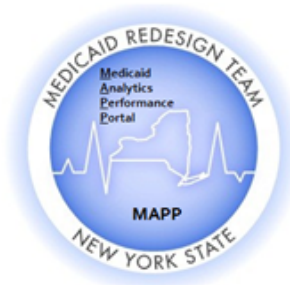
**New York State Department Of Health  
Delivery System Reform Incentive Payment Project**

**DSRIP Implementation Plan Project**

**NYU Lutheran Medical Center (PPS ID:32)**

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**NYU Lutheran Medical Center (PPS ID:32)**

**Quarterly Report - Implementation Plan for NYU Lutheran Medical Center**










Year and Quarter: DY2, Q1

Quarterly Report Status:  Adjudicated

**Status By Section**

Section	Description	Status
<a href="#">Section 01</a>	Budget	 Completed
<a href="#">Section 02</a>	Governance	 Completed
<a href="#">Section 03</a>	Financial Stability	 Completed
<a href="#">Section 04</a>	Cultural Competency & Health Literacy	 Completed
<a href="#">Section 05</a>	IT Systems and Processes	 Completed
<a href="#">Section 06</a>	Performance Reporting	 Completed
<a href="#">Section 07</a>	Practitioner Engagement	 Completed
<a href="#">Section 08</a>	Population Health Management	 Completed
<a href="#">Section 09</a>	Clinical Integration	 Completed
<a href="#">Section 10</a>	General Project Reporting	 Completed
<a href="#">Section 11</a>	Workforce	 Completed

**Status By Project**

Project ID	Project Title	Status
<a href="#">2.a.i</a>	Create Integrated Delivery Systems that are focused on Evidence-Based Medicine / Population Health Management	 Completed
<a href="#">2.b.iii</a>	ED care triage for at-risk populations	 Completed
<a href="#">2.b.ix</a>	Implementation of observational programs in hospitals	 Completed
<a href="#">2.c.i</a>	Development of community-based health navigation services	 Completed
<a href="#">3.a.i</a>	Integration of primary care and behavioral health services	 Completed
<a href="#">3.c.i</a>	Evidence-based strategies for disease management in high risk/affected populations (adults only)	 Completed
<a href="#">3.d.ii</a>	Expansion of asthma home-based self-management program	 Completed
<a href="#">4.b.i</a>	Promote tobacco use cessation, especially among low SES populations and those with poor mental health.	 Completed
<a href="#">4.c.ii</a>	Increase early access to, and retention in, HIV care	 Completed



**New York State Department Of Health  
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**NYU Lutheran Medical Center (PPS ID:32)**

**Section 01 – Budget**

IPQR Module 1.1 - PPS Budget - Waiver Revenue (Baseline) - READ ONLY

**Instructions :**

READ ONLY - The Baseline Budget table was left for ease of reference during reporting.

Budget Items	DY1 (\$)	DY2 (\$)	DY3 (\$)	DY4 (\$)	DY5 (\$)	Total (\$)
<b>Waiver Revenue</b>	10,948,848	11,667,873	18,868,415	16,707,909	10,948,848	69,141,892
<b>Cost of Project Implementation &amp; Administration</b>	<b>7,554,705</b>	<b>5,133,864</b>	<b>5,283,156</b>	<b>3,090,963</b>	<b>2,737,212</b>	<b>23,799,900</b>
Implementation	0	0	0	0	0	0
Administration	0	0	0	0	0	0
<b>Revenue Loss</b>	<b>0</b>	<b>2,916,968</b>	<b>3,773,683</b>	<b>4,594,675</b>	<b>2,737,212</b>	<b>14,022,538</b>
<b>Internal PPS Provider Bonus Payments</b>	<b>0</b>	<b>1,750,181</b>	<b>6,603,945</b>	<b>6,683,164</b>	<b>4,489,028</b>	<b>19,526,318</b>
<b>Cost of non-covered services</b>	<b>656,931</b>	<b>700,073</b>	<b>1,320,789</b>	<b>1,169,553</b>	<b>985,396</b>	<b>4,832,742</b>
<b>Other</b>	<b>2,737,212</b>	<b>1,166,787</b>	<b>1,886,842</b>	<b>1,169,554</b>	<b>0</b>	<b>6,960,395</b>
Contingency Fund	2,737,212	1,166,787	1,886,842	1,169,554	0	6,960,395
<b>Total Expenditures</b>	<b>10,948,848</b>	<b>11,667,873</b>	<b>18,868,415</b>	<b>16,707,909</b>	<b>10,948,848</b>	<b>69,141,893</b>
<b>Undistributed Revenue</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

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**NYU Lutheran Medical Center (PPS ID:32)**

**IPQR Module 1.2 - PPS Budget - Waiver Revenue (Quarterly)**

**Instructions :**

Please include updates on waiver revenue budget items for this quarterly reporting period. Reported actual spending will be compared to baseline projections and deviations will be evaluated. Any explanations regarding deviations from baseline projections must be included within the textbox, not as narrative within uploaded documentation.

**Benchmarks**

Waiver Revenue DY2	Total Waiver Revenue	Undistributed Revenue YTD	Undistributed Revenue Total
11,667,873	69,141,892	10,154,636	62,253,913

Budget Items	DY2 Q1 Quarterly Amount - Update	Cumulative Spending to Date (DY1 - DY5)	Remaining Balance in Current DY	Percent Remaining in Current DY	Cumulative Remaining Balance	Percent Remaining of Cumulative Balance
<b>Cost of Project Implementation &amp; Administration</b>	<b>467,569</b>	<b>4,082,311</b>	<b>4,666,295</b>	<b>90.89%</b>	<b>19,717,589</b>	<b>82.85%</b>
Implementation	279,748					
Administration	187,821					
<b>Revenue Loss</b>	<b>0</b>	<b>0</b>	<b>2,916,968</b>	<b>100.00%</b>	<b>14,022,538</b>	<b>100.00%</b>
<b>Internal PPS Provider Bonus Payments</b>	<b>0</b>	<b>0</b>	<b>1,750,181</b>	<b>100.00%</b>	<b>19,526,318</b>	<b>100.00%</b>
<b>Cost of non-covered services</b>	<b>0</b>	<b>0</b>	<b>700,073</b>	<b>100.00%</b>	<b>4,832,742</b>	<b>100.00%</b>
<b>Other</b>	<b>1,045,668</b>	<b>2,805,668</b>	<b>121,119</b>	<b>10.38%</b>	<b>4,154,727</b>	<b>59.69%</b>
Contingency Fund	0					
Partner Payments	1,045,668					
<b>Total Expenditures</b>	<b>1,513,237</b>	<b>6,887,979</b>				

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**Narrative Text :**

For PPS to provide additional context regarding progress and/or updates to IA.





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**NYU Lutheran Medical Center (PPS ID:32)**

**☑ IPQR Module 1.3 - PPS Flow of Funds - Waiver Revenue (Baseline) - READ ONLY**

**Instructions :**

READ ONLY - The Baseline Funds Flow table was left for ease of reference during reporting.

Funds Flow Items	DY1 (\$)	DY2 (\$)	DY3 (\$)	DY4 (\$)	DY5 (\$)	Total (\$)
<b>Waiver Revenue</b>	10,948,848	11,667,873	18,868,415	16,707,909	10,948,848	69,141,892
Practitioner - Primary Care Provider (PCP)	1,888,676	1,370,975	1,650,986	1,106,899	908,755	6,926,291
Practitioner - Non-Primary Care Provider (PCP)	0	52,506	198,119	200,495	134,671	585,791
Hospital	1,057,659	3,664,879	4,985,035	5,465,992	3,432,464	18,606,029
Clinic	1,510,941	1,201,791	1,717,026	1,286,509	996,345	6,712,612
Case Management / Health Home	656,931	700,072	1,320,789	1,169,554	985,396	4,832,742
Mental Health	377,735	431,711	924,552	822,865	585,763	3,142,626
Substance Abuse	0	70,007	264,158	267,326	179,561	781,052
Nursing Home	0	320,867	849,079	898,050	585,763	2,653,759
Pharmacy	0	0	0	0	0	0
Hospice	0	52,505	198,118	200,495	134,671	585,789
Community Based Organizations	226,641	241,525	488,692	426,887	306,568	1,690,313
All Other	0	0	0	0	0	0
Uncategorized						0
PPS PMO	0	0	0	0	0	0
<b>Total Funds Distributed</b>	<b>5,718,583</b>	<b>8,106,838</b>	<b>12,596,554</b>	<b>11,845,072</b>	<b>8,249,957</b>	<b>46,517,004</b>
<b>Undistributed Revenue</b>	<b>5,230,264</b>	<b>3,561,035</b>	<b>6,271,861</b>	<b>4,862,837</b>	<b>2,698,890</b>	<b>22,624,888</b>

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Delivery System Reform Incentive Payment Project**

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**IPQR Module 1.4 - PPS Flow of Funds - Waiver Revenue (Quarterly)**

**Instructions :**

Please include updates on waiver revenue flow of funds for this quarterly reporting period by importing the PIT file and filling out the PPS PMO line manually. Reported actual fund distribution will be compared to baseline projections and deviations will be evaluated. Any explanations regarding deviations from baseline projections must be included within the textbox, not as narrative within uploaded documentation.

**Benchmarks**

Waiver Revenue DY2	Total Waiver Revenue	Undistributed Revenue YTD	Undistributed Revenue Total
11,667,873.00	69,141,892.00	11,447,199.00	68,498,275.00

Funds Flow Items	DY2 Q1 Quarterly Amount - Update	Percentage of Safety Net Funds - DY2 Q1 Quarterly Amount - Update	Safety Net Funds Flowed YTD	Safety Net Funds Percentage YTD	Total Amount Disbursed to Date (DY1-DY5)	Percent Spent By Project										DY Adjusted Difference	Cumulative Difference	
						Projects Selected By PPS												
						2.a.i	2.b.iii	2.b.ix	2.c.i	3.a.i	3.c.i	3.d.ii	4.b.i	4.c.ii				
Practitioner - Primary Care Provider (PCP)	0	0.00%	0	0.00%	0	0	0	0	0	0	0	0	0	0	0	0	1,370,975	6,926,291
Practitioner - Non-Primary Care Provider (PCP)	0	0.00%	0	0.00%	0	0	0	0	0	0	0	0	0	0	0	0	52,506	585,791
Hospital	0	0.00%	0	0.00%	0	0	0	0	0	0	0	0	0	0	0	0	3,664,879	18,606,029
Clinic	0	0.00%	0	0.00%	300,000	0	0	0	0	0	0	0	0	0	0	0	1,201,791	6,412,612
Case Management / Health Home	0	0.00%	0	0.00%	0	0	0	0	0	0	0	0	0	0	0	0	700,072	4,832,742
Mental Health	0	0.00%	0	0.00%	0	0	0	0	0	0	0	0	0	0	0	0	431,711	3,142,626
Substance Abuse	0	0.00%	0	0.00%	0	0	0	0	0	0	0	0	0	0	0	0	70,007	781,052
Nursing Home	0	0.00%	0	0.00%	0	0	0	0	0	0	0	0	0	0	0	0	320,867	2,653,759
Pharmacy	0	0.00%	0	0.00%	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Hospice	0	0.00%	0	0.00%	0	0	0	0	0	0	0	0	0	0	0	0	52,505	585,789
Community Based Organizations	0	0.00%	0	0.00%	0	0	0	0	0	0	0	0	0	0	0	0	241,525	1,690,313
All Other	0	0.00%	0	0.00%	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Uncategorized	0	0.00%	0	0.00%	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Additional Providers	0	0.00%	0	0.00%	0													
PPS PMO	220,674	100.00%	220,674	100.00%	343,617												0	0
<b>Total</b>	<b>220,674</b>	<b>100.00%</b>	<b>220,674</b>	<b>100.00%</b>	<b>643,617</b>													



New York State Department Of Health  
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**Narrative Text :**

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**✔ IPQR Module 1.5 - Prescribed Milestones**

**Instructions :**

Please provide updates to baseline target dates and work breakdown tasks with target dates for required milestones. For milestones that are due within the reporting period, documentation is required to provide evidence of milestone achievement. Any explanations regarding altered or missed target commitments must be included within the textbox, not as narrative within uploaded documentation.

Milestone/Task Name	Status	Description	Original Start Date	Original End Date	Start Date	End Date	Quarter End Date	DSRIP Reporting Year and Quarter	AV
<b>Milestone #1</b> Complete funds flow budget and distribution plan and communicate with network	Completed	Funds Flow Budget and Distribution Plan, signed off by your Finance Committee, including details of your approach to funds flow on a whole-PPS and project-by-project basis; evidence of involvement of provider network in developing funds flow methodology.	04/01/2015	03/31/2016	04/01/2015	03/31/2016	03/31/2016	DY1 Q4	YES
<b>Task Step 1</b>	Completed	Review final PPS attribution and valuation.	04/01/2015	09/30/2015	04/01/2015	09/30/2015	09/30/2015	DY1 Q2	
<b>Task Step 2</b>	Completed	Define PPS baseline funding schedule.	04/01/2015	09/30/2015	04/01/2015	09/30/2015	09/30/2015	DY1 Q2	
<b>Task Step 3</b>	Completed	Define PPS project-specific funding schedule.	04/01/2015	09/30/2015	04/01/2015	09/30/2015	09/30/2015	DY1 Q2	
<b>Task Step 4</b>	Completed	Negotiate and finalize individual funding schedules with PPS partners.	10/01/2015	12/31/2015	10/01/2015	12/31/2015	12/31/2015	DY1 Q3	
<b>Task Step 5</b>	Completed	Create mechanism for generating quarterly reports of earned waiver revenue and partner payments.	10/01/2015	12/31/2015	10/01/2015	12/31/2015	12/31/2015	DY1 Q3	
<b>Task Step 6</b>	Completed	Create processes to review and update PPS budget and flow of funds estimates on a quarterly basis.	10/01/2015	12/31/2015	10/01/2015	12/31/2015	12/31/2015	DY1 Q3	
<b>Task Step 7</b>	Completed	Engage PPS Committees and stakeholders to develop criteria and processes for administering DSRIP internal PPS provider bonus payments and revenue loss funds.	01/01/2016	03/31/2016	01/01/2016	03/31/2016	03/31/2016	DY1 Q4	

**IA Instructions / Quarterly Update**

Milestone Name	IA Instructions	Quarterly Update Description
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New York State Department Of Health  
Delivery System Reform Incentive Payment Project

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NYU Lutheran Medical Center (PPS ID:32)

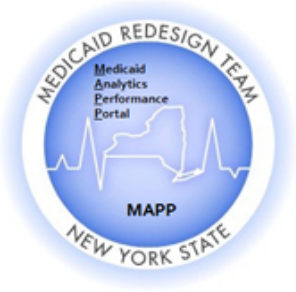
Prescribed Milestones Current File Uploads

Milestone Name	User ID	File Type	File Name	Description	Upload Date
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Prescribed Milestones Narrative Text

Milestone Name	Narrative Text
Complete funds flow budget and distribution plan and communicate with network	





**New York State Department Of Health  
Delivery System Reform Incentive Payment Project**

**DSRIP Implementation Plan Project**

**NYU Lutheran Medical Center (PPS ID:32)**

**IPQR Module 1.6 - PPS Defined Milestones**

**Instructions :**

Please enter and update baseline target dates, as well as work breakdown tasks with target dates for PPS-defined milestones.

Milestone/Task Name	Status	Description	Original Start Date	Original End Date	Start Date	End Date	Quarter End Date	DSRIP Reporting Year and Quarter
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**PPS Defined Milestones Current File Uploads**

Milestone Name	User ID	File Type	File Name	Description	Upload Date
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**PPS Defined Milestones Narrative Text**

Milestone Name	Narrative Text
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**New York State Department Of Health  
 Delivery System Reform Incentive Payment Project  
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**NYU Lutheran Medical Center (PPS ID:32)**

**✓ IPQR Module 1.7 - PPS Budget - Non-Waiver Revenue (Baseline)**

**Instructions :**

This table contains five budget categories for non-waiver revenue baseline budget reporting . Please add rows to this table as necessary in order to identify sub-categories.

Budget Items	DY1 (\$)	DY2 (\$)	DY3 (\$)	DY4 (\$)	DY5 (\$)	Total (\$)
<b>Non-Waiver Revenue</b>	9,240,072	9,240,072	9,240,071	9,240,071	9,240,071	46,200,357
<b>Cost of Project Implementation &amp; Administration</b>	<b>3,633,072</b>	<b>3,325,069</b>	<b>4,917,066</b>	<b>5,585,057</b>	<b>41,013</b>	<b>17,501,277</b>
Administration	1,118,250	1,138,296	1,764,249	2,375,351	41,013	6,437,159
Implementation	2,514,822	2,186,773	3,152,817	3,209,706	0	11,064,118
<b>Revenue Loss</b>	<b>3,800,000</b>	<b>3,800,000</b>	<b>1,900,000</b>	<b>0</b>	<b>0</b>	<b>9,500,000</b>
<b>Internal PPS Provider Bonus Payments</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Cost of non-covered services</b>	<b>1,807,000</b>	<b>1,807,000</b>	<b>1,807,000</b>	<b>1,807,000</b>	<b>1,807,000</b>	<b>9,035,000</b>
<b>Other</b>	<b>0</b>	<b>308,003</b>	<b>616,005</b>	<b>1,848,014</b>	<b>7,392,058</b>	<b>10,164,080</b>
Contingency Fund	0	308,003	616,005	1,848,014	7,392,058	10,164,080
<b>Total Expenditures</b>	<b>9,240,072</b>	<b>9,240,072</b>	<b>9,240,071</b>	<b>9,240,071</b>	<b>9,240,071</b>	<b>46,200,357</b>
<b>Undistributed Revenue</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Current File Uploads**

User ID	File Type	File Name	File Description	Upload Date
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 Delivery System Reform Incentive Payment Project  
 DSRIP Implementation Plan Project**

**NYU Lutheran Medical Center (PPS ID:32)**

**IPQR Module 1.8 - PPS Budget - Non-Waiver Revenue (Quarterly)**

**Instructions :**

Please include updates on non-waiver revenue budget items for this quarterly reporting period. Reported actual spending will be compared to baseline projections and deviations will be evaluated. Any explanations regarding deviations from baseline projections must be included within the textbox, not as narrative within uploaded documentation.

**Benchmarks**

Non-Waiver Revenue DY2	Total Non-Waiver Revenue	Undistributed Non-Waiver Revenue YTD	Undistributed Non-Waiver Revenue Total
9,240,072	46,200,357	9,240,072	46,200,357

Budget Items	DY1 Amount - Update	DY2 Q1 Quarterly Amount - Update	Cumulative Spending to Date (DY1 - DY5)	Remaining Balance in Current DY	Percent Remaining in Current DY	Cumulative Remaining Balance	Percent Remaining of Cumulative Balance
<b>Cost of Project Implementation &amp; Administration</b>	0	0	0	3,325,069	100.00%	17,501,277	100.00%
Administration	0	0					
Implementation	0	0					
<b>Revenue Loss</b>	0	0	0	3,800,000	100.00%	9,500,000	100.00%
<b>Internal PPS Provider Bonus Payments</b>	0	0	0	0		0	
<b>Cost of non-covered services</b>	0	0	0	1,807,000	100.00%	9,035,000	100.00%
<b>Other</b>	0	0	0	308,003	100.00%	10,164,080	100.00%
Contingency Fund	0	0					
<b>Total Expenditures</b>	0	0	0				

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**IPQR Module 1.9 - PPS Flow of Funds - Non-Waiver Revenue (Baseline)**

**Instructions :**

In the table below, please detail your PPS's projected flow of non-waiver funds by provider type.

Funds Flow Items	DY1 (\$)	DY2 (\$)	DY3 (\$)	DY4 (\$)	DY5 (\$)	Total (\$)
<b>Non-Waiver Revenue</b>	9,240,072	9,240,072	9,240,071	9,240,071	9,240,071	46,200,357
Practitioner - Primary Care Provider (PCP)	0	0	0	0	0	0
Practitioner - Non-Primary Care Provider (PCP)	0	0	0	0	0	0
Hospital	3,800,000	3,800,000	1,900,000	0	0	9,500,000
Clinic	0	0	0	0	0	0
Case Management / Health Home	0	0	0	0	0	0
Mental Health	0	0	0	0	0	0
Substance Abuse	0	0	0	0	0	0
Nursing Home	0	0	0	0	0	0
Pharmacy	0	0	0	0	0	0
Hospice	0	0	0	0	0	0
Community Based Organizations	0	0	0	0	0	0
All Other	0	308,003	616,005	1,848,014	7,392,058	10,164,080
Uncategorized	5,440,072	5,132,069	6,724,066	7,392,057	1,848,013	26,536,277
PPS PMO	0	0	0	0	0	0
<b>Total Funds Distributed</b>	<b>9,240,072</b>	<b>9,240,072</b>	<b>9,240,071</b>	<b>9,240,071</b>	<b>9,240,071</b>	<b>46,200,357</b>
<b>Undistributed Non-Waiver Revenue</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Current File Uploads**

User ID	File Type	File Name	File Description	Upload Date
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**☑ IPQR Module 1.10 - PPS Flow of Funds - Non-Waiver Revenue (Quarterly)**

**Instructions :**

Please include updates on flow of funds for this quarterly reporting period by importing the PIT file and filling out the PPS PMO line manually. Reported actual fund distribution will be compared to baseline projections and deviations will be evaluated.

Any explanations regarding deviations from baseline projections must be included within the textbox, not as narrative within uploaded documentation.

**Benchmarks**

<b>Non-Waiver Revenue DY2</b>	<b>Total Non-Waiver Revenue</b>	<b>Undistributed Non-Waiver Revenue YTD</b>	<b>Undistributed Non-Waiver Revenue Total</b>
9,240,072.00	46,200,357.00	9,240,072.00	46,200,357.00

<b>Funds Flow Items</b>	<b>DY1 Amount - Update</b>	<b>DY2 Q1 Quarterly Amount - Update</b>	<b>Percentage of Safety Net Funds - DY2 Q1 Quarterly Amount - Update</b>	<b>Safety Net Funds Flowed YTD</b>	<b>Safety Net Funds Percentage YTD</b>	<b>Total Amount Disbursed to Date (DY1-DY5)</b>	<b>DY Adjusted Difference</b>	<b>Cumulative Difference</b>
Practitioner - Primary Care Provider (PCP)	0	0	0.00%	0	0.00%	0	0	0
Practitioner - Non-Primary Care Provider (PCP)	0	0	0.00%	0	0.00%	0	0	0
Hospital	0	0	0.00%	0	0.00%	0	3,800,000	9,500,000
Clinic	0	0	0.00%	0	0.00%	0	0	0
Case Management / Health Home	0	0	0.00%	0	0.00%	0	0	0
Mental Health	0	0	0.00%	0	0.00%	0	0	0
Substance Abuse	0	0	0.00%	0	0.00%	0	0	0
Nursing Home	0	0	0.00%	0	0.00%	0	0	0
Pharmacy	0	0	0.00%	0	0.00%	0	0	0
Hospice	0	0	0.00%	0	0.00%	0	0	0
Community Based Organizations	0	0	0.00%	0	0.00%	0	0	0
All Other	0	0	0.00%	0	0.00%	0	308,003	10,164,080
Uncategorized	0	0	0.00%	0	0.00%	0	5,132,069	26,536,277



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Funds Flow Items	DY1 Amount - Update	DY2 Q1 Quarterly Amount - Update	Percentage of Safety Net Funds - DY2 Q1 Quarterly Amount - Update	Safety Net Funds Flowed YTD	Safety Net Funds Percentage YTD	Total Amount Disbursed to Date (DY1-DY5)	DY Adjusted Difference	Cumulative Difference
Additional Providers	0	0	0.00%	0	0.00%	0		
PPS PMO	0	0	0.00%	0	0.00%	0	0	0
<b>Total</b>	<b>0</b>	<b>0</b>		<b>0</b>		<b>0</b>		

**Current File Uploads**

User ID	File Type	File Name	File Description	Upload Date
kbatchoo	Templates	32_DY2Q1_BDGT_MDL110_TEMPL_Module_1.10_PIT_File_Template_(NYU_Lutheran_PPS)_5503.xlsx	Module 1.10 PIT File Template (NYU Lutheran PPS)	08/04/2016 05:42 PM

**Narrative Text :**

**SUBMITTED UNDER REMEDIATION**

Previous guidance during the DY2, Q1 reporting period instructed PPSs to enter a value of "1" into the DY2, Q1 Quarterly Update-Amount column in this module for the PPS PMO line. This was due to a MAPP restriction that did not allow an entry of "0." As a follow up to the guidance provided, the NYU Lutheran PPS has changed the value of "1" to "0" for the PPS PMO line during this DY2, Q1 remediation period and is resubmitting this Module 1.10 in MAPP.

**AS PREVIOUSLY SUBMITTED**

Per the PPS Announcements and Updates e-mail received from the Department of Health on August 1, 2016:

"There is a known defect in the system that is requiring that the PPS, for the PPS PMO line, enter a number greater than 0 into either the DY1 Amount-Update or DY2 Q1 Quarterly Amount-Update, despite potentially not flowing any funds to this category.

To work around this issue, the PPS's were asked to enter a value of '1' into the DY1 Amount-Update column and add a PPS narrative, in order to alert the IA that the IA needs to return this module to the PPS during the remediation period. The PPS will then be able to fix this entry during the PPS remediation period and successfully submit this module."

The NYU Lutheran PPS has followed the above guidance and has added this narrative to this module (Module 1.10) for DY2, Q1 reporting.



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**IPQR Module 1.11 - IA Monitoring**

**Instructions :**



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**Section 02 – Governance**

**✔ IPQR Module 2.1 - Prescribed Milestones**

**Instructions :**

Please enter and update target dates, as well as breakdown tasks with target dates for prescribed milestones. For milestones that are due within the reporting period, documentation is required to provide evidence of milestone achievement.

Any explanations regarding altered or missed target commitments must be included within the textbox, not as narrative within uploaded documentation. Note some milestones include minimum expected completion dates.

Milestone/Task Name	Status	Description	Original Start Date	Original End Date	Start Date	End Date	Quarter End Date	DSRIP Reporting Year and Quarter	AV
<b>Milestone #1</b> Finalize governance structure and sub-committee structure	Completed	This milestone must be completed by 9/30/2015. Governance and committee structure, signed off by PPS Board.	04/01/2015	09/30/2015	04/01/2015	09/30/2015	09/30/2015	DY1 Q2	YES
<b>Task Step 1</b>	Completed	Identify size and number of standing committees.	04/01/2015	09/30/2015	04/01/2015	09/30/2015	09/30/2015	DY1 Q2	
<b>Task Step 2</b>	Completed	Solicit and appoint members of the Executive Committee.	04/01/2015	09/30/2015	04/01/2015	09/30/2015	09/30/2015	DY1 Q2	
<b>Task Step 3</b>	Completed	Solicit and appoint members of the Nominating Committee, Clinical Sub-Committee, Finance Sub-Committee, and Information Technology Sub-committee.	04/01/2015	09/30/2015	04/01/2015	09/30/2015	09/30/2015	DY1 Q2	
<b>Task Step 4</b>	Completed	Obtain Executive approval of final governance structure.	04/01/2015	09/30/2015	04/01/2015	09/30/2015	09/30/2015	DY1 Q2	
<b>Milestone #2</b> Establish a clinical governance structure, including clinical quality committees for each DSRIP project	Completed	This milestone must be completed by 12/31/2015. Clinical Quality Committee charter and committee structure chart	04/01/2015	12/31/2015	04/01/2015	12/31/2015	12/31/2015	DY1 Q3	YES
<b>Task Step 1</b>	Completed	Draft, and obtain approval from Executive Committee on, charter for Clinical Sub-Committee. The charter will describe the responsibilities of the Clinical Sub-Committee, the process for appointing members to the Clinical Sub-committee, and the consensus-based decision making process of the Clinical Sub-committee.	04/01/2015	09/30/2015	04/01/2015	09/30/2015	09/30/2015	DY1 Q2	
<b>Task Step 2</b>	Completed	Solicit and appoint members of the Clinical Sub-committee. Clinical Sub-Committee members to include broad representation of PPS partners including behavior health providers, FQHCs, primary care physicians, and community	04/01/2015	09/30/2015	04/01/2015	09/30/2015	09/30/2015	DY1 Q2	



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Milestone/Task Name	Status	Description	Original Start Date	Original End Date	Start Date	End Date	Quarter End Date	DSRIP Reporting Year and Quarter	AV
		based organizations.							
Task Step 3	Completed	Draft and obtain Clinical Sub-Committee approval of initial clinical operational plans for each project (with consensus-based decision-making process set forth in charter).	04/01/2015	12/31/2015	04/01/2015	12/31/2015	12/31/2015	DY1 Q3	
Task Step 4	Completed	Draft, and obtain approval from Clinical Sub-Committee on, scope, charge and meeting frequency of the workgroups that will be established for each DSRIP project and charged with project-specific mandates.	04/01/2015	12/31/2015	04/01/2015	12/31/2015	12/31/2015	DY1 Q3	
Task Step 5	Completed	Solicit and appoint members of clinical workgroups. Workgroup members to include broad representation of PPS partners including behavior health providers, FQHCs, primary care physicians, quality and community based organizations.	04/01/2015	09/30/2015	04/01/2015	09/30/2015	09/30/2015	DY1 Q2	
Task Step 6	Completed	Develop and adopt initial reports for clinical workgroups.	10/01/2015	12/31/2015	10/01/2015	12/31/2015	12/31/2015	DY1 Q3	
<b>Milestone #3</b> Finalize bylaws and policies or Committee Guidelines where applicable	Completed	This milestone must be completed by 9/30/2015. Upload of bylaws and policies document or committee guidelines.	04/01/2015	09/30/2015	04/01/2015	09/30/2015	09/30/2015	DY1 Q2	YES
Task Step 1	Completed	Draft, and obtain approval from the Executive Committee and Lutheran of, charters for Executive Committee, Nominating Committee, Clinical Sub-Committee, Finance Sub-Committee, and Information Technology Sub-committee (collectively, the "Governance Charters"). The Clinical Quality Sub-Committee will represent every PPS project including behavioral health and will be closely integrated into all aspects of the PPS governance structure.	04/01/2015	09/30/2015	04/01/2015	09/30/2015	09/30/2015	DY1 Q2	
Task Step 2	Completed	Draft, and obtain approval from Executive Committee on, PPS policies and procedures, including conflicts of interest policy, compliance plan, data sharing policies and antitrust policies.	04/01/2015	09/30/2015	04/01/2015	09/30/2015	09/30/2015	DY1 Q2	
Task Step 3	Completed	Draft, and obtain approval from Executive Committee on, process for addressing underperformance of partners.	04/01/2015	09/30/2015	04/01/2015	09/30/2015	09/30/2015	DY1 Q2	
Task Step 4	Completed	Appoint PPS compliance officer.	04/01/2015	09/30/2015	04/01/2015	09/30/2015	09/30/2015	DY1 Q2	
<b>Milestone #4</b> Establish governance structure reporting and monitoring processes	Completed	This milestone must be completed by 12/31/2015. Governance and committee structure document, including description of two-way reporting processes and governance monitoring processes.	07/01/2015	12/31/2015	07/01/2015	12/31/2015	12/31/2015	DY1 Q3	YES





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Milestone/Task Name	Status	Description	Original Start Date	Original End Date	Start Date	End Date	Quarter End Date	DSRIP Reporting Year and Quarter	AV
Task Step 1	Completed	Draft procedures by which the Executive Committee and Committees will (a) keep minutes, (b) send minutes to the Executive Committee, other Committees and Lutheran, as applicable and (c) make minutes available to partners ("Reporting Process"). The Reporting Process will include limitations on availability of Executive Committee minutes to partners for security and confidentiality purposes, e.g., when the minutes concern the performance of a partner.	07/01/2015	12/31/2015	07/01/2015	12/31/2015	12/31/2015	DY1 Q3	
Task Step 2	Completed	Include Reporting Process in charters to be finalized per milestones described above.	07/01/2015	12/31/2015	07/01/2015	12/31/2015	12/31/2015	DY1 Q3	
Task Step 3	Completed	Monitor committee and sub-committee performance through review of minutes and other means.	07/01/2015	12/31/2015	07/01/2015	12/31/2015	12/31/2015	DY1 Q3	
<b>Milestone #5</b> Finalize community engagement plan, including communications with the public and non-provider organizations (e.g. schools, churches, homeless services, housing providers, law enforcement)	Completed	Community engagement plan, including plans for two-way communication with stakeholders.	01/01/2016	03/31/2016	01/01/2016	03/31/2016	03/31/2016	DY1 Q4	NO
Task Step 1	Completed	PPS leadership drafts preliminary community engagement plan. The community engagement plan will be rooted in and drafted to ensure commitment to grassroots engagement and will be based on bi-directional communication with partners. The PPS will endeavor to develop accessible messaging and open dialogue on the goals of DSRIP in reducing avoidable hospitalizations and emergency room use. The PPS also hopes to establish avenues of communication for community feedback and input.	01/01/2016	03/31/2016	01/01/2016	03/31/2016	03/31/2016	DY1 Q4	
Task Step 2	Completed	Identify key partners and stakeholders and review plan based on membership survey and analysis. Key partners and stakeholders will include, but not be limited to, public schools, community-based organizations, faith organizations, food pantries/soup kitchens, housing organizations, and Medicaid beneficiaries.	01/01/2016	03/31/2016	01/01/2016	03/31/2016	03/31/2016	DY1 Q4	
Task Step 3	Completed	Revise plan to reflect input from key stakeholders.	01/01/2016	03/31/2016	01/01/2016	03/31/2016	03/31/2016	DY1 Q4	
Task Step 4	Completed	Obtain Executive Committee approval of draft community engagement plan.	01/01/2016	03/31/2016	01/01/2016	03/31/2016	03/31/2016	DY1 Q4	



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Milestone/Task Name	Status	Description	Original Start Date	Original End Date	Start Date	End Date	Quarter End Date	DSRIP Reporting Year and Quarter	AV
<b>Milestone #6</b> Finalize partnership agreements or contracts with CBOs	In Progress	Signed CBO partnership agreements or contracts.	04/01/2015	06/30/2016	04/01/2015	09/30/2016	09/30/2016	DY2 Q2	NO
<b>Task Step 1</b>	Completed	Draft and obtain review/feedback from Executive Committee on Master Services Agreement and exhibits, which will describe legal terms and conditions of participating CBOs' participation in the PPS and governance structure (collectively, the "Base Agreement").	07/01/2015	09/30/2015	07/01/2015	09/30/2015	09/30/2015	DY1 Q2	
<b>Task Step 2</b>	Completed	Obtain feedback from CBOs on Base Agreement and revise based on feedback received.	07/01/2015	09/30/2015	07/01/2015	09/30/2015	09/30/2015	DY1 Q2	
<b>Task Step 3</b>	Completed	Send Base Agreement to each CBO.	04/01/2015	12/31/2015	04/01/2015	12/31/2015	12/31/2015	DY1 Q3	
<b>Task Step 4</b>	Completed	Finalize Base Agreement; execute with each CBO.	04/01/2015	03/31/2016	04/01/2015	03/31/2016	03/31/2016	DY1 Q4	
<b>Task Step 5</b>	In Progress	Review schedules to Base Agreement with CBOs. Identify CBOs to be contracted with based on Clinical Sub-Committee recommendations. Schedules will describe obligations of CBOs with respect to DSRIP projects and the funding related to performance of those obligations. Some schedules will likely be added later and throughout the DSRIP period as project needs evolve.	04/01/2015	06/30/2016	04/01/2015	09/30/2016	09/30/2016	DY2 Q2	
<b>Task Step 6</b>	In Progress	Finalize schedules, as appropriate to date, to Base Agreement and attach to Base Agreement.	04/01/2015	06/30/2016	04/01/2015	09/30/2016	09/30/2016	DY2 Q2	
<b>Milestone #7</b> Finalize agency coordination plan aimed at engaging appropriate public sector agencies at state and local levels (e.g. local departments of health and mental hygiene, Social Services, Corrections, etc.)	In Progress	Agency Coordination Plan.	01/01/2016	06/30/2016	04/01/2016	09/30/2016	09/30/2016	DY2 Q2	NO
<b>Task Step 1</b>	Completed	Identify public agencies at state and local level with which PPS will coordinate including the NYS and NYC agencies that focus on health, mental hygiene and substance abuse.	01/01/2016	06/30/2016	04/01/2016	06/30/2016	06/30/2016	DY2 Q1	
<b>Task Step 2</b>	Completed	Refine project implementation plans, including collaboration with public agencies. For example, the HIV Clinical Implementation Plan will actively engage the NYC Department of Health and Mental Hygiene.	01/01/2016	06/30/2016	04/01/2016	06/30/2016	06/30/2016	DY2 Q1	



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Milestone/Task Name	Status	Description	Original Start Date	Original End Date	Start Date	End Date	Quarter End Date	DSRIP Reporting Year and Quarter	AV
Task Step 3	In Progress	Connect with agencies and engage agencies in implementation activities on an on-going basis based on recommendations from Clinical Sub Committees and in coordination with PPS Central Services.	01/01/2016	06/30/2016	04/01/2016	09/30/2016	09/30/2016	DY2 Q2	
<b>Milestone #8</b> Finalize workforce communication and engagement plan	Not Started	Workforce communication & engagement plan, including plans for two-way communication with all levels of the workforce, signed off by PPS workforce governance body (e.g. workforce transformation committee).	04/01/2016	06/30/2016	07/01/2016	12/31/2016	12/31/2016	DY2 Q3	NO
Task Step 1	Not Started	PPS Workforce Impact Analysis Coordinator to draft workforce communication and engagement plan in coordination with PPS leadership overseeing partner and community engagement. Workforce communication and engagement plan will include on-going updates and two way communication on the workforce impact gap analysis, workforce transition road map, and training strategy.	04/01/2016	06/30/2016	07/01/2016	12/31/2016	12/31/2016	DY2 Q3	
Task Step 2	Not Started	Develop input/revise workforce communication and engagement plan from 1199 and Clinical Sub-Committee members.	04/01/2016	06/30/2016	07/01/2016	12/31/2016	12/31/2016	DY2 Q3	
Task Step 3	Not Started	Obtain Executive Committee approval of workforce communication and engagement plan.	04/01/2016	06/30/2016	07/01/2016	12/31/2016	12/31/2016	DY2 Q3	
<b>Milestone #9</b> Inclusion of CBOs in PPS Implementation.	In Progress	Explain your plans for contracting with CBOs and their continuing role as your PPS develops over time; detail how many CBOs you will be contracting with and by when; explain how they will be included in project delivery and in the development of your PPS network.	04/01/2015	06/30/2016	04/01/2015	09/30/2016	09/30/2016	DY2 Q2	NO
Task Step 1	Completed	Solicit and appoint CBOs to standing committees and sub-committees	04/01/2015	09/30/2015	04/01/2015	09/30/2015	09/30/2015	DY1 Q2	
Task Step 2	Completed	Establish CBO communication infrastructure for bi-directional communication and feedback including email listserv, PPS partner meetings and one-on-one meetings	04/01/2015	09/30/2015	04/01/2015	09/30/2015	09/30/2015	DY1 Q2	
Task Step 3	Completed	Assign PPS Lead for on-going CBO engagement and communication	04/01/2015	09/30/2015	04/01/2015	09/30/2015	09/30/2015	DY1 Q2	
Task Step 4	Completed	Solicit input from CBOs on Masters Service Agreement	04/01/2015	09/30/2015	04/01/2015	09/30/2015	09/30/2015	DY1 Q2	
Task Step 5	Completed	Execute Masters Service Agreement with 20 CBOs	10/01/2015	03/31/2016	10/01/2015	03/31/2016	03/31/2016	DY1 Q4	



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Milestone/Task Name	Status	Description	Original Start Date	Original End Date	Start Date	End Date	Quarter End Date	DSRIP Reporting Year and Quarter	AV
Task Step 6	In Progress	Review schedules to Base Agreement with CBOs. Schedules will describe obligations of CBOs with respect to DSRIP projects and the funding related to performance of those obligations. Some schedules will likely be added later and throughout the DSRIP period as project needs evolve.	10/01/2015	06/30/2016	10/01/2015	09/30/2016	09/30/2016	DY2 Q2	
Task Step 7	In Progress	Finalize schedules, as appropriate to date, to Base Agreement and attach to Base Agreement.	01/01/2016	06/30/2016	01/01/2016	09/30/2016	09/30/2016	DY2 Q2	
Task Step 8	Completed	Conduct CBO surveys to assess strengths/gaps and to further implementation of all workstreams; surveys will include IT, workforce and clinical project planning	01/01/2016	03/31/2016	01/01/2016	03/31/2016	03/31/2016	DY1 Q4	
Task Step 9	In Progress	Engage CBOs in clinical project planning and implementation	01/01/2016	06/30/2016	01/01/2016	09/30/2016	09/30/2016	DY2 Q2	

**IA Instructions / Quarterly Update**

Milestone Name	IA Instructions	Quarterly Update Description
Finalize governance structure and sub-committee structure	If there have been changes, please describe those changes and upload any supporting documentation as necessary.	Please state if there have been any changes during this reporting quarter. Please state yes or no in the corresponding narrative box.
Finalize bylaws and policies or Committee Guidelines where applicable	If there have been changes, please describe those changes and upload any supporting documentation as necessary.	Please state if there have been any changes during this reporting quarter. Please state yes or no in the corresponding narrative box.

**Prescribed Milestones Current File Uploads**

Milestone Name	User ID	File Type	File Name	Description	Upload Date
Finalize agency coordination plan aimed at engaging appropriate public sector agencies at state and local levels (e.g. local departments of health and mental hygiene, Social Services, Corrections, etc.)	kbatchoo	Templates	32_DY2Q1_GOV_MDL21_PRES7_TEMPL_NYU_Lutheran_PPS_Public_Sector_Agency_Template_5488.xlsx	Public Sector Agency Template - Governance Milestone #7 (DY2, Q1)	08/04/2016 05:29 PM
	kbatchoo	Documentation/Certification	32_DY2Q1_GOV_MDL21_PRES7_DOC_NYU_Lutheran_PPS_Agency_Coordination_Plan_050916_5487.pdf	NYU Lutheran PPS Agency Coordination Plan	08/04/2016 05:28 PM



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**Prescribed Milestones Narrative Text**

Milestone Name	Narrative Text
Finalize governance structure and sub-committee structure	Beginning in the second year of the DSRIP Program, the NYU Lutheran PPS is in the process of updating the member lists for all PPS Committees and Sub-Committees.  An updated Governance Committee Template will be uploaded during the DY2, Q2 reporting period.
Establish a clinical governance structure, including clinical quality committees for each DSRIP project	
Finalize bylaws and policies or Committee Guidelines where applicable	No changes during the DY2, Q1 reporting quarter.
Establish governance structure reporting and monitoring processes	
Finalize community engagement plan, including communications with the public and non-provider organizations (e.g. schools, churches, homeless services, housing providers, law enforcement)	
Finalize partnership agreements or contracts with CBOs	The NYU Lutheran PPS is currently in progress with this Governance Milestone #6. The PPS has pushed back the due date of this milestone and will continue to make efforts in this area towards the completion of this milestone.
Finalize agency coordination plan aimed at engaging appropriate public sector agencies at state and local levels (e.g. local departments of health and mental hygiene, Social Services, Corrections, etc.)	SUBMITTED UNDER REMEDIATION  Per DY2, Q1 comments from the Independent Assessor, the NYU Lutheran PPS has set the status of this milestone to "In Progress." The PPS will continue efforts in this area and will resubmit this Governance Milestone #7 in a future DSRIP quarter.  AS PREVIOUSLY SUBMITTED  The NYU Lutheran PPS has met the requirements to complete Governance Milestone #7. Documentation evidencing the completion of this milestone has been uploaded to support milestone completion.
Finalize workforce communication and engagement plan	The NYU Lutheran PPS intends on working towards this Governance Milestone #8 in the coming DSRIP quarter(s). The PPS has pushed back the due date of this milestone and will strive to make efforts in this area towards the completion of this milestone.
Inclusion of CBOs in PPS Implementation.	The NYU Lutheran PPS is currently in the process of creating schedules that will describe obligations of our CBOs as well as other PPS partners to be contracted with. Contracting will occur with respect to DSRIP projects and the funding relating to the performance of those obligations. The PPS has pushed back the due date of this milestone by one quarter and some schedules may be added later on throughout the DSRIP Program as project needs evolve.



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**IPQR Module 2.2 - PPS Defined Milestones**

**Instructions :**

Please enter and update baseline target dates, as well as work breakdown tasks with target dates for PPS-defined milestones.

Milestone/Task Name	Status	Description	Original Start Date	Original End Date	Start Date	End Date	Quarter End Date	DSRIP Reporting Year and Quarter
Milestone Mid-Point Assessment	Completed	Mid-Point Assessment Project Narrative Template for Organizational Narratives			04/01/2016	06/30/2016	06/30/2016	DY2 Q1

**PPS Defined Milestones Current File Uploads**

Milestone Name	User ID	File Type	File Name	Description	Upload Date
Mid-Point Assessment	kbatchoo		32_DY2Q1_GOV_MDL22_PPS1061_TEMPL_Mid-Point_Assessment_Organizational_Narrative_5685.pdf	Mid-Point Assessment Organizational Narrative (NYU Lutheran PPS)	08/05/2016 02:08 PM

**PPS Defined Milestones Narrative Text**

Milestone Name	Narrative Text
Mid-Point Assessment	



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**✓ IPQR Module 2.3 - Major Risks to Implementation & Risk Mitigation Strategies**

**Instructions :**

Please describe the key challenges or risks that you foresee in implementing your governance structure and processes and achieving the milestones described above, as well as potential impacts on specific projects and any risks that will undermine your ability to achieve outcome measure targets.

One challenge will be developing and negotiating the Base Agreement, the project schedules and funding schedules among the partners due to the broad range of partners by type and size. The various partners will have largely different interests, capabilities and limitations. The planned review of the Base Agreement and project schedules with partners' legal counsel will be transparent and will aim to reach mutually agreeable terms among all partners.

Another challenge will be engaging members of the committees in a meaningful and productive way to achieve the PPS's goals over a short timeline. In order to build a strong and working governance structure, the members appointed to the various committees must prepare for meetings (e.g., read materials distributed in advance of meetings), attend and be attentive during meetings, be otherwise actively involved in the committees and, importantly, follow up to execute committee decisions. However, NYU Lutheran recognizes that committee members have significant obligations to their organizations outside of the PPS and will aim to be respectful of their time commitments.

A third challenge will be integrating the disparate health care providers, CBOs and other organizations that will be partners in the NYU Lutheran PPS. While NYU Lutheran has been providing health care services to the Brooklyn community for over 130 years, and has worked closely with other health care and social services organizations in the course of doing so, the level of coordination that will be required to implement and operationalize a successful DSRIP project will far exceed the coordination that has occurred in Brooklyn in the past. NYU Lutheran will leverage the relationships it has built (and continues to build) due to its participation in the Southwest Brooklyn Health Home, the relationships that have been developed by NYU Lutheran's affiliates, including the NYU Lutheran Augustana Center for Extended Rehabilitation and Care and the NYU Lutheran Family Health Network, and the relationships that NYU Lutheran has built throughout the DSRIP planning process, to work to establish the integrated, coordinated care network that is necessary to the success of the NYU Lutheran PPS.

**✓ IPQR Module 2.4 - Major Dependencies on Organizational Workstreams**

**Instructions :**

Please describe any interdependencies with other organizational workstreams (IT Systems and Processes, Clinical Integration, etc.)

The ability to develop the project schedules that are part of the partnership agreements with CBOs will depend on the development of Clinical Operational Plans which will detail work plans and partner obligations by DSRIP project. Creation of the funding schedules is dependent upon outputs of the finance work stream, which will include the funding amount that the PPS Lead will receive, the distribution of partners among the projects and the allocation of funding to each project-level budget. More generally, the success of the governance process will require active



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engagement of the partners, and that active engagement will depend on the PPS's ability to meet its goals, which will require, among other things, (1) sufficient numbers of appropriately trained workforce members, which is dependent on the PPS's workforce strategy; (2) the ability of the PPS and its partners to exchange patient data, coordinate care, and engage in the required data analytics and reporting activities necessary, which is dependent on the PPS's establishment of the enterprise clinical platform that will serve as the core technology infrastructure of the PPS; and (3) the success of the performance management work stream, which will be critical to ensuring the PPS's success.





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**☑ IPQR Module 2.5 - Roles and Responsibilities**

**Instructions :**

Please list and elaborate upon the key people/organizations responsible for the development of your governance structure and processes and describe what their responsibilities involve.

<b>Role</b>	<b>Name of person / organization (if known at this stage)</b>	<b>Key deliverables / responsibilities</b>
Lead development of PPS governance and clinical governance structures	Larry McReynolds, Executive Sponsor, DSRIP, NYU Lutheran Hilton Marcus, Executive Director, DSRIP, NYU Lutheran	Develop and finalize governance and clinical governance structures; appoint Committee/Subcommittee membership
Major hospital, health center and physician Partners. Key partners to include, but not limited to NYU Lutheran, NYU, ODA, Addabbo, and Ezra Medical Center.	NYU Lutheran PPS Partners	Committee and Subcommittee membership; key document review
PPS Partners including community based organizations (including those providing behavioral health and social services such as CAMBA, Visiting Nurse Services and Brooklyn Perinatal Network)	NYU Lutheran PPS Partners	Committee and Subcommittee membership; key document review
Community engagement	NYU Lutheran PPS Central Services	Develop and oversee community engagement plan



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**✔ Module 2.6 - IPQR Module 2.6 - Key Stakeholders**

**Instructions :**

Please identify the key stakeholders involved, both within and outside the PPS with regard to your governance structure and processes.

<b>Key stakeholders</b>	<b>Role in relation to this organizational workstream</b>	<b>Key deliverables / responsibilities</b>
<b>Internal Stakeholders</b>		
NYU Lutheran PPS Leadership	Larry McReynolds, Executive Sponsor, DSRIP, NYU Lutheran Hilton Marcus, Executive Director, DSRIP, NYU Lutheran	Committee chairs
NYU Lutheran PPS Partners and Providers. (includes representatives from FQHCs, NYU Lutheran, physicians, behavioral health agencies, social service agencies and other clinical partners). Key partners to include, but not limited to NYU Lutheran, NYU, ODA, Addabbo, and Ezra Medical Center.	Partners and providers	Committee chairs and membership
<b>External Stakeholders</b>		
State agencies	Impacted by public agency coordination plan	Participate in development of Public Agency coordination plan
Community-based organizations (including those providing behavioral health and social services such as CAMBA, Visiting Nurse Services and Brooklyn Perinatal Network and the Brooklyn Health Home)	Participating providers	Committee membership



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**✓ IPQR Module 2.7 - IT Expectations**

**Instructions :**

Please clearly describe how the development of shared IT infrastructure across the PPS will support this particular workstream and your ability to achieve the milestones described above.

The IT infrastructure that is established will be used to track progress, governance decisions, and facilitate partner communications (e.g. sharing and storing secure documents via IT platforms, offering in-person and virtual communication technologies for partner communication and data sharing to support governance activities).

**✓ IPQR Module 2.8 - Progress Reporting**

**Instructions :**

Please describe how you will measure the success of this organizational workstream.

Success of our governance work stream will be measured through meeting milestones and quarterly reporting and will be informed by periodic updates from committees. The PPS will establish a reporting structure that will allow us to track our progress against our milestones.

**IPQR Module 2.9 - IA Monitoring**

**Instructions :**



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**Section 03 – Financial Stability**

**✓ IPQR Module 3.1 - Prescribed Milestones**

**Instructions :**

Please enter and update target dates, as well as breakdown tasks with target dates for prescribed milestones. For milestones that are due within the reporting period, documentation is required to provide evidence of milestone achievement.

Any explanations regarding altered or missed target commitments must be included within the textbox, not as narrative within uploaded documentation. Note some milestones include minimum expected completion dates.

Milestone/Task Name	Status	Description	Original Start Date	Original End Date	Start Date	End Date	Quarter End Date	DSRIP Reporting Year and Quarter	AV
<b>Milestone #1</b> Finalize PPS finance structure, including reporting structure	Completed	This milestone must be completed by 12/31/2015. PPS finance structure chart / document, signed off by PPS Board.	04/01/2015	12/31/2015	04/01/2015	12/31/2015	12/31/2015	DY1 Q3	YES
<b>Task Step 1</b>	Completed	Revise PPS Finance Subcommittee charter as necessary (including a schedule of subcommittee meetings) and present to PPS Executive Committee for review and approval.	04/01/2015	09/30/2015	04/01/2015	09/30/2015	09/30/2015	DY1 Q2	
<b>Task Step 2</b>	Completed	Revise Finance Subcommittee membership as necessary.	04/01/2015	09/30/2015	04/01/2015	09/30/2015	09/30/2015	DY1 Q2	
<b>Task Step 3</b>	Completed	Convene regular Finance Subcommittee meetings.	04/01/2015	12/31/2015	04/01/2015	12/31/2015	12/31/2015	DY1 Q3	
<b>Task Step 4</b>	Completed	Document Finance Subcommittee actions and minutes and provide regular reports to PPS Executive Committee.	04/01/2015	12/31/2015	04/01/2015	12/31/2015	12/31/2015	DY1 Q3	
<b>Task Step 5</b>	Completed	Review PPS finance and reporting structure.	10/01/2015	12/31/2015	10/01/2015	12/31/2015	12/31/2015	DY1 Q3	
<b>Task Step 6</b>	Completed	Obtain Executive Committee sign off of PPS finance structure, policies and procedures.	10/01/2015	12/31/2015	10/01/2015	12/31/2015	12/31/2015	DY1 Q3	
<b>Milestone #2</b> Perform network financial health current state assessment and develop financial sustainability strategy to address key issues.	Completed	This milestone must be completed by 3/31/2016. Network financial health current state assessment (to be performed at least annually). The PPS must: - identify those providers in their network that are financially fragile, including those that have qualified as IAAF providers; -- define their approach for monitoring those financially fragile providers, which must include an analysis of provider performance on the following financial indicators: days cash on hand, debt ratio, operating margin and current ratio; -- include any additional financial indicators that they deem	10/01/2015	03/31/2016	10/01/2015	03/31/2016	03/31/2016	DY1 Q4	YES



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Milestone/Task Name	Status	Description	Original Start Date	Original End Date	Start Date	End Date	Quarter End Date	DSRIP Reporting Year and Quarter	AV
		necessary for monitoring the financial sustainability of their network providers							
Task Step 1	Completed	Conduct financial health current state assessment of new PPS partners by utilizing assessment tool developed during the DSRIP planning phase.	10/01/2015	12/31/2015	10/01/2015	12/31/2015	12/31/2015	DY1 Q3	
Task Step 2	Completed	Review all results of financial health current state assessment and, if applicable, identify financially fragile partners.	01/01/2016	03/31/2016	01/01/2016	03/31/2016	03/31/2016	DY1 Q4	
Task Step 3	Completed	Develop process for monitoring and assisting financially fragile partners including the involvement of the Finance Subcommittee.	01/01/2016	03/31/2016	01/01/2016	03/31/2016	03/31/2016	DY1 Q4	
Task Step 4	Completed	Develop Financial Stability Plan – including metrics and ongoing monitoring – and obtain approval from Finance Subcommittee.	01/01/2016	03/31/2016	01/01/2016	03/31/2016	03/31/2016	DY1 Q4	
Task Step 5	Completed	Establish an annual schedule to monitor partner financial status and a quarterly schedule for those deemed financially fragile.	01/01/2016	03/31/2016	01/01/2016	03/31/2016	03/31/2016	DY1 Q4	
<b>Milestone #3</b> Finalize Compliance Plan consistent with New York State Social Services Law 363-d	Completed	This milestone must be completed by 12/31/2015. Finalized Compliance Plan (for PPS Lead).	10/01/2015	12/31/2015	10/01/2015	12/31/2015	12/31/2015	DY1 Q3	YES
Task Step 1	Completed	Determine how to fill the need to staff PPS Compliance function in accordance with the DSRIP Compliance Program.	10/01/2015	12/31/2015	10/01/2015	12/31/2015	12/31/2015	DY1 Q3	
Task Step 2	Completed	Establish PPS chain-of-command for compliance enforcement.	10/01/2015	12/31/2015	10/01/2015	12/31/2015	12/31/2015	DY1 Q3	
Task Step 3	Completed	Incorporate NYS Social Services Law 363-d requirements such as training, education and disciplinary policies into lead PPS's existing compliance plan.	10/01/2015	12/31/2015	10/01/2015	12/31/2015	12/31/2015	DY1 Q3	
Task Step 4	Completed	Customize PPS lead's existing compliance plan and programs (e.g., HIPAA) for the PPS, consistent with NYS Social Services Law 363-d, and present to the Executive Committee for approval.	10/01/2015	12/31/2015	10/01/2015	12/31/2015	12/31/2015	DY1 Q3	
Task Step 5	Completed	Conduct first quarterly PPS compliance workgroup meeting.	10/01/2015	12/31/2015	10/01/2015	12/31/2015	12/31/2015	DY1 Q3	
Task Step 6	Completed	Publish PPS Compliance Plan (including standards of conduct, receipt of complaints/non-retaliation policies, and monitoring procedures, annual report on conflicts of interest), distribute to PPS partners.	10/01/2015	12/31/2015	10/01/2015	12/31/2015	12/31/2015	DY1 Q3	



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Milestone/Task Name	Status	Description	Original Start Date	Original End Date	Start Date	End Date	Quarter End Date	DSRIP Reporting Year and Quarter	AV
<b>Milestone #4</b> Develop detailed baseline assessment of revenue linked to value-based payment, preferred compensation modalities for different provider-types and functions, and MCO strategy.	In Progress	This milestone must be completed by 09/30/2016. Value-based payment plan, signed off by PPS board.	07/01/2015	09/30/2016	07/01/2015	09/30/2016	09/30/2016	DY2 Q2	YES
<b>Task Step 1</b>	Completed	Review final State value-based payment roadmap upon release.	07/01/2015	12/31/2015	07/01/2015	12/31/2015	12/31/2015	DY1 Q3	
<b>Task Step 2</b>	In Progress	Develop value-based payment assessment and partner value-based payment reporting framework.	04/01/2016	09/30/2016	04/01/2016	09/30/2016	09/30/2016	DY2 Q2	
<b>Task Step 3</b>	In Progress	Assess the baseline of value-based payment arrangements and associated revenue across all PPS partners. (To be completed/updated on an annual basis or as required).	04/01/2016	09/30/2016	04/01/2016	09/30/2016	09/30/2016	DY2 Q2	
<b>Task Step 4</b>	In Progress	Develop preferred compensation and MCO strategy framework (including a regular schedule of meetings with MCOs) through Finance subcommittee.	04/01/2016	09/30/2016	04/01/2016	09/30/2016	09/30/2016	DY2 Q2	
<b>Task Step 5</b>	In Progress	Develop provider education and engagement strategy, and conduct education sessions with PPS provider partners, focused on value-based payment concepts and potential contracting arrangements.	04/01/2016	09/30/2016	04/01/2016	09/30/2016	09/30/2016	DY2 Q2	
<b>Task Step 6</b>	Not Started	Incorporate findings from assessments into a baseline PPS value-based payment plan.	04/01/2016	09/30/2016	07/01/2016	09/30/2016	09/30/2016	DY2 Q2	
<b>Milestone #5</b> Finalize a plan towards achieving 90% value-based payments across network by year 5 of the waiver at the latest	In Progress	This milestone must be completed by 3/31/2017. Value-based payment plan, signed off by PPS board.	07/01/2015	03/31/2017	07/01/2015	03/31/2017	03/31/2017	DY2 Q4	YES
<b>Task Step 1</b>	Completed	Review final State value-based payment roadmap upon release.	07/01/2015	06/30/2016	07/01/2015	06/30/2016	06/30/2016	DY2 Q1	
<b>Task Step 2</b>	In Progress	Review baseline assessment of PPS partners' value-based payment revenue to inform development of PPS value-based payment plan.	04/01/2016	09/30/2016	04/01/2016	09/30/2016	09/30/2016	DY2 Q2	
<b>Task Step 3</b>	In Progress	Conduct gap assessment between current volume of value-based revenue across the PPS network and State target of 90%.	04/01/2016	12/31/2016	04/01/2016	12/31/2016	12/31/2016	DY2 Q3	
<b>Task Step 4</b>	Not Started	Establish annual targets for volume of value-based revenue across the PPS network (To be completed on an ongoing basis).	04/01/2016	12/31/2016	07/01/2016	12/31/2016	12/31/2016	DY2 Q3	



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Milestone/Task Name	Status	Description	Original Start Date	Original End Date	Start Date	End Date	Quarter End Date	DSRIP Reporting Year and Quarter	AV
Task Step 5	Not Started	Finalize PPS value-based payment plan and present to Executive Committee for approval.	07/01/2016	03/31/2017	01/01/2017	03/31/2017	03/31/2017	DY2 Q4	
Task Step 6	Not Started	Establish partner value-based payment reporting requirements and procedures to enable ongoing monitoring of PPS value-based payment revenue.	07/01/2016	03/31/2017	10/01/2016	03/31/2017	03/31/2017	DY2 Q4	
Task Step 7	In Progress	Provide quarterly updates to Executive Committee on progress toward value-based payment and revise PPS plan as needed.	07/01/2016	03/31/2017	04/01/2016	03/31/2017	03/31/2017	DY2 Q4	
Task Step 8	Not Started	As part of a provider adoption strategy, develop provider and MCO education and engagement strategy for PPS provider partners and MCOs, to facilitate understanding of the process and requirements necessary for engaging in various levels of value-based payment arrangements.	07/01/2016	03/31/2017	10/01/2016	03/31/2017	03/31/2017	DY2 Q4	
Task Step 9	Not Started	Develop value-based payment educational materials and share with PPS provider partners and MCOs (e.g., "lessons learned" from providers with advanced value-based payment arrangements, the role of the PPS in assisting with value-based payment transition for its provider partners, etc.).	07/01/2016	03/31/2017	10/01/2016	03/31/2017	03/31/2017	DY2 Q4	
<b>Milestone #6</b> Put in place Level 1 VBP arrangement for PCMH/APC care and one other care bundle or subpopulation	On Hold		04/01/2015	03/31/2020	04/01/2015	03/31/2020	03/31/2020	DY5 Q4	YES
<b>Milestone #7</b> Contract 50% of care-costs through Level 1 VBPs, and >= 30% of these costs through Level 2 VBPs or higher	On Hold		04/01/2015	03/31/2020	04/01/2015	03/31/2020	03/31/2020	DY5 Q4	YES
<b>Milestone #8</b> >=90% of total MCO-PPS payments (in terms of total dollars) captured in at least Level 1 VBPs, and >= 70% of total costs captured in VBPs has to be in Level 2 VBPs or higher	On Hold		04/01/2015	03/31/2020	04/01/2015	03/31/2020	03/31/2020	DY5 Q4	YES



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**IA Instructions / Quarterly Update**

Milestone Name	IA Instructions	Quarterly Update Description
Finalize PPS finance structure, including reporting structure	If there have been changes, please describe those changes and upload any supporting documentation as necessary.	Please state if there have been any changes during this reporting quarter. Please state yes or no in the corresponding narrative box.

**Prescribed Milestones Current File Uploads**

Milestone Name	User ID	File Type	File Name	Description	Upload Date
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No Records Found

**Prescribed Milestones Narrative Text**

Milestone Name	Narrative Text
Finalize PPS finance structure, including reporting structure	No changes during the DY2, Q1 reporting quarter.
Perform network financial health current state assessment and develop financial sustainability strategy to address key issues.	
Finalize Compliance Plan consistent with New York State Social Services Law 363-d	
Develop detailed baseline assessment of revenue linked to value-based payment, preferred compensation modalities for different provider-types and functions, and MCO strategy.	
Finalize a plan towards achieving 90% value-based payments across network by year 5 of the waiver at the latest	
Put in place Level 1 VBP arrangement for PCMH/APC care and one other care bundle or subpopulation	
Contract 50% of care-costs through Level 1 VBPs, and >= 30% of these costs through Level 2 VBPs or higher	
>=90% of total MCO-PPS payments (in terms of total dollars) captured in at least Level 1 VBPs, and >= 70% of total costs captured in VBPs has to be in Level 2 VBPs or higher	





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**IPQR Module 3.2 - PPS Defined Milestones**

**Instructions :**

Please enter and update baseline target dates, as well as work breakdown tasks with target dates for PPS-defined milestones.

Milestone/Task Name	Status	Description	Original Start Date	Original End Date	Start Date	End Date	Quarter End Date	DSRIP Reporting Year and Quarter
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No Records Found

**PPS Defined Milestones Current File Uploads**

Milestone Name	User ID	File Type	File Name	Description	Upload Date
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No Records Found

**PPS Defined Milestones Narrative Text**

Milestone Name	Narrative Text
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No Records Found



# New York State Department Of Health Delivery System Reform Incentive Payment Project

## DSRIP Implementation Plan Project

### NYU Lutheran Medical Center (PPS ID:32)

#### ✓ IPQR Module 3.3 - Major Risks to Implementation & Risk Mitigation Strategies

##### Instructions :

Please describe the key challenges or risks that you foresee in implementing these cross-cutting organizational strategies, including potential impacts on specific projects and, crucially, any risks that will undermine your ability to achieve outcome measure targets.

- **Provider engagement:** The PPS must meaningfully engage with PPS partners and communicate a set of PPS partner/provider funding schedules at the outset of DSRIP implementation to ensure that partners and their providers understand the process and project milestones tied to receiving payment from the PPS. In addition, as the PPS begins to engage partners around the Master Services Agreement and clinical operational planning, it will need to be transparent on the budgeting and payment processes, and educate partners on the ties to funds flow and, ultimately, funding schedules.
- **Availability of DSRIP waiver funds/ability of PPS to achieve and draw down incentive payments:** The PPS must successfully achieve and report on State-established milestones and metrics to draw down incentive payments and subsequently distribute funds to its partners. The PPS has and will continue to engage in a thoughtful planning process to ensure it is able to achieve DSRIP milestones and metrics in a timely manner and to the best of its ability.
- **Timing of DSRIP waiver funds:** Once the PPS has demonstrated successful achievement of reporting and/or performance metrics, incentive payments will not be made for 90-120 days, leaving a potential gap in funding available to support DSRIP projects. The PPS must actively track payments received and expenditures incurred to minimize the periods of low cash holdings. In addition, judicious usage of the PPS Contingency Fund will help to alleviate periods of potential low cash holdings.
- **PPS resources will be insufficient to address substantial financial fragility of partners:** If partners are financially fragile, the PPS will face a challenge in supporting it through possible transitions to financial health or organizational evolution. The PPS will work to identify issues early and work with PPS partners to identify and implement strategies as practicable.
- **MCO engagement and willingness to meaningfully participate:** The transition to value-based payment across the PPS will require the engagement and willingness of Medicaid managed care organizations (MCOs) to transform their existing fee-for-service contracts into value-based payment contracts that sustain safety net providers over five years. DSRIP goals to reduce unneeded ED visits and hospital admissions provide direct benefits to the bottom line of MCOs, while reducing hospital revenues. The PPS will continue engaging Medicaid MCOs through DSRIP implementation planning and through monthly meetings to ensure Medicaid MCOs are meaningfully engaged in the development of transition plans and have sufficient lead time and benefit sharing to prepare for the transition to value-based payment.
- **Partners in multiple PPSs will face challenges participating in managed care strategy:** Partners participating in multiple PPSs face nearly unprecedented challenge in the complexity of their coming value based reimbursement landscape. Not only will reimbursement shift away from FFS, but they may have multiple contracts with the same MCO due to participation in multiple PPSs. The PPS will continue to engage partners through partner meetings and on-going communication and coordinate with other PPSs with shared partners to maximize efficiencies, where possible.



# New York State Department Of Health Delivery System Reform Incentive Payment Project

## DSRIP Implementation Plan Project

### NYU Lutheran Medical Center (PPS ID:32)

- Formation of a contracting entity: The structure/composition of the legal entity that is created for the purposes of value-based contracting has yet to be defined.

#### IPQR Module 3.4 - Major Dependencies on Organizational Workstreams

##### Instructions :

Please describe any interdependencies with other organizational workstreams (IT Systems and Processes, Clinical Integration, etc.)

- Performance reporting: The PPS will need to identify a point-of-contact in each partner organization for finance-related matters (e.g., reporting and policies/procedures).
- Governance: The PPS governance structure must be capable of executing financial responsibilities; the PPS governance structure must evolve to incorporate Medicaid MCOs to support transition to value-based payments.
- IT: The PPS IT systems must support central finance and performance reporting to inform and track PPS and project-level budgets and funds flow; the PPS IT systems must support population health management to enable partners to improve patient outcomes that will drive the transition to value-based payments with Medicaid MCOs and other payers.
- Physician and Provider Engagement: The PPS must effectively engage and educate physicians regarding population health management and project-specific clinical interventions, requirements and payment schedules associated with entering into contracts with the PPS.



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 Delivery System Reform Incentive Payment Project  
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**NYU Lutheran Medical Center (PPS ID:32)**

**✔ IPQR Module 3.5 - Roles and Responsibilities**

**Instructions :**

Please list and elaborate upon the key people/organizations responsible for this organizational workstream and describe what their responsibilities involve.

Role	Name of person / organization (if known at this stage)	Key deliverables / responsibilities
PPS Executive Committee	Larry McReynolds, Chair, NYU Lutheran Family Health Centers	Review and approve recommendations from Finance Committee.
PPS Controller	Mohamood Ishmael, NYU LMC	Review and manage PPS expenditures.
PPS Compliance Officer	Danette Slevinski, NYU Lutheran	Implement and maintain PPS compliance plan.
Internal Auditor	NYU Lutheran team lead by Danette Slevinski	Review PPS financial ledgers.
External Auditor	NYU Lutheran PPS point person is Lisa Vanchieri	Review PPS financial ledgers.
Finance Subcommittee	Lisa Vanchieri, Chair, NYU	Review and monitor financial health of PPS partners; generate recommendations on PPS finance activities.



**New York State Department Of Health  
Delivery System Reform Incentive Payment Project**

**DSRIP Implementation Plan Project**

**NYU Lutheran Medical Center (PPS ID:32)**

**IPQR Module 3.6 - Key Stakeholders**

**Instructions :**

Please identify the key stakeholders involved, both within and outside the PPS.

Key stakeholders	Role in relation to this organizational workstream	Key deliverables / responsibilities
<b>Internal Stakeholders</b>		
Provider Partners (includes representatives from FQHCs, NYU Lutheran, physicians, behavioral health agencies, social service agencies and other clinical partners). Key partners to include, but not limited to NYU Lutheran, NYU, ODA, Addabbo, and Ezra Medical Center.	Participant in PPS and recipient of funds.	Responsible for performance on program metrics, participation in clinical project implementation, accountability for use of funds.
<b>External Stakeholders</b>		
Managed Care Organizations	Partner in establishing value-based payment arrangements.	In collaboration with the PPS, develop value-based payment arrangements within the five-year DSRIP period.
NYS Department of Health	Oversight over value based payment arrangements	Provide feedback and support as the PPS establishes and enters into value-based payment arrangements



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## DSRIP Implementation Plan Project

### NYU Lutheran Medical Center (PPS ID:32)

#### ✅ IPQR Module 3.7 - IT Expectations

##### Instructions :

Please clearly describe how the development of shared IT infrastructure across the PPS will support this particular workstream.

A shared IT infrastructure across the PPS will prove instrumental in allowing the PPS to maintain a real-time assessment of its financial health, including the ability to track expenditures submitted by partners and receipt of payments from DOH as well as access to financial sustainability data and project performance reporting. A robust IT infrastructure will also be vital to the ongoing tracking of financial compliance and to the annual financial audits performed by internal and external auditors. A shared IT infrastructure is also a critical cornerstone which will enable the PPS to transition to value based payments, by tracking and leveraging population health data.

#### ✅ IPQR Module 3.8 - Progress Reporting

##### Instructions :

Please describe how you will measure the success of this organizational workstream.

The financial sustainability Work Stream will be considered successful based on the demonstrated ability to:

- Identify, monitor, and improve the PPS partner organizations that are or will become financially fragile during the course of the DSRIP period
- Seamlessly implement and adhere to financial controls and the PPS compliance plan
- Establish and execute the PPS' plans to transition to the targeted volume of value-based payment revenues

#### IPQR Module 3.9 - IA Monitoring

##### Instructions :



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**NYU Lutheran Medical Center (PPS ID:32)**

**Section 04 – Cultural Competency & Health Literacy**

**✔ IPQR Module 4.1 - Prescribed Milestones**

**Instructions :**

Please enter and update target dates, as well as breakdown tasks with target dates for prescribed milestones. For milestones that are due within the reporting period, documentation is required to provide evidence of milestone achievement. Any explanations regarding altered or missed target commitments must be included within the textbox, not as narrative within uploaded documentation. Note some milestones include minimum expected completion dates.

Milestone/Task Name	Status	Description	Original Start Date	Original End Date	Start Date	End Date	Quarter End Date	DSRIP Reporting Year and Quarter	AV
<b>Milestone #1</b> Finalize cultural competency / health literacy strategy.	Completed	This milestone must be completed by 12/31/2015. Cultural competency / health literacy strategy signed off by PPS Board. The strategy should: -- Identify priority groups experiencing health disparities (based on your CNA and other analyses); -- Identify key factors to improve access to quality primary, behavioral health, and preventive health care -- Define plans for two-way communication with the population and community groups through specific community forums -- Identify assessments and tools to assist patients with self-management of conditions (considering cultural, linguistic and literacy factors); and -- Identify community-based interventions to reduce health disparities and improve outcomes.	07/01/2015	12/31/2015	07/01/2015	12/31/2015	12/31/2015	DY1 Q3	YES
<b>Task Step 1</b>	Completed	Identify oversight staff and process for Cultural Competency/Health Literacy Strategy.	07/01/2015	09/30/2015	07/01/2015	09/30/2015	09/30/2015	DY1 Q2	
<b>Task Step 2</b>	Completed	Based on Community Needs Assessment, identify priority populations experiencing health disparities.	07/01/2015	12/31/2015	07/01/2015	12/31/2015	12/31/2015	DY1 Q3	
<b>Task Step 3</b>	Completed	Develop and conduct a PPS-wide best practices and gap analysis in Cultural Competency and Health Literacy across existing programs and interventions (possibly in conjunction with other Brooklyn PPSs).	10/01/2015	12/31/2015	10/01/2015	12/31/2015	12/31/2015	DY1 Q3	
<b>Task Step 4</b>	Completed	Research and identify PPS best practices/centers of excellence in achieving provider Cultural Competency;	10/01/2015	12/31/2015	10/01/2015	12/31/2015	12/31/2015	DY1 Q3	



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Milestone/Task Name	Status	Description	Original Start Date	Original End Date	Start Date	End Date	Quarter End Date	DSRIP Reporting Year and Quarter	AV
		research industry best practices on health literacy enhancement strategies (including assessments and tools to assist patients with self-management of conditions).							
Task Step 5	Completed	Consult with Partners, providers and CBOs to inform the strategy.	10/01/2015	12/31/2015	10/01/2015	12/31/2015	12/31/2015	DY1 Q3	
Task Step 6	Completed	Develop a written Cultural Competency/Health Literacy strategy, action plan and monitoring process to address the prioritized areas, signed off by the PPS Executive Committee.	10/01/2015	12/31/2015	10/01/2015	12/31/2015	12/31/2015	DY1 Q3	
<b>Milestone #2</b> Develop a training strategy focused on addressing the drivers of health disparities (beyond the availability of language-appropriate material).	Completed	This milestone must be completed by 6/30/2016. Cultural competency training strategy, signed off by PPS Board. The strategy should include: -- Training plans for clinicians, focused on available evidence-based research addressing health disparities for particular groups identified in your cultural competency strategy -- Training plans for other segments of your workforce (and others as appropriate) regarding specific population needs and effective patient engagement approaches	01/01/2016	06/30/2016	01/01/2016	06/30/2016	06/30/2016	DY2 Q1	YES
Task Step 1	Completed	Identify existing clinician and broader workforce training programs that exist across the PPS to leverage or enhance (do as part of Milestone 1 strengths/gap analysis).	01/01/2016	06/30/2016	01/01/2016	06/30/2016	06/30/2016	DY2 Q1	
Task Step 2	Completed	Collaborate with training vendor on cultural competency training development and delivery options.	04/01/2016	06/30/2016	04/01/2016	06/30/2016	06/30/2016	DY2 Q1	
Task Step 3	Completed	Identify champions (including clinicians and community based organizations) that will support training strategies addressing the drivers of health disparities	04/01/2016	06/30/2016	04/01/2016	06/30/2016	06/30/2016	DY2 Q1	
Task Step 4	Completed	Develop strategy for encouraging partner participation in health disparities training	04/01/2016	06/30/2016	04/01/2016	06/30/2016	06/30/2016	DY2 Q1	
Task Step 5	Completed	Determine scope, scale, audience, format and content for training programs, focused on targeted populations and health disparities identified in Milestone 1 strengths/gaps analysis.	04/01/2016	06/30/2016	04/01/2016	06/30/2016	06/30/2016	DY2 Q1	
Task Step 6	Completed	Develop a written Cultural Competency Training Strategy (signed off by PPS Executive Committee).	04/01/2016	06/30/2016	04/01/2016	06/30/2016	06/30/2016	DY2 Q1	
Task Step 7	Completed	Establish process for on-going assessments to identify gaps and needs	04/01/2016	06/30/2016	04/01/2016	06/30/2016	06/30/2016	DY2 Q1	





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**IA Instructions / Quarterly Update**

Milestone Name	IA Instructions	Quarterly Update Description
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No Records Found

**Prescribed Milestones Current File Uploads**

Milestone Name	User ID	File Type	File Name	Description	Upload Date
Develop a training strategy focused on addressing the drivers of health disparities (beyond the availability of language-appropriate material).	kbatchoo	Documentation/Certification	32_DY2Q1_CCHL_MDL41_PRES2_DOC_NYU_Lutheran_PPS_Executive_Committee_Conference_Call_Meeting_Notes_072516_(DY2,_Q1_Approvals)_5812.pdf	Evidence of NYU Lutheran PPS Executive Committee approval of Cultural Competency & Health Literacy Training Strategy (as required under remediation)	09/13/2016 02:45 PM
	kbatchoo	Templates	32_DY2Q1_CCHL_MDL41_PRES2_TEMPL_NYU_Lutheran_PPS_Cultural_Competency_Health_Literacy_Training_Schedule_Template_5523.xlsx	Cultural Competency Health Literacy Training Schedule Template - CC/HL Milestone #2 (DY2, Q1)	08/04/2016 06:25 PM
	kbatchoo	Documentation/Certification	32_DY2Q1_CCHL_MDL41_PRES2_DOC_CCHL_Training_Strategy_(NYU_Lutheran_PPS)_063016_5345.pdf	NYU Lutheran PPS Cultural Competency & Health Literacy Training Strategy	08/04/2016 12:21 PM

**Prescribed Milestones Narrative Text**

Milestone Name	Narrative Text
Finalize cultural competency / health literacy strategy.	
Develop a training strategy focused on addressing the drivers of health disparities (beyond the availability of language-appropriate material).	<p>SUBMITTED UNDER REMEDIATION</p> <p>Per DY2, Q1 comments from the Independent Assessor, the NYU Lutheran PPS has uploaded documentation to evidence approval of the CC/HL Training Strategy for Cultural Competency &amp; Health Literacy Milestone #2.</p> <p>The NYU Lutheran PPS is not a legal entity and therefore does not have a Board. Approvals are conducted through the NYU Lutheran PPS Executive Committee.</p> <p>AS ORIGINALLY SUBMITTED</p> <p>The NYU Lutheran PPS has met the requirements to complete Cultural Competency &amp; Health Literacy Milestone #2. Documentation evidencing the completion of this milestone has been uploaded to support milestone completion.</p>



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**IPQR Module 4.2 - PPS Defined Milestones**

**Instructions :**

Please enter and update baseline target dates, as well as work breakdown tasks with target dates for PPS-defined milestones.

Milestone/Task Name	Status	Description	Original Start Date	Original End Date	Start Date	End Date	Quarter End Date	DSRIP Reporting Year and Quarter
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No Records Found

**PPS Defined Milestones Current File Uploads**

Milestone Name	User ID	File Type	File Name	Description	Upload Date
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No Records Found

**PPS Defined Milestones Narrative Text**

Milestone Name	Narrative Text
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No Records Found



# New York State Department Of Health Delivery System Reform Incentive Payment Project

## DSRIP Implementation Plan Project

### NYU Lutheran Medical Center (PPS ID:32)

#### ✓ IPQR Module 4.3 - Major Risks to Implementation & Risk Mitigation Strategies

##### Instructions :

Please describe the key challenges or risks that you foresee in implementing your cultural competency / health literacy strategy and addressing the specific health disparities you are targeting (based on your CNA), and achieving the milestones described above - including potential impacts on specific projects and any risks that will undermine your ability to achieve outcome measure targets.

We see several risks to implementing the Cultural Competency/Health Literacy (CC/HL) strategy and trainings. Key risks include:

- Workforce capacity issues, including resources and the capacity to identify a CC/HL coordinating body/staff in a timely fashion and to activate sufficient training staff with the necessary skills and competencies in CC/HL, and the ability of staff to be available, and released to attend training.
- Ensuring the IT infrastructure is in place to support training program development, delivery and tracking.
- Ability to develop and execute a contract with a training vendor in a timely fashion.
- Partner communication and engagement issues, including the ability to ensure active participation of all PPS partners in a CC/HL strengths and gap analysis.
- Practitioner communication and engagement issues, including the ability to ensure providers and CBOs engagement in training programs and to successfully change provider/practitioner behavior around CC/HL best practices.

The NYU Lutheran PPS intends to mitigate these risks through broad partner and practitioner communication and planning strategies and activities, incorporating CC/HL standards and training parameters into partner contracts (or expectation-setting where there are no contracts) and enforcing or reinforcing those standards/expectations, and significant and dedicated NYU Lutheran PPS Leadership and project management oversight and coordination.

#### ✓ IPQR Module 4.4 - Major Dependencies on Organizational Workstreams

##### Instructions :

Please describe any interdependencies with other organizational workstreams (IT Systems and Processes, Clinical Integration, etc.)

The CC/HL strategy development and training strategy are highly dependent on several other work streams, including Workforce strategy (regarding training staff), IT infrastructure (regarding tracking of training participants), and partner and practitioner engagement (all described above), as well as the widespread adoption of culturally competent population health management functions and capabilities and successful clinical integration across the PPS partners. Additionally, this Work Stream will depend on the Finance Work Stream which will determine the available funding for each project. This funding level may present significant challenges given project requirements.



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**✓ IPQR Module 4.5 - Roles and Responsibilities**

**Instructions :**

Please list and elaborate upon the key people/organizations responsible for this workstream and describe what their responsibilities involve.

<b>Role</b>	<b>Name of person / organization (if known at this stage)</b>	<b>Key deliverables / responsibilities</b>
CC/HL strategy and training oversight	Larry McReynolds, Executive Sponsor, DSRIP, NYU Lutheran Hilton Marcus, Executive Director, DSRIP, NYU Lutheran	Input and approval of CC/HL and training strategies (ultimately responsible for the strategies).
Day-to-day coordination and implementation of the strategy	Virginia Tong, NYU Lutheran Family Health Center	CC/HL coordination policies and processes.
Training Strategy planning and development	NYU Lutheran PPS Central Services	Lead training program development and implementation.
Approval of CC/HL strategy and training	NYU Lutheran PPS Executive Committee	Oversee CC/HL strategy and training plan for the PPS



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**☑ IPQR Module 4.6 - Key Stakeholders**

**Instructions :**

Please identify the key stakeholders involved, both within and outside the PPS.

<b>Key stakeholders</b>	<b>Role in relation to this organizational workstream</b>	<b>Key deliverables / responsibilities</b>
<b>Internal Stakeholders</b>		
NYU Lutheran PPS Partners (including NYU Lutheran, FQHCs, physicians, behavioral health agencies, and community based organizations). Key partners to include, but not limited to NYU Lutheran, NYU, ODA, Addabbo, and Ezra Medical Center.	Role in identifying best practices/centers of excellence	Participate in strengths/gap analysis.
NYU Lutheran PPS Practitioners, clinical and non-clinical providers	Recipients of training programs	Commit to and undertake CC transformation.
<b>External Stakeholders</b>		
1199/Training Vendor	Training development and delivery	Curriculum and format development, Subject Matter Expertise, presenters.
NYU Lutheran PPS attributed members and their families	Ultimate recipient of transformed care delivery	Feedback through patient surveys.



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**✓ IPQR Module 4.7 - IT Expectations**

**Instructions :**

Please clearly describe how the development of shared IT infrastructure across the PPS will support the development and implementation of your cultural competency / health literacy strategy and the achievement of the milestones described above.

Electronic access to cross-PPS data on patients' health services, health status, demographics, etc. (through EHRs and HIE) is critical to this work stream. Shared IT infrastructure across the PPS is critical to enable population-wide data analytics/clinical informatics to identify target populations for prioritized interventions, track patients and measure care quality and outcomes across clinical projects and ensure true population health management. The IT tools the Patient Navigation Center will deploy, including a centralized repository of community resources, will help address the social determinants impacting patients' health. The use of patient portals across the PPS will facilitate patient communication, education and engagement to help ensure patients are receiving the care and information they need in a culturally competent manner. Finally, cross-PPS IT tools will be critical to administer and track the performance of training programs and other interventions to know what is working and what is not.

**✓ IPQR Module 4.8 - Progress Reporting**

**Instructions :**

Please describe how you will measure the success of this organizational workstream.

Success of our CC/HL strategy will be measured through the clinical project-specific metrics and quarterly reporting, and by periodically reviewing whether the strategy and training are successful (e.g., through provider and/or patient feedback surveys) and need to be revised.

**IPQR Module 4.9 - IA Monitoring**

**Instructions :**



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**Section 05 – IT Systems and Processes**

**✓ IPQR Module 5.1 - Prescribed Milestones**

**Instructions :**

Please enter and update target dates, as well as breakdown tasks with target dates for prescribed milestones. For milestones that are due within the reporting period, documentation is required to provide evidence of milestone achievement.

Any explanations regarding altered or missed target commitments must be included within the textbox, not as narrative within uploaded documentation.

Milestone/Task Name	Status	Description	Original Start Date	Original End Date	Start Date	End Date	Quarter End Date	DSRIP Reporting Year and Quarter	AV
<b>Milestone #1</b> Perform current state assessment of IT capabilities across network, identifying any critical gaps, including readiness for data sharing and the implementation of interoperable IT platform(s).	Completed	Detailed IT current state assessment. Relevant QEs (RHIOs/HIEs) should be involved in performing this assessment.	04/01/2015	03/31/2016	04/01/2015	03/31/2016	03/31/2016	DY1 Q4	NO
<b>Task Step 1</b>	Completed	Identify/validate contacts at each partner organization.	04/01/2015	09/30/2015	04/01/2015	09/30/2015	09/30/2015	DY1 Q2	
<b>Task Step 2</b>	Completed	Develop data sharing & interoperability requirements and plan for using existing external resources (e.g., RHIOs).	10/01/2015	12/31/2015	10/01/2015	12/31/2015	12/31/2015	DY1 Q3	
<b>Task Step 3</b>	Completed	Develop, distribute and collect detailed survey to determine current state.	10/01/2015	12/31/2015	10/01/2015	12/31/2015	12/31/2015	DY1 Q3	
<b>Task Step 4</b>	Completed	Establish process for partners to conduct IT self-assessment and to validate those assessments.	10/01/2015	12/31/2015	10/01/2015	12/31/2015	12/31/2015	DY1 Q3	
<b>Task Step 5</b>	Completed	Establish process for periodic data reporting on IT capabilities.	10/01/2015	12/31/2015	10/01/2015	12/31/2015	12/31/2015	DY1 Q3	
<b>Task Step 6</b>	Completed	Conduct gap identification and analysis.	01/01/2016	03/31/2016	01/01/2016	03/31/2016	03/31/2016	DY1 Q4	
<b>Task Step 7</b>	Completed	Develop mitigation strategies to resolve IT interoperability and data sharing gaps.	01/01/2016	03/31/2016	01/01/2016	03/31/2016	03/31/2016	DY1 Q4	
<b>Milestone #2</b> Develop an IT Change Management Strategy.	Completed	IT change management strategy, signed off by PPS Board. The strategy should include: -- Your approach to governance of the change process; -- A communication plan to manage communication and involvement of all stakeholders, including users; -- An education and training plan; -- An impact / risk assessment for the entire IT change	07/01/2015	06/30/2016	07/01/2015	06/30/2016	06/30/2016	DY2 Q1	NO



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Milestone/Task Name	Status	Description	Original Start Date	Original End Date	Start Date	End Date	Quarter End Date	DSRIP Reporting Year and Quarter	AV
		process; and -- Defined workflows for authorizing and implementing IT changes							
Task Step 1	Completed	Meet to determine general governance approach to IT Change Management, including accountabilities and deliverables.	07/01/2015	09/30/2015	07/01/2015	09/30/2015	09/30/2015	DY1 Q2	
Task Step 2	Completed	Design communication plan to partner end-users as part of overall DSRIP communications strategy.	10/01/2015	12/31/2015	10/01/2015	12/31/2015	12/31/2015	DY1 Q3	
Task Step 3	Completed	Use survey tool to help identify user education and training requirements.	10/01/2015	03/31/2016	10/01/2015	03/31/2016	03/31/2016	DY1 Q4	
Task Step 4	Completed	Develop education and training plan.	04/01/2016	06/30/2016	04/01/2016	06/30/2016	06/30/2016	DY2 Q1	
Task Step 5	Completed	Leverage NYU ITIL methodology current IT change management model to develop PPS model for IT change management (e.g. demand management/workflows for authorizing and implementing IT changes, prioritization, approvals, testing, release management, etc.).	04/01/2016	06/30/2016	04/01/2016	06/30/2016	06/30/2016	DY2 Q1	
Task Step 6	Completed	Conduct initial risk assessment & risk mitigation approach.	04/01/2016	06/30/2016	04/01/2016	06/30/2016	06/30/2016	DY2 Q1	
Task Step 7	Completed	Finalize change management plan at the PPS and obtain Executive Board authorization on IT change management strategy.	04/01/2016	06/30/2016	04/01/2016	06/30/2016	06/30/2016	DY2 Q1	
Task Step 8	Completed	Develop plan for how central PPS IT services will be made available to providers.	04/01/2016	06/30/2016	04/01/2016	06/30/2016	06/30/2016	DY2 Q1	
Task Step 9	Completed	Communicate IT change management model to partners.	04/01/2016	06/30/2016	04/01/2016	06/30/2016	06/30/2016	DY2 Q1	
<b>Milestone #3</b> Develop roadmap to achieving clinical data sharing and interoperable systems across PPS network	In Progress	Roadmap document, including current state assessment and workplan to achieve effective clinical data sharing and interoperable systems where required. The roadmap should include: -- A governance framework with overarching rules of the road for interoperability and clinical data sharing; -- A training plan to support the successful implementation of new platforms and processes; and -- Technical standards and implementation guidance for sharing and using a common clinical data set -- Detailed plans for establishing data exchange agreements	07/01/2015	09/30/2016	07/01/2015	09/30/2016	09/30/2016	DY2 Q2	NO





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Milestone/Task Name	Status	Description	Original Start Date	Original End Date	Start Date	End Date	Quarter End Date	DSRIP Reporting Year and Quarter	AV
		between all providers within the PPS, including care management records (completed subcontractor DEAs with all Medicaid providers within the PPS; contracts with all relevant CBOs including a BAA documenting the level of PHI to be shared and the purpose of this sharing).							
Task Step 1	Completed	Engage with SHIN-NY & RHIOs on pre-planning.	07/01/2015	09/30/2015	07/01/2015	09/30/2015	09/30/2015	DY1 Q2	
Task Step 2	Completed	Identify PPS leadership who will be responsible for developing roadmap.	07/01/2015	09/30/2015	07/01/2015	09/30/2015	09/30/2015	DY1 Q2	
Task Step 3	Completed	Develop strategies for connectivity based on interoperability requirements and current state assessment, including setting technical standards for sharing and using common clinical data sets.	10/01/2015	12/31/2015	10/01/2015	12/31/2015	12/31/2015	DY1 Q3	
Task Step 4	In Progress	Develop governance framework and PPS policies/standards; ensure Board approval of the same.	10/01/2015	06/30/2016	10/01/2015	09/30/2016	09/30/2016	DY2 Q2	
Task Step 5	In Progress	Draft and execute all legally binding agreements related to data exchange, including subcontractor Data Exchange Applications & Agreements and HIPAA Business Associate Agreements.	01/01/2016	06/30/2016	01/01/2016	09/30/2016	09/30/2016	DY2 Q2	
Task Step 6	In Progress	Estimate and identify resource requirements, in addition to those provided in gap analysis.	04/01/2016	06/30/2016	04/01/2016	09/30/2016	09/30/2016	DY2 Q2	
Task Step 7	Not Started	Develop training plan.	04/01/2016	06/30/2016	07/01/2016	09/30/2016	09/30/2016	DY2 Q2	
Task Step 8	Not Started	Develop phased implementation roadmap.	04/01/2016	09/30/2016	07/01/2016	09/30/2016	09/30/2016	DY2 Q2	
<b>Milestone #4</b> Develop a specific plan for engaging attributed members in Qualifying Entities	Not Started	PPS plan for engaging attributed members in Qualifying Entities, signed off by PPS Board. The plan should include your approach to outreach into culturally and linguistically isolated communities.	04/01/2016	12/31/2016	07/01/2016	12/31/2016	12/31/2016	DY2 Q3	NO
Task Step 1	Not Started	Engage and ready all partners to gain consent from patients for use of data.	04/01/2016	09/30/2016	07/01/2016	09/30/2016	09/30/2016	DY2 Q2	
Task Step 2	Not Started	Assess communication channel options and establish communications approach (e.g. portals, email, etc.).	04/01/2016	12/31/2016	07/01/2016	12/31/2016	12/31/2016	DY2 Q3	
Task Step 3	Not Started	Identify process for working with front office provider staff on patient engagement. Assess RHIO use across PPS for Medicaid beneficiaries and MU adoption.	04/01/2016	12/31/2016	07/01/2016	12/31/2016	12/31/2016	DY2 Q3	
Task	Not Started	Develop and obtain approval on plan for outreach to patients	04/01/2016	12/31/2016	07/01/2016	12/31/2016	12/31/2016	DY2 Q3	



**New York State Department Of Health  
Delivery System Reform Incentive Payment Project**

**DSRIP Implementation Plan Project**

**NYU Lutheran Medical Center (PPS ID:32)**

Milestone/Task Name	Status	Description	Original Start Date	Original End Date	Start Date	End Date	Quarter End Date	DSRIP Reporting Year and Quarter	AV
Step 4		actively engaged in clinical projects including culturally and linguistically isolated patient populations.							
<b>Milestone #5</b> Develop a data security and confidentiality plan.	Completed	Data security and confidentiality plan, signed off by PPS Board, including: -- Analysis of information security risks and design of controls to mitigate risks -- Plans for ongoing security testing and controls to be rolled out throughout network.	10/01/2015	06/30/2016	10/01/2015	06/30/2016	06/30/2016	DY2 Q1	NO
<b>Task Step 1</b>	On Hold	Develop data security (including 2-factor authorization) & confidentiality plan (including CFR42/BH) based on State and Federal requirements (e.g., DEAs and HIPAA BAAs) and on existing Lutheran and NYU plans.	10/01/2015	06/30/2016	04/01/2015	03/31/2020	03/31/2020	DY5 Q4	
<b>Task Step 2</b>	On Hold	Assess risks and design mitigation approaches that are tailored to the risk type and include monitoring and oversight.	01/01/2016	06/30/2016	04/01/2015	03/31/2020	03/31/2020	DY5 Q4	
<b>Task Step 3</b>	On Hold	Obtain Executive Board approval for final plan.	04/01/2016	06/30/2016	04/01/2015	03/31/2020	03/31/2020	DY5 Q4	
<b>Task Step 4</b>	Completed	Complete the fourth set of SSP workbooks (PL, PM, SA, CP, and MA) and upload during the DY2, Q1 reporting period in MAPP. Per DOH guidance, completion of all four sets of SSP workbooks will complete the requirements for IT Systems and Processes Milestone #5.  The NYU Lutheran PPS has completed the prior three sets of SSP workbooks, which were uploaded in MAPP during the following required DSRIP quarterly reporting periods.  Set 1 (IA, SC, CM, and AC) - uploaded during DY1, Q2 reporting period in MAPP  Set 2 (AT, AU, IR, PE, and PS) -uploaded during DY1, Q3 reporting period in MAPP  Set 3 (CA, RA, SI, and MP) - uploaded during DY1, Q4 reporting period in MAPP			04/01/2016	06/30/2016	06/30/2016	DY2 Q1	



**New York State Department Of Health  
Delivery System Reform Incentive Payment Project**

**DSRIP Implementation Plan Project**

**NYU Lutheran Medical Center (PPS ID:32)**

**IA Instructions / Quarterly Update**

Milestone Name	IA Instructions	Quarterly Update Description
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No Records Found

**Prescribed Milestones Current File Uploads**

Milestone Name	User ID	File Type	File Name	Description	Upload Date
Develop an IT Change Management Strategy.	kbatchoo	Templates	32_DY2Q1_IT_MDL51_PRES2_TEMPL_Training_Schedule_Template_-_IT_Milestone_#2_(DY2,_Q1)_4558.xlsx	Training Schedule Template - IT Milestone #2 (DY2, Q1)	07/29/2016 04:44 PM
	kbatchoo	Templates	32_DY2Q1_IT_MDL51_PRES2_TEMPL_Meeting_Schedule_Template_-_IT_Milestone_#2_(DY2,_Q1)_4556.xlsx	Meeting Schedule Template - IT Milestone #2 (DY2, Q1)	07/29/2016 04:43 PM
	kbatchoo	Documentation/Certification	32_DY2Q1_IT_MDL51_PRES2_DOC_IT_Change_Management_Strategy_063016_4555.pdf	NYU Lutheran PPS IT Change Management Strategy	07/29/2016 04:41 PM
Develop a data security and confidentiality plan.	kbatchoo	Documentation/Certification	32_DY2Q1_IT_MDL51_PRES5_DOC_OHIP_DOS_System_Security_Plan_(SSP)_Moderate_Plus_Workbook_(CP_Family)_UPDATED_5784.docx	OHIP DOS System Security Plan (SSP) Moderate Plus Workbook (CP Family) - Password protected - REMEDIATED	09/12/2016 03:53 PM
	kbatchoo	Documentation/Certification	32_DY2Q1_IT_MDL51_PRES5_DOC_OHIP_DOS_System_Security_Plan_(SSP)_Moderate_Plus_Workbook_(MA_Family)_4512.docx	OHIP DOS System Security Plan (SSP) Moderate Plus Workbook (MA Family) - Password protected	07/29/2016 12:40 PM
	kbatchoo	Documentation/Certification	32_DY2Q1_IT_MDL51_PRES5_DOC_OHIP_DOS_System_Security_Plan_(SSP)_Moderate_Plus_Workbook_(CP_Family)_4511.docx	OHIP DOS System Security Plan (SSP) Moderate Plus Workbook (CP Family) - Password Protected	07/29/2016 12:38 PM
	kbatchoo	Documentation/Certification	32_DY2Q1_IT_MDL51_PRES5_DOC_OHIP_DOS_System_Security_Plan_(SSP)_Moderate_Plus_Workbook_(SA_Family)_4508.docx	OHIP DOS System Security Plan (SSP) Moderate Plus Workbook (SA Family) - Password protected	07/29/2016 12:36 PM
	kbatchoo	Documentation/Certification	32_DY2Q1_IT_MDL51_PRES5_DOC_OHIP_DOS_System_Security_Plan_(SSP)_Moderate_Plus_Workbook_(PM_Family)_4507.docx	OHIP DOS System Security Plan (SSP) Moderate Plus Workbook (PM Family) - Password protected	07/29/2016 12:33 PM
	kbatchoo	Documentation/Certification	32_DY2Q1_IT_MDL51_PRES5_DOC_OHIP_DOS_System_Security_Plan_(SSP)_Moderate_Plus_Workbook_(PL_Family)_4506.docx	OHIP DOS System Security Plan (SSP) Moderate Plus Workbook (PL Family) - Password protected	07/29/2016 12:31 PM

**Prescribed Milestones Narrative Text**

Milestone Name	Narrative Text
Perform current state assessment of IT capabilities across	



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Delivery System Reform Incentive Payment Project**

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**NYU Lutheran Medical Center (PPS ID:32)**

**Prescribed Milestones Narrative Text**

Milestone Name	Narrative Text
network, identifying any critical gaps, including readiness for data sharing and the implementation of interoperable IT platform(s).	
Develop an IT Change Management Strategy.	The NYU Lutheran PPS has met the requirements to complete IT Systems and Processes Milestone #2. Documentation evidencing the completion of this milestone has been uploaded to support milestone completion.
Develop roadmap to achieving clinical data sharing and interoperable systems across PPS network	
Develop a specific plan for engaging attributed members in Qualifying Entities	
Develop a data security and confidentiality plan.	<p>SUBMITTED UNDER REMEDIATION</p> <p>Per DY2, Q1 remediation comments from the Independent Assessor, the NYU Lutheran PPS has uploaded the remediated SSP Workbook (CP Family) for this IT Systems and Processes Milestone #5.</p> <p>AS ORIGINALLY SUBMITTED</p> <p>The NYU Lutheran PPS has completed and uploaded the fourth set of System Security Plan Workbooks (PL, PM, SA, CP, and MA) pertaining to this IT Systems and Processes Milestone #5.</p> <p>Per DOH guidance, completion of all four sets of SSP workbooks will complete the requirements for this milestone. Hence, the NYU Lutheran PPS has fulfilled the requirements to support milestone completion for this IT Systems and Processes Milestone #5.</p>



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Delivery System Reform Incentive Payment Project**

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**NYU Lutheran Medical Center (PPS ID:32)**

**IPQR Module 5.2 - PPS Defined Milestones**

**Instructions :**

Please enter and update baseline target dates, as well as work breakdown tasks with target dates for PPS-defined milestones.

Milestone/Task Name	Status	Description	Original Start Date	Original End Date	Start Date	End Date	Quarter End Date	DSRIP Reporting Year and Quarter
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No Records Found

**PPS Defined Milestones Current File Uploads**

Milestone Name	User ID	File Type	File Name	Description	Upload Date
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No Records Found

**PPS Defined Milestones Narrative Text**

Milestone Name	Narrative Text
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No Records Found



# New York State Department Of Health Delivery System Reform Incentive Payment Project

## DSRIP Implementation Plan Project

### NYU Lutheran Medical Center (PPS ID:32)

#### ✓ IPQR Module 5.3 - Major Risks to Implementation & Risk Mitigation Strategies

##### Instructions :

Please describe the key challenges or risks that you foresee in creating and implementing your IT governance structure, your plans for data sharing across your network, your approach to data security and confidentiality, and the achievement of the milestones described above, including the potential impacts on specific projects and any risks that will undermine your ability to achieve outcome measure targets.

##### IT Systems Design

- Risk: Ability to anticipate and define access, use and interoperability requirements (e.g., data elements that need to be shared, unique user access requirements, workflow integration requirements, end user limitations, etc.).
- Mitigation Strategy: Engage PPS partners early; develop robust IT current state survey and review thereof; develop robust technical support, training and communications strategies; leverage industry best practices and NYU implemented strategies.

##### IT Systems Implementation

- Risk: Integration with third party systems (disparate IT systems), end user availability for training and education, relative lack of technical resources within PPS partner organizations, incompatible EHRs, lack of critical information systems.
- Mitigation Strategy: Conduct detailed technical review during planning phase; identify IT leads within each partner organization and engage them early, and throughout the planning and implementation process; develop robust technical support, training and communications strategies; provide guidance on preferred EHR platforms; leverage NYU Lutheran and NYU expertise and experience.
- Risk: Timing and availability of RHIO/SHIN-NY capabilities.
- Mitigation Strategy: Work with RHIO/SHIN-NY on pre-planning to align timelines and implementation planning activities.

##### IT Governance Structure:

- Risk: Ability to develop and enforce IT standards and policies across the PPS.
- Mitigation Strategy: Leverage PPS governance structure with senior representation from range of partner organizations; educate and communicate value of standards.
- Risk: Process for capturing and prioritizing requests in support of the NYU Lutheran PPS DSRIP clinical program objectives.
- Mitigation Strategy: Establish and communicate process for demand management and assign required responsibilities.

##### Data Sharing:

- Risk: Obtaining accurate PPS partner IT information.
- Mitigation Strategy: Conduct detailed technical review during planning phase.
- Risk: Obtaining consents.
- Mitigation Strategy: Establish program and process for obtaining patient consents including educating patients and providers, using the State-sanctioned RHIO consent form as a template; leverage NYU accepted process and procedures.



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**NYU Lutheran Medical Center (PPS ID:32)**

- Risk: Obtaining partner contracts for data sharing.
- Mitigation Strategy: Communicate PPS expectations and value of data sharing; ensure all contracts include HIPAA BAAs and DEAs as appropriate.

Data Security & Confidentiality:

- Risk: Many PPS partners lack detailed knowledge regarding security and confidentiality regulations.
- Mitigation Strategy: Leverage NYU Lutheran and NYU technology and security services; educate PPS partners regarding security and confidentiality policies; periodically review compliance with BAAs and other legally binding agreements.

**✅ IPQR Module 5.4 - Major Dependencies on Organizational Workstreams**

**Instructions :**

Please describe any interdependencies with other organizational workstreams (IT Systems and Processes, Clinical Integration, etc.)

The IT Work Stream will require each other organizational Work Stream to address, and each PPS clinical project implementation team to define and help prioritize, that Work Stream's/team's requirements for IT support and/or capabilities. Additionally, the IT Work Stream will require a governance structure and processes that prioritize projects and requests from other Work Streams/teams, set funding and other resource levels, and define and enforce IT-related policies. Additionally, this Work Stream will depend on the Finance Work Stream which will determine the available funding for each project. This funding level may present significant challenges given project requirements.



**New York State Department Of Health  
Delivery System Reform Incentive Payment Project**

**DSRIP Implementation Plan Project**

**NYU Lutheran Medical Center (PPS ID:32)**

**✓ IPQR Module 5.5 - Roles and Responsibilities**

**Instructions :**

Please list and elaborate upon the key people/organizations responsible for this organizational workstream and describe what their responsibilities involve.

<b>Role</b>	<b>Name of person / organization (if known at this stage)</b>	<b>Key deliverables / responsibilities</b>
Program Management	Kathleen Mullaly, NYU	Current state analysis, methodology, project management, budget management, resource management, etc.
EHR Design & Implementation	Nancy Beale/NYU IT, PPS PMO	Analysts, Subject Matter Experts (including clinicians), Systems Architects, End Users, Trainers, Testers, etc.
Security	Hai Ngo/NYU, NYU Lutheran IT Security Team	Design, implement and manage security; enforce HIPAA-related and other agreements as fiduciary.
Infrastructure Implementation	Anthony Antinori, NYU	Desktop, network, server, data center, help desk, etc.
HIE Design & Implementation	Anthony Antinori, NYU	Design, implement and manage HIE for PPS.
Data & Analytics Implementation	NYU EDW and Analytics Teams, PPS PMO	Design data and analytics strategy and approach, leverage existing and new tools to deliver required capabilities.
IT Governance	PPS IT Steering Committee	Design, implement and manage PPS IT governance.
Change Management	PPS IT Steering Committee	Establish and manage IT change management policies and processes.





**New York State Department Of Health  
Delivery System Reform Incentive Payment Project**

**DSRIP Implementation Plan Project**

**NYU Lutheran Medical Center (PPS ID:32)**

**☑ IPQR Module 5.6 - Key Stakeholders**

**Instructions :**

Please identify the key stakeholders involved, both within and outside the PPS.

<b>Key stakeholders</b>	<b>Role in relation to this organizational workstream</b>	<b>Key deliverables / responsibilities</b>
<b>Internal Stakeholders</b>		
End Users/Partners (e.g., Clinicians, administrators, community based partners, and a range of other users of the new IT systems) Key partners to include, but not limited to NYU Lutheran, NYU, ODA, Addabbo, and Ezra Medical Center.	Users of the IT systems	Participate in design and training, and use systems to meet DSRIP objectives.
Patient Navigation Center (PNC)	User of the systems	Participate in design and training, and use systems to meet DSRIP objectives.
<b>External Stakeholders</b>		
Patients	Will use IT tools to access PPS capabilities and information	None.
Non-NYU Lutheran PPS Providers, payers, State agencies	May access data generated by the PPS	Use of the data in compliance with regulations.
RHIO/SHIN-NY	Technology partner	Provide connectivity/interoperability support.



**New York State Department Of Health  
Delivery System Reform Incentive Payment Project**

**DSRIP Implementation Plan Project**

**NYU Lutheran Medical Center (PPS ID:32)**

**✓ IPQR Module 5.7 - Progress Reporting**

**Instructions :**

Please describe how you will measure the success of this organizational workstream.

PPS will measure the success of this organizational Work Stream based on progress against the milestones and steps detailed above, on our ability to generate timely and accurate progress reports as judged by the Independent Assessor and achieving system transformation and outcome measures and goals as required within the DSRIP program.

**IPQR Module 5.8 - IA Monitoring**

**Instructions :**



**New York State Department Of Health  
Delivery System Reform Incentive Payment Project**

**DSRIP Implementation Plan Project**

**NYU Lutheran Medical Center (PPS ID:32)**

**Section 06 – Performance Reporting**

**✓ IPQR Module 6.1 - Prescribed Milestones**

**Instructions :**

Please enter and update target dates, as well as breakdown tasks with target dates for prescribed milestones. For milestones that are due within the reporting period, documentation is required to provide evidence of milestone achievement.

Any explanations regarding altered or missed target commitments must be included within the textbox, not as narrative within uploaded documentation.

Milestone/Task Name	Status	Description	Original Start Date	Original End Date	Start Date	End Date	Quarter End Date	DSRIP Reporting Year and Quarter	AV
<b>Milestone #1</b> Establish reporting structure for PPS-wide performance reporting and communication.	In Progress	Performance reporting and communications strategy, signed off by PPS Board. This should include: -- The identification of individuals responsible for clinical and financial outcomes of specific patient pathways; -- Your plans for the creation and use of clinical quality & performance dashboards -- Your approach to Rapid Cycle Evaluation	10/01/2015	06/30/2016	10/01/2015	09/30/2016	09/30/2016	DY2 Q2	NO
<b>Task Step 1</b>	In Progress	Identify PPS members with responsibility for outcomes/impacting performance measurements.	10/01/2015	06/30/2016	10/01/2015	09/30/2016	09/30/2016	DY2 Q2	
<b>Task Step 2</b>	In Progress	Align data and performance requirements with PPS member-type categories (e.g. clinical operations, finance, clinical domain/specialty, etc.) and clinical program (e.g. Diabetes, HIV, etc.).	01/01/2016	06/30/2016	01/01/2016	09/30/2016	09/30/2016	DY2 Q2	
<b>Task Step 3</b>	In Progress	Design reports & dashboards.	01/01/2016	06/30/2016	01/01/2016	09/30/2016	09/30/2016	DY2 Q2	
<b>Task Step 4</b>	In Progress	Work with MCOs to get more timely data than the State provides.	01/01/2016	06/30/2016	01/01/2016	09/30/2016	09/30/2016	DY2 Q2	
<b>Task Step 5</b>	Not Started	Develop communication plan – including frequency of communication and process for rapid cycle evaluation.	04/01/2016	06/30/2016	07/01/2016	09/30/2016	09/30/2016	DY2 Q2	
<b>Task Step 6</b>	Not Started	Draft Performance Reporting and Communications strategy (e.g. who receives which performance reports/dashboards, how often, etc.).	04/01/2016	06/30/2016	07/01/2016	09/30/2016	09/30/2016	DY2 Q2	
<b>Task Step 7</b>	Not Started	Obtain Executive Board approval for Performance Reporting and Communications strategy.	04/01/2016	06/30/2016	07/01/2016	09/30/2016	09/30/2016	DY2 Q2	
<b>Milestone #2</b> Develop training program for organizations and	Not Started	Finalized performance reporting training program.	04/01/2016	06/30/2016	07/01/2016	12/31/2016	12/31/2016	DY2 Q3	NO



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**NYU Lutheran Medical Center (PPS ID:32)**

Milestone/Task Name	Status	Description	Original Start Date	Original End Date	Start Date	End Date	Quarter End Date	DSRIP Reporting Year and Quarter	AV
individuals throughout the network, focused on clinical quality and performance reporting.									
<b>Task Step 1</b>	Not Started	Identify PPS members requiring training.	04/01/2016	06/30/2016	07/01/2016	12/31/2016	12/31/2016	DY2 Q3	
<b>Task Step 2</b>	Not Started	Identify scope of training (e.g., quality/process improvement strategies and monitoring, performance reporting, and pay for performance and pay for reporting)	04/01/2016	06/30/2016	07/01/2016	12/31/2016	12/31/2016	DY2 Q3	
<b>Task Step 3</b>	Not Started	Identify PPS team and develop a comprehensive strategy to lead and provide oversight over training	04/01/2016	06/30/2016	07/01/2016	12/31/2016	12/31/2016	DY2 Q3	
<b>Task Step 4</b>	Not Started	Design training content tailored for user communities.	04/01/2016	06/30/2016	07/01/2016	12/31/2016	12/31/2016	DY2 Q3	
<b>Task Step 5</b>	Not Started	Develop model and tools to track/manage the training program.	04/01/2016	06/30/2016	07/01/2016	12/31/2016	12/31/2016	DY2 Q3	
<b>Task Step 6</b>	Not Started	Communicate training program including access, goals, benefits, etc.	04/01/2016	06/30/2016	07/01/2016	12/31/2016	12/31/2016	DY2 Q3	
<b>Task Step 7</b>	Not Started	Implement program, track participation, continuously improve program based on feedback.	04/01/2016	06/30/2016	07/01/2016	12/31/2016	12/31/2016	DY2 Q3	

**IA Instructions / Quarterly Update**

Milestone Name	IA Instructions	Quarterly Update Description
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No Records Found

**Prescribed Milestones Current File Uploads**

Milestone Name	User ID	File Type	File Name	Description	Upload Date
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No Records Found

**Prescribed Milestones Narrative Text**

Milestone Name	Narrative Text
Establish reporting structure for PPS-wide performance reporting and communication.	The NYU Lutheran PPS is currently in progress with this Performance Reporting Milestone #1. The PPS has pushed back the due date of this milestone and will continue to make efforts in this area towards the completion of this milestone.
Develop training program for organizations and individuals	The NYU Lutheran PPS intends on working towards this Performance Reporting Milestone #2 in the coming DSRIP quarter(s). The PPS has pushed back the



**New York State Department Of Health  
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**NYU Lutheran Medical Center (PPS ID:32)**

**Prescribed Milestones Narrative Text**

<b>Milestone Name</b>	<b>Narrative Text</b>
throughout the network, focused on clinical quality and performance reporting.	due date of this milestone and will strive to make efforts in this area towards the completion of this milestone.



**New York State Department Of Health  
Delivery System Reform Incentive Payment Project**

**DSRIP Implementation Plan Project**

**NYU Lutheran Medical Center (PPS ID:32)**

**IPQR Module 6.2 - PPS Defined Milestones**

**Instructions :**

Please enter and update baseline target dates, as well as work breakdown tasks with target dates for PPS-defined milestones.

Milestone/Task Name	Status	Description	Original Start Date	Original End Date	Start Date	End Date	Quarter End Date	DSRIP Reporting Year and Quarter
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No Records Found

**PPS Defined Milestones Current File Uploads**

Milestone Name	User ID	File Type	File Name	Description	Upload Date
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No Records Found

**PPS Defined Milestones Narrative Text**

Milestone Name	Narrative Text
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No Records Found



New York State Department Of Health  
Delivery System Reform Incentive Payment Project

DSRIP Implementation Plan Project

NYU Lutheran Medical Center (PPS ID:32)

IPQR Module 6.3 - Major Risks to Implementation & Risk Mitigation Strategies

Instructions :

Please describe the key challenges or risks that you foresee in implementing performance reporting structures and processes and effective performance management within your network, including potential impacts on specific projects and any risks that will undermine your ability to achieve outcome measure targets.

Data:

- Risk: The quality and range of data available to measure and understand quality and performance may not be sufficient.
- Mitigation Strategy: Develop customized data and analytics capabilities; leverage NYU Lutheran and NYU advanced analytics resources.

Alignment and Communication:

- Risk: PPS performance may not represent a significant percentage of each providers business and they may not focus sufficiently on the metrics.
- Mitigation Strategy: Engage members and communicate the benefits and requirements of PPS participation; incent performance.
- Risk: Members may not understand the performance measures or they may feel that they can't significantly influence the measures.
- Mitigation Strategy: Tailor training for the different groups that will be accessing and using the reports and dashboards; provide performance reporting down to the practice or individual level wherever possible; share successful implementation strategies among partners to develop best practices within the PPS.
- Risk: PPS leaders (e.g., Central Services and/or clinical program leadership) may not understand how the performance is measured or who they should work with to address specific performance gaps.
- Mitigation Strategy: Provide analytics expertise to support leadership in understanding performance and assessing high impact areas.

Logistics:

- Risk: PPS resources are distributed across Brooklyn and have many demands on their time.
- Mitigation Strategy: Provide a flexible training approach that includes online/on-demand as well as in-person training program alternatives.

IPQR Module 6.4 - Major Dependencies on Organizational Workstreams

Instructions :

Please describe any interdependencies with other organizational workstreams (IT Systems and Processes, Clinical Integration, etc.)

The performance reporting Work Stream will be dependent on IT to aggregate and integrate the necessary data and produce the necessary reports and dashboards. Additionally, this Work Stream will depend on the Finance Work Stream which will determine the available funding for each project. This funding level may present significant challenges given project requirements.



**New York State Department Of Health  
Delivery System Reform Incentive Payment Project**

**DSRIP Implementation Plan Project**

**NYU Lutheran Medical Center (PPS ID:32)**

**✓ IPQR Module 6.5 - Roles and Responsibilities**

**Instructions :**

Please list and elaborate upon the key people/organizations responsible for this organizational workstream and describe what their responsibilities involve.

<b>Role</b>	<b>Name of person / organization (if known at this stage)</b>	<b>Key deliverables / responsibilities</b>
Reporting Leader	Kris Batchoo, Project Manager, NYU Lutheran	Manage this Work Stream.
Reporting Analysts	Kris Batchoo, Project Manager, NYU Lutheran	Provide clinical and business data domain expertise and analytical support.
Training Leaders	PPS PMO & Clinical Programs	Develop training curriculum and coordinate/manage training program.
Trainers	PPS PMO and/or Contracted Service	Conduct training.
IT Data Integrators	Kathleen Mullaly, NYU	Integrate data required for reporting and analytics.
IT Developers	NYU IT	Develop on-line training tools, reports and dashboards.





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Delivery System Reform Incentive Payment Project**

**DSRIP Implementation Plan Project**

**NYU Lutheran Medical Center (PPS ID:32)**

**✓ IPQR Module 6.6 - Key Stakeholders**

**Instructions :**

Please identify the key stakeholders involved, both within and outside the PPS.

<b>Key stakeholders</b>	<b>Role in relation to this organizational workstream</b>	<b>Key deliverables / responsibilities</b>
<b>Internal Stakeholders</b>		
IT	Deliver IT capabilities	Data, analytics tools, reports, dashboards, training modules, training administrative tools.
Communications	Organize and manage communications	Coordinate stakeholder communications.
End-Users/Partners. (includes representatives from FQHCs, NYU Lutheran, physicians, behavioral health agencies, social service agencies and other clinical partners). Key partners to include, but not limited to NYU Lutheran, NYU, ODA, Addabbo, and Ezra Medical Center.	Receive and use the performance reporting information	Appropriate use of the tools and information & provide input/feedback on usefulness of the tools.
<b>External Stakeholders</b>		
State DOH	Prescribe reporting requirements	Provide feedback on performance.



# New York State Department Of Health Delivery System Reform Incentive Payment Project

## DSRIP Implementation Plan Project

### NYU Lutheran Medical Center (PPS ID:32)

#### ✅ IPQR Module 6.7 - IT Expectations

##### Instructions :

Please clearly describe how the development of shared IT infrastructure across the PPS will support your approach to performance reporting.

Shared IT infrastructure will be critical in standardizing and aggregating data for reporting and analytical purposes. Additionally, reporting tools can be standardized and efficiently deployed and managed across the PPS. The PPS anticipates leveraging Salient and the MAPP tool to help populate dashboards and deliver performance data to the PPS providers.

#### ✅ IPQR Module 6.8 - Progress Reporting

##### Instructions :

Please describe how you will measure the success of this organizational workstream.

The success of this Work Stream will be measured based on our ability to meet the established milestone targets by leveraging IT infrastructure (described in previous module) and the PPS's ability to use data to influence quality and performance. The governance committees, PMO and clinical program leadership will use these tools to monitor progress and identify areas for improvement/intervention. Additionally, PPS will track system transformation and outcome measures as required within the DSRIP program.

#### IPQR Module 6.9 - IA Monitoring

##### Instructions :



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Delivery System Reform Incentive Payment Project**

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**NYU Lutheran Medical Center (PPS ID:32)**

**Section 07 – Practitioner Engagement**

**✓ IPQR Module 7.1 - Prescribed Milestones**

**Instructions :**

Please enter and update target dates, as well as breakdown tasks with target dates for prescribed milestones. For milestones that are due within the reporting period, documentation is required to provide evidence of milestone achievement.

Any explanations regarding altered or missed target commitments must be included within the textbox, not as narrative within uploaded documentation.

Milestone/Task Name	Status	Description	Original Start Date	Original End Date	Start Date	End Date	Quarter End Date	DSRIP Reporting Year and Quarter	AV
<b>Milestone #1</b> Develop Practitioners communication and engagement plan.	In Progress	Practitioner communication and engagement plan. This should include: -- Your plans for creating PPS-wide professional groups / communities and their role in the PPS structure -- The development of standard performance reports to professional groups --The identification of profession / peer-group representatives for relevant governing bodies, including (but not limited to) Clinical Quality Committee	10/01/2015	06/30/2016	10/01/2015	09/30/2016	09/30/2016	DY2 Q2	NO
<b>Task Step 1</b>	Completed	Establish practitioner engagement and communication infrastructure for overseeing outreach, education and engagement of practitioners; strategy to be coordinated with partner communication and engagement strategy.	10/01/2015	12/31/2015	10/01/2015	12/31/2015	12/31/2015	DY1 Q3	
<b>Task Step 2</b>	In Progress	Create listserv, newsletter and website for providing on-going update and performance reports to practitioners; establish communication mechanism for receiving comments and questions.	01/01/2016	06/30/2016	01/01/2016	09/30/2016	09/30/2016	DY2 Q2	
<b>Task Step 3</b>	In Progress	Engage professional practitioner organizations including MSSNY, county associations, ACP chapters or AAFP affiliations.	04/01/2016	06/30/2016	04/01/2016	09/30/2016	09/30/2016	DY2 Q2	
<b>Task Step 4</b>	Completed	Assign practitioner representatives to PPS committees including Governance, Clinical Project Planning, IT, and Finance.	04/01/2016	06/30/2016	04/01/2016	06/30/2016	06/30/2016	DY2 Q1	
<b>Task Step 5</b>	In Progress	Conduct outreach with practitioners, through face-to-face meetings and webinars, to develop better understanding of PPS goals, metrics and clinical project plans.	01/01/2016	06/30/2016	01/01/2016	09/30/2016	09/30/2016	DY2 Q2	



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Delivery System Reform Incentive Payment Project**

**DSRIP Implementation Plan Project**

**NYU Lutheran Medical Center (PPS ID:32)**

Milestone/Task Name	Status	Description	Original Start Date	Original End Date	Start Date	End Date	Quarter End Date	DSRIP Reporting Year and Quarter	AV
<b>Milestone #2</b> Develop training / education plan targeting practitioners and other professional groups, designed to educate them about the DSRIP program and your PPS-specific quality improvement agenda.	In Progress	Practitioner training / education plan.	01/01/2016	06/30/2016	01/01/2016	12/31/2016	12/31/2016	DY2 Q3	NO
<b>Task Step 1</b>	In Progress	Identify needed training capacity for practitioners through engagement of Clinical Sub-Committee and partner surveys.	01/01/2016	06/30/2016	01/01/2016	12/31/2016	12/31/2016	DY2 Q3	
<b>Task Step 2</b>	In Progress	Identify vendor/internal resources for practitioner training/education development.	04/01/2016	06/30/2016	04/01/2016	12/31/2016	12/31/2016	DY2 Q3	
<b>Task Step 3</b>	In Progress	Set up training/education curriculum that is specifically designed for practitioners.	04/01/2016	06/30/2016	04/01/2016	12/31/2016	12/31/2016	DY2 Q3	
<b>Task Step 4</b>	Not Started	Establish plan for evaluating on-going training needs.	04/01/2016	06/30/2016	07/01/2016	12/31/2016	12/31/2016	DY2 Q3	
<b>Task Step 5</b>	Not Started	On-going tracking and monitoring of training programs including: evidence of training take-up, description of training programs delivered, participant level data and training outcomes.	04/01/2016	06/30/2016	07/01/2016	12/31/2016	12/31/2016	DY2 Q3	

**IA Instructions / Quarterly Update**

Milestone Name	IA Instructions	Quarterly Update Description
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**Prescribed Milestones Current File Uploads**

Milestone Name	User ID	File Type	File Name	Description	Upload Date
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**Prescribed Milestones Narrative Text**

Milestone Name	Narrative Text
Develop Practitioners communication and engagement plan.	The NYU Lutheran PPS is currently in progress with this Practitioner Engagement Milestone #1. The PPS has pushed back the due date of this milestone and will continue to make efforts in this area towards the completion of this milestone.



**New York State Department Of Health  
Delivery System Reform Incentive Payment Project**

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**Prescribed Milestones Narrative Text**

Milestone Name	Narrative Text
Develop training / education plan targeting practioners and other professional groups, designed to educate them about the DSRIP program and your PPS-specific quality improvement agenda.	The NYU Lutheran PPS is currently in progress with this Practitioner Engagement Milestone #2. The PPS has pushed back the due date of this milestone and will continue to make efforts in this area towards the completion of this milestone.



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Delivery System Reform Incentive Payment Project**

**DSRIP Implementation Plan Project**

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**IPQR Module 7.2 - PPS Defined Milestones**

**Instructions :**

Please enter and update baseline target dates, as well as work breakdown tasks with target dates for PPS-defined milestones.

Milestone/Task Name	Status	Description	Original Start Date	Original End Date	Start Date	End Date	Quarter End Date	DSRIP Reporting Year and Quarter
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**PPS Defined Milestones Current File Uploads**

Milestone Name	User ID	File Type	File Name	Description	Upload Date
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**PPS Defined Milestones Narrative Text**

Milestone Name	Narrative Text
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Delivery System Reform Incentive Payment Project**

**DSRIP Implementation Plan Project**

**NYU Lutheran Medical Center (PPS ID:32)**

**✓ IPQR Module 7.3 - Major Risks to Implementation & Risk Mitigation Strategies**

**Instructions :**

Please describe the current level of engagement of your physician community in the DSRIP program and describe the key challenges or risks that you foresee in implementing your plans for physician engagement and achieving the milestones described above. Describe any potential impacts on specific projects and any risks that will undermine your ability to achieve outcome measure targets.

The PPS has had preliminary communication and engagement with practitioners and will embark on a comprehensive and on-going education and engagement strategy to ensure successful DSRIP implementation. Ambulatory care practitioners are essential to achieving the NYU Lutheran PPS' goal of reducing hospitalizations and readmissions. Practitioner buy-in to the PPS's clinical projects' evidence-based protocols, population health management strategies, required IT infrastructure, and focus on care coordination and patient navigation are important for the PPS to achieve its desired milestones and outcomes. Providers need to be continuously engaged in order to understand and effectuate their role and responsibilities in system transformation. Because practitioner engagement is so essential to meeting DSRIP goals, the PPS will identify "practitioner champions" who will play a key role in engaging practitioners and informing implementation. The PPS will also leverage existing professional group communication channels to ensure on-going communication and engagement.

**✓ IPQR Module 7.4 - Major Dependencies on Organizational Workstreams**

**Instructions :**

Please describe any interdependencies with other organizational workstreams (IT Systems and Processes, Clinical Integration, etc.)

Practitioner engagement will be closely interdependent with many other work streams including clinical integration, clinical project planning, population health management, partner engagement, governance workforce training and value-based reimbursement. The PPS will need to work very closely with practitioners to ensure an understanding of and engagement with the DSRIP goals, metrics, and outcomes and to ensure their perspectives are incorporated into every step of the implementation. Practitioner engagement will also interact with workforce training as part of the retraining, recruiting, and redeploying staff with appropriate skill sets.



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**NYU Lutheran Medical Center (PPS ID:32)**

**IPQR Module 7.5 - Roles and Responsibilities**

**Instructions :**

Please list and elaborate upon the key people/organizations responsible for this organizational workstream and describe what their responsibilities involve.

<b>Role</b>	<b>Name of person / organization (if known at this stage)</b>	<b>Key deliverables / responsibilities</b>
Practitioner Engagement Oversight	Dr. Gary Kalkut, NYU	Oversees practitioner engagement and communication infrastructure to ensure outreach, education and engagement of practitioners.
Practitioner Training Oversight	NYU Lutheran PPS Central Services, TBD	Oversees practitioner education and training.





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**NYU Lutheran Medical Center (PPS ID:32)**

**✓ IPQR Module 7.6 - Key Stakeholders**

**Instructions :**

Please identify the key stakeholders involved, both within and outside the PPS.

<b>Key stakeholders</b>	<b>Role in relation to this organizational workstream</b>	<b>Key deliverables / responsibilities</b>
<b>Internal Stakeholders</b>		
NYU Lutheran PPS Leadership	Oversight and on-going communication and engagement.	Lead communication, outreach and engagement with practitioners.
<b>External Stakeholders</b>		
"Practitioner champions"	Active engagement on PPS Executive Committee, Sub-Committees and work groups.	Represent physicians on various committees; play a key role in driving professional engagement.
Leads of Professional Groups	Includes representation of Practitioner Champions and PPS partners.	Provide natural communication channel to professions to ensure communication and engagement.
Practitioner partners (includes representatives from FQHCs, NYU Lutheran, physicians, behavioral health agencies, social service agencies and other clinical partners). Key partners to include, but not limited to NYU Lutheran, NYU, ODA, Addabbo, and Ezra Medical Center.	Ensuring key stakeholders across the PPS are represented and have input in the various PPS committees.	Participate in various PPS committees.



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Delivery System Reform Incentive Payment Project**

**DSRIP Implementation Plan Project**

**NYU Lutheran Medical Center (PPS ID:32)**

**✔ IPQR Module 7.7 - IT Expectations**

**Instructions :**

Please clearly describe how the development of shared IT infrastructure across the PPS will support this particular workstream.

At the highest level, a successful IT strategy and clinical project implementation structure and implementation plan development process are critical to achieving clinical integration across providers involved in a specific project and across the PPS. The integrated delivery system will establish shared connectivity, registries, care coordination tools, and analytics across the PPS in order to meet DSRIP performance goals and successfully implement DSRIP clinical projects. This shared IT infrastructure will support and promote practitioner engagement through the use and sharing of data and by providing access to IT tools.

**✔ IPQR Module 7.8 - Progress Reporting**

**Instructions :**

Please describe how you will measure the success of this organizational workstream.

The PPS will measure the success of its practitioner engagement strategy by providing quarterly updates on the development of the practitioner communication and engagement plan and practitioner training strategy. The PPS will use the clinical metrics, speed and scale tables and provider ramp ups to measure progress towards achieving practitioner engagement milestones.

**IPQR Module 7.9 - IA Monitoring**

**Instructions :**



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**DSRIP Implementation Plan Project**

**NYU Lutheran Medical Center (PPS ID:32)**

**Section 08 – Population Health Management**

**✅ IPQR Module 8.1 - Prescribed Milestones**

**Instructions :**

Please enter and update target dates, as well as breakdown tasks with target dates for prescribed milestones. For milestones that are due within the reporting period, documentation is required to provide evidence of milestone achievement.

Any explanations regarding altered or missed target commitments must be included within the textbox, not as narrative within uploaded documentation.

Milestone/Task Name	Status	Description	Original Start Date	Original End Date	Start Date	End Date	Quarter End Date	DSRIP Reporting Year and Quarter	AV
<b>Milestone #1</b> Develop population health management roadmap.	In Progress	Population health roadmap, signed off by PPS Board, including: -- The IT infrastructure required to support a population health management approach -- Your overarching plans for achieving PCMH 2014 Level 3 certification in relevant provider organizations --Defined priority target populations and define plans for addressing their health disparities.	10/01/2015	09/30/2016	10/01/2015	09/30/2016	09/30/2016	DY2 Q2	NO
<b>Task Step 1</b>	In Progress	Identify those responsible for population health management roadmap development, monitoring and reporting.	10/01/2015	06/30/2016	10/01/2015	09/30/2016	09/30/2016	DY2 Q2	
<b>Task Step 2</b>	Completed	Conduct current state IT assessment, including those elements needed to support population health management.	10/01/2015	03/31/2016	10/01/2015	03/31/2016	03/31/2016	DY1 Q4	
<b>Task Step 3</b>	In Progress	Assess PCMH status of primary care partners and establish a strategy to address needed progress.	10/01/2015	06/30/2016	10/01/2015	09/30/2016	09/30/2016	DY2 Q2	
<b>Task Step 4</b>	In Progress	Conduct workforce assessment that includes functions needed for population health management.	01/01/2016	06/30/2016	01/01/2016	09/30/2016	09/30/2016	DY2 Q2	
<b>Task Step 5</b>	In Progress	Analyze data from IT, PCMH and workforce assessments.	04/01/2016	06/30/2016	04/01/2016	09/30/2016	09/30/2016	DY2 Q2	
<b>Task Step 6</b>	Completed	Using the PPS's Community Needs Assessment, identify priority target populations, including those with targeted chronic conditions aligned with chosen DSRIP projects and to reduce excess readmissions and ED visits.	01/01/2016	03/31/2016	01/01/2016	03/31/2016	03/31/2016	DY1 Q4	
<b>Task Step 7</b>	Not Started	Draft and finalize population health management roadmap.	04/01/2016	09/30/2016	07/01/2016	09/30/2016	09/30/2016	DY2 Q2	
<b>Task Step 8</b>	Not Started	Obtain approval of population health management roadmap from Brooklyn Bridges PPS Leadership.	07/01/2016	09/30/2016	07/01/2016	09/30/2016	09/30/2016	DY2 Q2	



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**DSRIP Implementation Plan Project**

**NYU Lutheran Medical Center (PPS ID:32)**

Milestone/Task Name	Status	Description	Original Start Date	Original End Date	Start Date	End Date	Quarter End Date	DSRIP Reporting Year and Quarter	AV
<b>Milestone #2</b> Finalize PPS-wide bed reduction plan.	In Progress	PPS Bed Reduction plan, signed off by PPS Board. This should set out your plan for bed reductions across your network, including behavioral health units/facilities, in line with planned reductions in avoidable admissions and the shift of activity from inpatient to outpatient settings.	10/01/2015	03/31/2017	10/01/2015	03/31/2017	03/31/2017	DY2 Q4	NO
<b>Task Step 1</b>	In Progress	Identify staff responsible for developing and reporting on bed reduction plan.	10/01/2015	06/30/2016	10/01/2015	09/30/2016	09/30/2016	DY2 Q2	
<b>Task Step 2</b>	Completed	Develop implementation plans for the ED Triage and Observation Unit projects.	10/01/2015	12/31/2015	10/01/2015	12/31/2015	12/31/2015	DY1 Q3	
<b>Task Step 3</b>	Not Started	Develop method to monitor the impact of DSRIP and care activities on utilization.	04/01/2016	09/30/2016	07/01/2016	12/31/2016	12/31/2016	DY2 Q3	
<b>Task Step 4</b>	Not Started	Draft and finalize bed reduction plan.	04/01/2016	03/31/2017	10/01/2016	03/31/2017	03/31/2017	DY2 Q4	

**IA Instructions / Quarterly Update**

Milestone Name	IA Instructions	Quarterly Update Description
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**Prescribed Milestones Current File Uploads**

Milestone Name	User ID	File Type	File Name	Description	Upload Date
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**Prescribed Milestones Narrative Text**

Milestone Name	Narrative Text
Develop population health management roadmap.	
Finalize PPS-wide bed reduction plan.	



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Delivery System Reform Incentive Payment Project**

**DSRIP Implementation Plan Project**

**NYU Lutheran Medical Center (PPS ID:32)**

**IPQR Module 8.2 - PPS Defined Milestones**

**Instructions :**

Please enter and update baseline target dates, as well as work breakdown tasks with target dates for PPS-defined milestones.

Milestone/Task Name	Status	Description	Original Start Date	Original End Date	Start Date	End Date	Quarter End Date	DSRIP Reporting Year and Quarter
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**PPS Defined Milestones Current File Uploads**

Milestone Name	User ID	File Type	File Name	Description	Upload Date
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**PPS Defined Milestones Narrative Text**

Milestone Name	Narrative Text
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# New York State Department Of Health Delivery System Reform Incentive Payment Project

## DSRIP Implementation Plan Project

### NYU Lutheran Medical Center (PPS ID:32)

#### ✅ IPQR Module 8.3 - Major Risks to Implementation & Risk Mitigation Strategies

##### Instructions :

Please describe the key challenges or risks that you foresee in implementing these cross-cutting organizational strategies, including potential impacts on specific projects and, crucially, any risks that will undermine your ability to achieve outcome measure targets.

The NYU Lutheran PPS has identified the following key challenges and risks that could impact our ability to achieve the milestones for the Population Health Management section:

- Limited implementation (e.g., due to delays, unforeseen challenges) of any of the interdependent work streams (see below), including the PPS's IT strategy, PCMH strategy, central services and patient navigation strategies, workforce strategy, cultural competency/health literacy strategy, etc.
- Limited success in educating and engaging providers and CBOs on the PPS's IDS and PHM approach (bridging the disconnect between system-level expectations and provider-level understanding or realities), and to facilitate their adoption of the IT, staffing and workflow changes necessary to implement PHM in a timeframe that meets the PPS's milestone and speed/scale targets.
- Limited success in engaging NYU Lutheran PPS patients in the PPS's population health management activities and initiatives.

The NYU Lutheran PPS intends to mitigate these risks through broad Partner, practitioner and patient communication and planning strategies and activities, formal Brooklyn cross-PPS discussions and efforts, and significant and dedicated NYU Lutheran PPS Leadership and project management oversight (ensuring each of the clinical projects get implemented as planned and in a timely manner) and coordination of all of these intersecting work streams.

#### ✅ IPQR Module 8.4 - Major Dependencies on Organizational Workstreams

##### Instructions :

Please describe any interdependencies with other organizational workstreams (IT Systems and Processes, Clinical Integration, etc.)

This Work Stream is one of the key goals and underpinnings of the DSRIP initiative. It is highly dependent on each and every one of the other organizational Work Streams and clinical projects, which together will help the NYU Lutheran PPS effectuate its population health management approach, goals and functionality across the PPS. Most critical are the patient navigation center, information technology and informatics, practitioner engagement to ensure practitioners have a deep understanding of and skills to implement PHM and effective clinical integration, and to ensure that patients are actively engaging in their care processes. Also important are cultural competency/health literacy and performance reporting to ensure that providers are delivering and patients are receiving care in a way they understand, and that providers are being monitored and measured on their performance in meeting the PPS's population health management goals. Additionally, this Work Stream will depend on the Finance Work Stream which will determine the available funding for each project. This funding level may present significant challenges given project requirements.



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Delivery System Reform Incentive Payment Project**

**DSRIP Implementation Plan Project**

**NYU Lutheran Medical Center (PPS ID:32)**

**IPQR Module 8.5 - Roles and Responsibilities**

**Instructions :**

Please list and elaborate upon the key people/organizations responsible for this organizational work stream and describe what their responsibilities involve.

<b>Role</b>	<b>Name of person / organization (if known at this stage)</b>	<b>Key deliverables / responsibilities</b>
Lead the PHM Roadmap development	NYU Lutheran PPS Central Services Population Health Management Lead, TBD	Oversight of PHM roadmap development; oversight and/or coordination across the moving parts (e.g., IT assessment; PCMH assessment and certification).
Lead assessments integral to PHM Roadmap	Hilton Marcus, Executive Director, DSRIP, NYU	Oversight of IT, PCMH and workforce assessments, and coordination with PHM Lead.
Lead the Bed Reduction strategy	Dr. Gary Kalkut, NYU	Ensuring modeling of impact of clinical projects on hospital/community care utilization.



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**DSRIP Implementation Plan Project**

**NYU Lutheran Medical Center (PPS ID:32)**

**✓ IPQR Module 8.6 - Key Stakeholders**

**Instructions :**

Please identify the key stakeholders involved, both within and outside the PPS.

<b>Key stakeholders</b>	<b>Role in relation to this organizational workstream</b>	<b>Key deliverables / responsibilities</b>
<b>Internal Stakeholders</b>		
NYU Lutheran PPS Central Services	Hilton Marcus, Executive Director, DSRIP, NYU	Oversight of PHM roadmap development.
NYU Lutheran PPS Partners (includes representatives from FQHCs, NYU Lutheran, physicians, behavioral health agencies, social service agencies and other clinical partners). Key partners to include, but not limited to NYU Lutheran, NYU, ODA, Addabbo, and Ezra Medical Center.	Impacted by bed reduction strategy	Member of coordinating body or workgroup.
<b>External Stakeholders</b>		
Medicaid beneficiaries	End user of reformed care delivery system	Feedback through surveys.
Workforce	Impacted by shifts in patient utilization in various care settings	Feedback through training programs.
CBOs (e.g., CAMBA, Visiting Nurse Service, Brooklyn Perinatal Network)	Impact patient engagement	Feedback through surveys or other tools
Health plans	Partners in value-based purchasing	Share experiences and tools for PHM; ultimately participate in DSRIP payment reforms.





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**DSRIP Implementation Plan Project**

**NYU Lutheran Medical Center (PPS ID:32)**

**✓ IPQR Module 8.7 - IT Expectations**

**Instructions :**

Please describe the current Population Health Management IT capabilities in place throughout your PPS network and what your plans are at this stage for leveraging these capabilities and/or developing new IT infrastructure.

NYU Lutheran Family Health Centers, a key partner in the NYU Lutheran PPS, uses a clinical risk stratification algorithm and a set of reports including patient registries, provider reports and pre-visit planning as part of its community case management program to identify patients at risk for readmissions. NYU also brings tremendous population health management IT assets and capabilities. Both will be leveraged to support the PPS's population health management goals and strategies.

The integrated delivery system will establish shared connectivity, registries, care coordination tools, and analytics across the PPS in order to meet DSRIP performance goals and successfully implement DSRIP clinical projects.

**✓ IPQR Module 8.8 - Progress Reporting**

**Instructions :**

Please describe how you will measure the success of this organizational workstream.

The PPS will measure the success of its population health management by providing updates on each of the work streams incorporated into the road map (e.g., IT, Workforce, PCMH status, Clinical Projects), including its bed reduction plan. The PPS also will use other data sources, including what the state will provide and health plan data to measure patient service utilization and outcomes. The PPS will use the clinical metrics, speed and scale tables and provider ramp ups to measure progress towards achieving population health management milestones.

**IPQR Module 8.9 - IA Monitoring**

**Instructions :**



**New York State Department Of Health  
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**DSRIP Implementation Plan Project**

**NYU Lutheran Medical Center (PPS ID:32)**

**Section 09 – Clinical Integration**

**✓ IPQR Module 9.1 - Prescribed Milestones**

**Instructions :**

Please enter and update target dates, as well as breakdown tasks with target dates for prescribed milestones. For milestones that are due within the reporting period, documentation is required to provide evidence of milestone achievement.

Any explanations regarding altered or missed target commitments must be included within the textbox, not as narrative within uploaded documentation.

Milestone/Task Name	Status	Description	Original Start Date	Original End Date	Start Date	End Date	Quarter End Date	DSRIP Reporting Year and Quarter	AV
<b>Milestone #1</b> Perform a clinical integration 'needs assessment'.	In Progress	Clinical integration 'needs assessment' document, signed off by the Clinical Quality Committee, including: -- Mapping the providers in the network and their requirements for clinical integration (including clinical providers, care management and other providers impacting on social determinants of health) -- Identifying key data points for shared access and the key interfaces that will have an impact on clinical integration -- Identify other potential mechanisms to be used for driving clinical integration	07/01/2015	06/30/2016	07/01/2015	09/30/2016	09/30/2016	DY2 Q2	NO
<b>Task Step 1</b>	Completed	Establish clinical project work groups (to include key providers and practitioners from partner sites) to inform the clinical integration needs assessment and strategy development (review and provide feedback).	07/01/2015	09/30/2015	07/01/2015	09/30/2015	09/30/2015	DY1 Q2	
<b>Task Step 2</b>	In Progress	Map the provider landscape participating in each clinical project.	10/01/2015	06/30/2016	10/01/2015	09/30/2016	09/30/2016	DY2 Q2	
<b>Task Step 3</b>	In Progress	Utilize workgroups to develop and implement CI needs assessment framework (coordinated with related needed surveys, such as a Workforce or IT survey).	10/01/2015	06/30/2016	10/01/2015	09/30/2016	09/30/2016	DY2 Q2	
<b>Task Step 4</b>	In Progress	Finalize clinical integration needs assessment.	01/01/2016	06/30/2016	01/01/2016	09/30/2016	09/30/2016	DY2 Q2	
<b>Task Step 5</b>	Not Started	Obtain approval of clinical integration needs assessment by Clinical Sub Committee.	04/01/2016	06/30/2016	07/01/2016	09/30/2016	09/30/2016	DY2 Q2	
<b>Milestone #2</b> Develop a Clinical Integration strategy.	In Progress	Clinical Integration Strategy, signed off by Clinical Quality Committee, including: -- Clinical and other info for sharing	01/01/2016	12/31/2016	01/01/2016	12/31/2016	12/31/2016	DY2 Q3	NO



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**DSRIP Implementation Plan Project**

**NYU Lutheran Medical Center (PPS ID:32)**

Milestone/Task Name	Status	Description	Original Start Date	Original End Date	Start Date	End Date	Quarter End Date	DSRIP Reporting Year and Quarter	AV
		-- Data sharing systems and interoperability -- A specific Care Transitions Strategy, including: hospital admission and discharge coordination; and care transitions and coordination and communication among primary care, mental health and substance use providers -- Training for providers across settings (inc. ED, inpatient, outpatient) regarding clinical integration, tools and communication for coordination -- Training for operations staff on care coordination and communication tools							
Task Step 1	In Progress	Utilize clinical project workgroups to define desired future CI state; analyze clinical integration needs assessment to identify gaps to future state (re: IT, care management staffing and protocols, clinical protocols, etc.).	01/01/2016	06/30/2016	01/01/2016	12/31/2016	12/31/2016	DY2 Q3	
Task Step 2	In Progress	Utilize clinical project workgroups to develop prioritized steps (possibly to include a workforce training plan, workflow standardization protocols, or standardize care management protocols, for example) for closing the identified CI gaps, with a particular focus on closing care transition gaps.	01/01/2016	06/30/2016	01/01/2016	12/31/2016	12/31/2016	DY2 Q3	
Task Step 3	Not Started	Finalize a clinical integration strategy across all clinical projects signed off by the Clinical Committee. The clinical integration strategy will include transition strategy across care continuum and leverage care management/care coordination expertise.	07/01/2016	12/31/2016	10/01/2016	12/31/2016	12/31/2016	DY2 Q3	

**IA Instructions / Quarterly Update**

Milestone Name	IA Instructions	Quarterly Update Description
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**Prescribed Milestones Current File Uploads**

Milestone Name	User ID	File Type	File Name	Description	Upload Date
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**New York State Department Of Health  
Delivery System Reform Incentive Payment Project**

**DSRIP Implementation Plan Project**

**NYU Lutheran Medical Center (PPS ID:32)**

**Prescribed Milestones Narrative Text**

Milestone Name	Narrative Text
Perform a clinical integration 'needs assessment'.	The NYU Lutheran PPS is currently in progress with this Clinical Integration Milestone #1. The PPS has pushed back the due date of this milestone and will continue to make efforts in this area towards the completion of this milestone.
Develop a Clinical Integration strategy.	



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**NYU Lutheran Medical Center (PPS ID:32)**

**IPQR Module 9.2 - PPS Defined Milestones**

**Instructions :**

Please enter and update baseline target dates, as well as work breakdown tasks with target dates for PPS-defined milestones.

Milestone/Task Name	Status	Description	Original Start Date	Original End Date	Start Date	End Date	Quarter End Date	DSRIP Reporting Year and Quarter
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**PPS Defined Milestones Current File Uploads**

Milestone Name	User ID	File Type	File Name	Description	Upload Date
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**PPS Defined Milestones Narrative Text**

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**✓ IPQR Module 9.3 - Major Risks to Implementation & Risk Mitigation Strategies**

**Instructions :**

Please describe the key challenges or risks that you foresee in improving the level of clinical integration throughout your network and achieving the milestones described above. Describe potential impacts on specific projects and any risks that will undermine your ability to achieve outcome measure targets.

At the highest level, successfully delivering needed IT and implementing the clinical projects are critical to achieving clinical integration across providers involved in a specific project and across the PPS. Each of those IP sections describe the processes the PPS is putting in place to mitigate any risks to achieving those Work Streams. Other risks similarly could impact the implementation of this Work Stream, including:

- Inability of providers and practitioners of all sizes to financially, administratively or operationally be able to adopt the HIT/HIE tools and processes necessary to effectuate clinical integration across the PPS.
- Educating and engaging practitioners sufficiently to ensure PPS-wide adoption of evidence-based clinical pathways, care models, and care transitions protocols to ensure true clinical integration across projects. Practitioners must be resourced appropriately to adopt these new care models and protocols.

The PPS has several mechanisms to mitigate these risks, including an active multi-dimensional communication and collaboration strategy with its partners and practitioners (e.g., All Partner meetings; PPS Newsletter; Regular email exchanges; Clinical Project Workgroups), including key partners/practitioners on the key Committees or workgroups necessary to oversee or implement these Work Streams, and ensuring active linkages across the Work Streams (e.g., IT and Clinical Projects). The clinical project general implementation section describes the PPS's general approach to ensuring provider engagement and capacity, and each clinical project describes the PPS's risk and mitigation strategy related to provider engagement and capacity.

**✓ IPQR Module 9.4 - Major Dependencies on Organizational Workstreams**

**Instructions :**

Please describe any interdependencies with other organizational workstreams (IT Systems and Processes, Clinical Integration, etc.)

As noted in this section, this work stream is highly dependent on the IT and Clinical Project Work Streams, particularly, but also is dependent on successful Workforce, Cultural Competency/Training, and Practitioner Engagement Work Streams. Successful IT delivery and clinical project implementation are critical to achieving clinical integration across providers involved in specific projects and across the PPS. Additionally, this Work Stream will depend on the Finance Work Stream which will determine the available funding for each project. This funding level may present significant challenges given project requirements.



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**IPQR Module 9.5 - Roles and Responsibilities**

**Instructions :**

Please list and elaborate upon the key people/organizations responsible for this organizational workstream and describe what their responsibilities involve.

<b>Role</b>	<b>Name of person / organization (if known at this stage)</b>	<b>Key deliverables / responsibilities</b>
Oversight of CI Work Stream	Clinical Sub-Committee	Oversight and approval body.
Lead clinical integration work stream	Dr. Gary Kalkut, NYU	Oversee and monitor clinical integration activities.
Support development of clinical integration needs assessment and strategy	Project-specific clinical workgroups	Clinical integration needs assessment and strategy development.



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**IPQR Module 9.6 - Key Stakeholders**

**Instructions :**

Please identify the key stakeholders involved, both within and outside the PPS.

<b>Key stakeholders</b>	<b>Role in relation to this organizational workstream</b>	<b>Key deliverables / responsibilities</b>
<b>Internal Stakeholders</b>		
NYU Lutheran PPS Partners (includes representatives from FQHCs, NYU Lutheran, physicians, behavioral health agencies, social service agencies , health homes and other clinical partners). Key partners to include, but not limited to NYU Lutheran, NYU, ODA, Addabbo, and Ezra Medical Center.	Active workgroup participation; acceptance and adoption of clinical integration strategy	Engage in the process and related trainings.
<b>External Stakeholders</b>		
NYU Lutheran PPS patients/families	Recipients of improved care delivery/caregivers or supports for these patients	Feedback through surveys.
CBOs	Critical patient resources/supports	Feedback through surveys.
Health plans	Source of member data to monitor outcomes	Patient data.





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**✔ IPQR Module 9.7 - IT Expectations**

**Instructions :**

Please clearly describe how the development of shared IT infrastructure across the PPS will support this particular workstream.

IT infrastructure across the PPS will be critical to supporting this Work Stream. Practitioners across the PPS, and particularly across projects, will need ready access to existing and new information and data (e.g., patient electronic health records; care management and care transitions tools and protocols; patient registries, appointment scheduling and reminder tools, provider communication tools, etc.) to be able to transform their practice to achieve clinical integration across providers in the PPS.

The NYU Lutheran PPS will establish an integrated delivery system that will connect patients and providers, and build deeply integrated and transformative clinical and care management workflows. The integrated delivery system will establish shared connectivity, registries, provider and patient portals, care coordination tools, and analytics across the PPS in order to meet DSRIP performance goals and successfully implement DSRIP clinical projects.

**✔ IPQR Module 9.8 - Progress Reporting**

**Instructions :**

Please describe how you will measure the success of this organizational workstream.

The PPS will measure the success of clinical integration across time and in several ways. We will be monitoring and reporting on the project-specific process and outcome measures; monitoring and reporting on the implementation of our IT strategy; and conducting periodic patient and provider surveys about their care delivery experience (e.g., through satisfaction surveys) and practice transformation (e.g., PCMH status assessment), respectively.

**IPQR Module 9.9 - IA Monitoring:**

**Instructions :**



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**Section 10 – General Project Reporting**

**✓ IPQR Module 10.1 - Overall approach to implementation**

**Instructions :**

Please summarize your intended approach to the implementation of your chosen DSRIP projects, including considerations around how this approach will allow for the successful development of concurrently implementing DSRIP projects.

The NYU Lutheran PPS's approach to successful clinical project implementation centers on 1) leveraging NYU Lutheran and NYULMC's existing infrastructure, resources, and clinical expertise to develop robust clinical operational plans and to provide access to clinical expertise, technical assistance and central support to implement each project; 2) establishing clinical project governance and management structures that enable efficiency, effectiveness and transparency; 3) coordinating with and actively engaging key partners; and, 4) establishing IT connectivity between and among PPS partners to share data, track progress against project milestones, and report on results to ensure meaningful patient outcomes.

Partner engagement is the crux of successfully implementing this approach. NYU Lutheran PPS partners bring clinical expertise, as well as culturally-competent and community-centered knowledge, relationships and resources. Each project's success hinges on harnessing this expertise and channeling it to the wider PPS's benefit. As such, partners will be integral to the individual project teams as well as the clinical project oversight structure to develop and implement each project.

This integrated, collaborative approach will enable the NYU Lutheran PPS to transform from a disparate group of providers to a highly integrated network where each clinical project works in concert to achieve DSRIP's goals. The PPS clinical projects governance and project implementation structure will leverage individual project work groups, each responsible for focusing on their project goals, interventions, and milestones, to share data-driven, evidence-based best practices and other learnings to contribute to each project's successful implementation. These work groups will be co-led by both a NYU Lutheran and community-based partner representative and comprised of NYU Lutheran, NYU, primary care and other partners. The Clinical Sub-Committee, which will report to the PPS Executive Committee and be comprised of each work group's co-leads and additional PPS clinical and administrative leadership, will oversee all clinical projects and monitor each project's implementation to lift up cross-cutting project successes and challenges. Following implementation planning, work groups will shift to assume responsibility for on-going project monitoring and performance management and provider engagement with support from the PPS central services group.

The PPS will support this implementation approach by deploying coordinated IT systems and the Patient Navigation Center, providing actionable data for care management and coordination, and facilitating technical assistance for partners to meet the challenges of implementing specific project elements.

**✓ IPQR Module 10.2 - Major dependencies between work streams and coordination of projects**

**Instructions :**

Please describe how your approach will handle interdependencies between complementary projects, as well as between projects and cross-cutting PPS initiatives - for example, an IT infrastructure upgrade, or the



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establishment of data sharing protocols.

Successful project implementation will depend on efforts within and across the PPS-specific work streams and also cross-PPS initiatives. Specifically, the following major inter dependencies have been identified by our PPS will be addressed via cross work stream and cross PPS initiatives. These will include, but not be limited to: 1) supporting relevant partners to achieve PCMH NCQA 2014 Level 3 status; 2) successfully implementing IT systems, including EMRs and HIE connectivity; 3) contracting with MCOs to support financial sustainability; 4) engaging patients, as well as engaging providers to consistently employ evidence-based, best practice clinical protocols to achieve standardization in care coordination, quality and outcomes; 5) developing a PPS-wide budget approach to ensure adequate funds are available across projects; 6) coordinating with other PPSs and 7) coordinating the PPS's workforce strategy and priorities across projects.



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**✓ IPQR Module 10.3 - Project Roles and Responsibilities**

**Instructions :**

Please outline the key individuals & organizations that play a role in the delivery of your PPS's DSRIP projects, as well as what their responsibilities are regarding governance, implementation, monitoring and reporting on your DSRIP projects.

<b>Role</b>	<b>Name of person / organization (if known at this stage)</b>	<b>Key deliverables / responsibilities</b>
Project Management Office	NYU Lutheran PPS PMO	Coordinate PPS-wide functionality and provide day-to-day operational support for PPS, including implementation management of selected cross-project initiatives (e.g., support PCMH objectives).
Clinical Project Sub-Committee	NYU Lutheran PPS	Oversee PPS-wide clinical project implementation and on-going monitoring.
Behavioral Health Work Group	NYU Lutheran PPS	Develop plan and oversee implementation of the Behavioral Health and Primary Care integration project.
Diabetes Work Group	NYU Lutheran PPS	Develop plan and oversee implementation of the Diabetes project.
HIV Work Group	NYU Lutheran PPS	Develop plan and oversee implementation of the HIV project.
Tobacco Use Cessation Work Group	NYU Lutheran PPS	Develop plan and oversee implementation of the Tobacco Use Cessation project.
Asthma Work Group	NYU Lutheran PPS	Develop plan and oversee implementation of the Asthma project.
ED Care Triage Work Group	NYU Lutheran PPS	Develop plan and oversee implementation of ED Care Triage project.
Observation Unit Work Group	NYU Lutheran PPS	Develop plan and oversee implementation of the Observation Unit project.
Care Management and Navigation Work Group	NYU Lutheran PPS	Develop plan and oversee implementation of the Care Management and Navigation project.



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**✔ IPQR Module 10.4 - Overview of key stakeholders and how influenced by your DSRIP projects**

**Instructions :**

In the below table, please set out who the key stakeholders are that play a major role across multiple DSRIP projects. Please give an indication of the role they play and how they impact your approach to delivering your DSRIP projects.

<b>Key stakeholders</b>	<b>Role in relation to this organizational workstream</b>	<b>Key deliverables / responsibilities</b>
<b>Internal Stakeholders</b>		
PPS Finance Sub-Committee	Conduct PPS-wide financial impact monitoring.	Responsible for on-going monitoring of the impact of the DSRIP projects on the financial health of the network and individual providers.
Project Advisory Committee	Represent partners in PPS governance.	Serve on PPS-wide committees as organizational and provider-type representative.
<b>External Stakeholders</b>		
NYU Lutheran PPS attributed members	Impacted positively by the nine clinical projects resulting in improved access and coordination of care and overall health and health outcomes.	Beneficiaries of the PPS infrastructure and clinical projects. Responsible for playing an active role in their care.
Medicaid Managed Care Organizations	Contract to support financially sustainable clinical projects.	Partners in sharing claims and EHR data, negotiating and piloting new payment models.
NYC Department of Health and Mental Hygiene	Collaborate with the PPS to implement several Domain 3 and 4 projects (i.e., Asthma, Tobacco, HIV).	The PPS project implementation planning teams are working with DOHMH representatives to determine DOHMH responsibilities to support interventions.
Brooklyn-area PPSs, including OneCity Health, Community Care of Brooklyn, and Advocate Community Partners	Collaborate with the PPS on implementation of our HIV and tobacco use cessation projects. For Domain 2 and 3 projects, collaborate with the PPS by sharing best practices, lessons learned for Asthma, Diabetes and Behavioral Health projects and coordinating patient data-sharing of other PPSs lives who seek care by our PPS providers (e.g. ED Care Triage, Observation Unit).	PPSs will share best practices and avoid duplication of effort.
1199	Serves as PPS primary workforce vendor.	Assist PPS with workforce engagement, development, and training.
OPWDD	Committed to ensuring representation and coordination among DD providers.	Provide technical assistance to PPS, as needed, to promote and establish participation of DD providers.
OMH	Committed to ensuring representation and coordination among providers of mental health services.	Provide technical assistance to PPS, as needed, to support integration of behavioral health and primary care.
OASAS	Committed to ensuring representation and coordination among providers of substance abuse services.	Provide technical assistance to PPS, as needed to ensure integration of substance abuse providers.



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## DSRIP Implementation Plan Project

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#### ✅ IPQR Module 10.5 - IT Requirements

##### Instructions :

Information technology will play a crucial role in the development of an effective, integrated performing provider system. It is likely that most projects will require some level of supporting IT infrastructure. Please describe the key elements of IT infrastructure development that will play a role in multiple projects.

The development of a robust information technology platform will play a critical and central role in the success of the NYU Lutheran PPS. This platform will help ensure a cohesive and effective partnership to advance population health and value based payments between NYU Lutheran Medical Center and its various key partners. The IT infrastructure will be supported by experts at NYU Langone Medical Center (NYULMC), leveraging its private health information exchange and the State's Regional Health Information Organization (RHIO) in Brooklyn, Healthlx.

NYULMC has consistently demonstrated its commitment to building a strong health information technology (HIT) infrastructure to support the mission and vision of becoming a world-class, patient-centered, integrated academic medical center. Their commitment is exemplified by the development and expansion of the hospital and clinically integrated network (CIN) dashboards, the NYULMC Health Information Exchange (HIE), and the implementation of Epic, our enterprise-wide electronic medical record system. These systems are the cornerstone of the NYU Lutheran PPS's Enterprise Clinical Platform (ECP), which, along with our Patient Navigation Center, will successfully integrate our mission to eliminate avoidable hospital use and improve access and delivery of appropriate clinical services in Brooklyn.

The hospital dashboard leverages HIT systems and administrative data for the purpose of monitoring key indicators for operational, financial, and quality performance. Building on this, the CIN dashboard layers external claims data and additional clinical information from HIE. These tools support information-sharing and transparency while allowing clinical and administrative teams to identify areas for improvement. Metrics are available on the site, physician, population and patient level, allowing for sufficient drill down to support actionable quality improvement. These tools also advance risk stratification, predictive and population analytics, and may be provided to the PPS.

NYULMC launched the HIE, an electronic platform to mobilize patient information across physicians and organizations. All physicians in inpatient and outpatient settings are required to be electronically connected to this exchange. In addition, NYULMC has connected to approximately 200 physician practices in its voluntary network accounting for 26 EMRs. The PPS's IT team is currently in process of planning our connectivity strategy to our PPS partners and its Patient Navigation Center in order to share clinical data and track patient progress in the community. Further, connecting post-acute facilities to the HIE will provide a platform for increased communication and information exchange between providers. The HIE will allow care protocols to be shared and clinical issues to be communicated within and across care settings to improve the efficiency and quality of care provided to our patients. The HIE will also be supported by a consistent EMR system in Epic, which will be implemented throughout the NYU Lutheran hospital system by Autumn 2016. Protocols, developed as part of care redesign for DSRIP, will be incorporated into Epic to guide providers along the clinical continuum.

By enhancing the capacity for providers across the care community to connect and share information about patients, NYU Lutheran PPS will continue to create the ability to measure and improve quality of care, enable care redesign, and coordinate care for our attributed population and across our community.

#### ✅ IPQR Module 10.6 - Performance Monitoring



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**Instructions :**

Please explain how your DSRIP projects will fit into your development of a quality performance reporting system and culture.

As part of the State's goal to "better understand[] the patterns of health outcomes and healthcare within each region of the state to assist with network formation," the NYU Lutheran PPS will foster an environment of quality performance reporting and culture through our main clinical projects. This includes setting common, evidence-based protocols where applicable, and tracking the improvement in health outcomes through the State's tools, such as its DSRIP Performance Chartbooks, DSRIP Dashboards, DSRIP Domain 3 Clinical Metrics Dataset, Salient Performance Data, and other DSRIP relevant performance data. Examples of how the projects will fit into the development of a quality performance reporting system and culture include the development of collaborative, evidence-based standards of care including medication management and care engagement process in Project 3.a.i, the implementation of evidence-based best practices for disease management, specific to diabetes, in community and ambulatory care settings for Project 3.c.i, and the development and implementation of evidence-based asthma management guidelines for Project 3.d.ii.



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## DSRIP Implementation Plan Project

### NYU Lutheran Medical Center (PPS ID:32)

#### ✔ IPQR Module 10.7 - Community Engagement

##### Instructions :

Please describe your PPS's planned approach for driving community involvement in the DSRIP projects, how you will contract with CBOs for these projects, how community engagement will contribute to the success of the projects, and any risks associated with this.

The NYU Lutheran DSRIP program recognizes the value of community collaboration, including community-based organizations as equal and active partners and embracing true and meaningful community engagement. This model provides the best opportunity for creating a truly integrated delivery system, with prevention as the cornerstone of the system, with the aim of bringing healthcare services deep into the community and stabilizing and improving the health of fragile populations.

Our plan achieves this goal by defining DSRIP as a health program that incorporates the social determinants of health as fundamental to improving the outcomes of medical interventions. This recognizes the unique character of CBOs whose inherent cultural and social competence provide a vector to addressing these social determinants. NYU Lutheran DSRIP leverages the special skills, assets and contributions of CBOs, embedding their representation in the governance structure and in working groups. The program's Clinical Projects Workplan delineates specific functions that capitalize on CBO expertise. Examples of current plans to embed CBO participation in clinical activities include:

- Leveraging culturally-specific organizations to serve as cultural brokers for messaging on appropriate use of the emergency department
- Promoting training and employment opportunities to build a community-based and linguistically and culturally competent community health workforce;
- Subcontracting with CBOs to provide health education and wellness prevention services;
- Capitalizing on CBO existing expertise on particular health issues such as asthma prevention through home inspection; and
- Developing and disseminating health information to hard to reach populations

Potential associated risk includes assuring that DSRIP has sufficient infrastructure and financial resources to oversee CBO partnerships, including establishing a standard of practice expectation, provide training, and monitor activities.

#### IPQR Module 10.8 - IA Monitoring

##### Instructions :





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**Section 11 – Workforce**

**✔ IPQR Module 11.1 - Workforce Strategy Spending (Baseline)**

**Instructions :**

Please include details on expected workforce spending on a semi-annual basis. Funds may be shifted from one funding type category to another within the workforce strategy spending table, as long as the PPS adheres to their overall spend commitments. However, the PPS may apply a 25% discount factor to the DY1 Workforce Strategy Spend target. If the PPS applies this discount in DY1, the PPS will be expected to reallocate those funds appropriately in DY2-4 to fully meet their DY1-4 total commitment.

Funding Type	Year/Quarter										Total Spending(\$)
	DY1(Q1/Q2)(\$)	DY1(Q3/Q4)(\$)	DY2(Q1/Q2)(\$)	DY2(Q3/Q4)(\$)	DY3(Q1/Q2)(\$)	DY3(Q3/Q4)(\$)	DY4(Q1/Q2)(\$)	DY4(Q3/Q4)(\$)	DY5(Q1/Q2)(\$)	DY5(Q3/Q4)(\$)	
Retraining	0.00	0.00	367,649.00	367,648.00	130,000.00	100,000.00	75,000.00	75,000.00	25,000.00	25,000.00	1,165,297.00
Redeployment	0.00	0.00	0.00	0.00	200,000.00	200,000.00	88,000.00	88,000.00	25,000.00	0.00	601,000.00
New Hires	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	70,000.00	65,000.00	135,000.00
Other	0.00	420,892.00	230,000.00	75,000.00	225,000.00	225,000.00	197,000.00	197,000.00	75,000.00	75,000.00	1,719,892.00
<b>Total Expenditures</b>	<b>0.00</b>	<b>420,892.00</b>	<b>597,649.00</b>	<b>442,648.00</b>	<b>555,000.00</b>	<b>525,000.00</b>	<b>360,000.00</b>	<b>360,000.00</b>	<b>195,000.00</b>	<b>165,000.00</b>	<b>3,621,189.00</b>

**Current File Uploads**

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**Narrative Text :**

For PPS to provide additional context regarding progress and/or updates to IA.



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**✔ IPQR Module 11.2 - Prescribed Milestones**

**Instructions :**

Please enter and update target dates, as well as breakdown tasks with target dates for prescribed milestones. For milestones that are due within the reporting period, documentation is required to provide evidence of milestone achievement. Any explanations regarding altered or missed target commitments must be included within the textbox, not as narrative within uploaded documentation. Please note some milestones include minimum expected completion dates.

Milestone/Task Name	Status	Description	Original Start Date	Original End Date	Start Date	End Date	Quarter End Date	DSRIP Reporting Year and Quarter	AV
<b>Milestone #1</b> Define target workforce state (in line with DSRIP program's goals).	Completed	Finalized PPS target workforce state, signed off by PPS workforce governance body.	07/01/2015	06/30/2016	07/01/2015	06/30/2016	06/30/2016	DY2 Q1	NO
<b>Task Step 1</b>	Completed	Establish oversight and review process over Workforce Impact Analysis and defined workforce state.	07/01/2015	09/30/2015	07/01/2015	09/30/2015	09/30/2015	DY1 Q2	
<b>Task Step 2</b>	Completed	Identify and map out the specific requirements of each DSRIP project and the new services each of the projects will require or deliver.	01/01/2016	06/30/2016	01/01/2016	06/30/2016	06/30/2016	DY2 Q1	
<b>Task Step 3</b>	Completed	Determine project-by-project workforce impact on the PPS to develop target workforce state.	01/01/2016	06/30/2016	01/01/2016	06/30/2016	06/30/2016	DY2 Q1	
<b>Task Step 4</b>	Completed	Develop "To Be" Workforce analysis including specifications for the kinds, numbers, and location of workers needed to accomplish the PPS's strategic project requirements and milestones.	01/01/2016	06/30/2016	01/01/2016	06/30/2016	06/30/2016	DY2 Q1	
<b>Task Step 5</b>	Completed	Obtain approval from Executive Committee on target workforce state.	04/01/2016	06/30/2016	04/01/2016	06/30/2016	06/30/2016	DY2 Q1	
<b>Milestone #2</b> Create a workforce transition roadmap for achieving defined target workforce state.	Completed	Completed workforce transition roadmap, signed off by PPS workforce governance body.	04/01/2016	09/30/2016	04/01/2016	06/30/2016	06/30/2016	DY2 Q1	NO
<b>Task Step 1</b>	Completed	Develop decision-making model that defines how and by whom any decisions around resource availability, allocation, training, redeployment and hiring will be made and signed off.	04/01/2016	09/30/2016	04/01/2016	06/30/2016	06/30/2016	DY2 Q1	
<b>Task Step 2</b>	Completed	Coordinate with clinical sub-committees to prioritize workforce training, redeployment and hiring to achieving "target state".	04/01/2016	09/30/2016	04/01/2016	06/30/2016	06/30/2016	DY2 Q1	
<b>Task Sep 3</b>	Completed	Create five year workforce transition roadmap for addressing workforce impacts, filling workforce gaps, and building workforce "target state"	04/01/2016	09/30/2016	04/01/2016	06/30/2016	06/30/2016	DY2 Q1	
<b>Task Step 4</b>	Completed	Define timeline of when these workforce changes will need to take place and what the dependencies are for training,	04/01/2016	09/30/2016	04/01/2016	06/30/2016	06/30/2016	DY2 Q1	



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Milestone/Task Name	Status	Description	Original Start Date	Original End Date	Start Date	End Date	Quarter End Date	DSRIP Reporting Year and Quarter	AV
		redeployment and hiring.							
Task Step 5	Completed	Obtain approval of workforce transition roadmap from Executive Committee.	07/01/2016	09/30/2016	04/01/2016	06/30/2016	06/30/2016	DY2 Q1	
<b>Milestone #3</b> Perform detailed gap analysis between current state assessment of workforce and projected future state.	Completed	Current state assessment report & gap analysis, signed off by PPS workforce governance body.	01/01/2016	06/30/2016	01/01/2016	06/30/2016	06/30/2016	DY2 Q1	NO
Task Step 1	Completed	Develop and implement workforce survey to assess partners' provider and staff capacity and determine "As Is" workforce status.	01/01/2016	03/31/2016	01/01/2016	03/31/2016	03/31/2016	DY1 Q4	
Task Step 2	Completed	Map current state analysis against future state analysis to develop gap analysis	01/01/2016	06/30/2016	01/01/2016	06/30/2016	06/30/2016	DY2 Q1	
Task Step 3	Completed	Identify percentage of employees impacted from full placement, partial placement, no placement.	01/01/2016	06/30/2016	01/01/2016	06/30/2016	06/30/2016	DY2 Q1	
Task Step 4	Completed	Develop recruitment plan and timeline for new hires, if needed	04/01/2016	06/30/2016	04/01/2016	06/30/2016	06/30/2016	DY2 Q1	
Task Step 5	Completed	Conduct refined workforce budget analysis for submission to the State.	04/01/2016	06/30/2016	04/01/2016	06/30/2016	06/30/2016	DY2 Q1	
Task Step 6	Completed	Finalize current state assessment and obtain PPS governance approval	04/01/2016	06/30/2016	04/01/2016	06/30/2016	06/30/2016	DY2 Q1	
<b>Milestone #4</b> Produce a compensation and benefit analysis, covering impacts on both retrained and redeployed staff, as well as new hires, particularly focusing on full and partial placements.	Completed	Compensation and benefit analysis report, signed off by PPS workforce governance body.	07/01/2015	06/30/2016	07/01/2015	06/30/2016	06/30/2016	DY2 Q1	YES
Task Step 1	Completed	Develop process and work plan for conducting a compensation benefit analysis	10/01/2015	03/31/2016	10/01/2015	03/31/2016	03/31/2016	DY1 Q4	
Task Step 2	Completed	Determine whether compensation and benefit analysis will be conducted by PPS staff, vendor, or coordinated across multiple Brooklyn PPSs	07/01/2015	03/31/2016	07/01/2015	03/31/2016	03/31/2016	DY1 Q4	
Task Step 3	Completed	Work with partners to gather compensation and covered benefits of existing roles that may potentially be redeployed	01/01/2016	06/30/2016	01/01/2016	06/30/2016	06/30/2016	DY2 Q1	
Task Step 4	Completed	Compare obtained compensation and benefit information against future positions' compensation and covered benefits.	01/01/2016	06/30/2016	01/01/2016	06/30/2016	06/30/2016	DY2 Q1	
Task Step 5	Completed	Create compensation and benefit analysis.	01/01/2016	06/30/2016	01/01/2016	06/30/2016	06/30/2016	DY2 Q1	



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Milestone/Task Name	Status	Description	Original Start Date	Original End Date	Start Date	End Date	Quarter End Date	DSRIP Reporting Year and Quarter	AV
Task Step 6	Completed	Develop policies for impacted staff who face partial placement, retraining or redeployment	04/01/2016	06/30/2016	04/01/2016	06/30/2016	06/30/2016	DY2 Q1	
Task Step 7	Completed	Review and obtain approval of compensation and benefit analysis plan from Executive Committee.	04/01/2016	06/30/2016	04/01/2016	06/30/2016	06/30/2016	DY2 Q1	
Milestone #5 Develop training strategy.	In Progress	Finalized training strategy, signed off by PPS workforce governance body.	04/01/2016	09/30/2016	04/01/2016	09/30/2016	09/30/2016	DY2 Q2	NO
Task Step 1	In Progress	Define current state training needs (through partner and stakeholder engagement) and in consultation with clinical sub-committees	04/01/2016	09/30/2016	04/01/2016	09/30/2016	09/30/2016	DY2 Q2	
Task Step 2	In Progress	Conduct a skills assessment to understand existing staff capability for training assessment	04/01/2016	09/30/2016	04/01/2016	09/30/2016	09/30/2016	DY2 Q2	
Task Step 3	Not Started	Contract with training vendor(s)	04/01/2016	09/30/2016	07/01/2016	09/30/2016	09/30/2016	DY2 Q2	
Task Step 4	In Progress	Develop training needs assessment.	04/01/2016	09/30/2016	04/01/2016	09/30/2016	09/30/2016	DY2 Q2	
Task Step 5	In Progress	Develop training strategy, including identifying existing training resources, gaps and needed capacity	04/01/2016	09/30/2016	04/01/2016	09/30/2016	09/30/2016	DY2 Q2	
Task Step 6	In Progress	Develop training timeline and workplan	04/01/2016	09/30/2016	04/01/2016	09/30/2016	09/30/2016	DY2 Q2	
Task Step 7	In Progress	Establish training budget	04/01/2016	09/30/2016	04/01/2016	09/30/2016	09/30/2016	DY2 Q2	
Task Step 8	Not Started	Launch training/retraining.	04/01/2016	09/30/2016	07/01/2016	09/30/2016	09/30/2016	DY2 Q2	
Task Step 9	Not Started	On-going tracking and monitoring of training programs including: evidence of training take-up, description of training programs delivered, participant level data and training outcomes.	04/01/2016	09/30/2016	07/01/2016	09/30/2016	09/30/2016	DY2 Q2	

**IA Instructions / Quarterly Update**

Milestone Name	IA Instructions	Quarterly Update Description
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No Records Found



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**Prescribed Milestones Current File Uploads**

Milestone Name	User ID	File Type	File Name	Description	Upload Date
Define target workforce state (in line with DSRIP program's goals).	kbatchoo	Documentation/Certification	32_DY2Q1_WF_MDL112_PRES1_DOC_NYU_Lutheran_PPS_Executive_Committee_Conference_Call_Meeting_Notes_072516_(DY2,_Q1_Approvals)_5816.pdf	Evidence of NYU Lutheran PPS Executive Committee approval of Target Workforce State Report (as required under remediation)	09/13/2016 04:09 PM
	kbatchoo	Templates	32_DY2Q1_WF_MDL112_PRES1_TEMPL_Meeting_Schedule_Template_-_Workforce_(DY2,_Q1)_4301.xlsx	Meeting Schedule Template - Workforce (DY2, Q1)	07/27/2016 03:11 PM
	kbatchoo	Documentation/Certification	32_DY2Q1_WF_MDL112_PRES1_DOC_Target_Workforce_State_Report_(NYU_Lutheran_PPS)_063016_4300.pdf	NYU Lutheran PPS Target Workforce State Report	07/27/2016 03:07 PM
Create a workforce transition roadmap for achieving defined target workforce state.	kbatchoo	Templates	32_DY2Q1_WF_MDL112_PRES2_TEMPL_Meeting_Schedule_Template_-_Workforce_(DY2,_Q1)_4305.xlsx	Meeting Schedule Template - Workforce (DY2, Q1)	07/27/2016 03:19 PM
	kbatchoo	Documentation/Certification	32_DY2Q1_WF_MDL112_PRES2_DOC_Workforce_Transition_Roadmap_Report_(NYU_Lutheran_PPS)_063016_4303.pdf	NYU Lutheran PPS Workforce Transition Roadmap Report	07/27/2016 03:16 PM
Perform detailed gap analysis between current state assessment of workforce and projected future state.	kbatchoo	Documentation/Certification	32_DY2Q1_WF_MDL112_PRES3_DOC_NYU_Lutheran_PPS_Executive_Committee_Conference_Call_Meeting_Notes_072516_(DY2,_Q1_Approvals)_5818.pdf	Evidence of NYU Lutheran PPS Executive Committee approval of Current State Assessment Report & Gap Analysis (as required under remediation)	09/13/2016 04:23 PM
	kbatchoo	Documentation/Certification	32_DY2Q1_WF_MDL112_PRES3_DOC_Current_State_Assessment_Report_& Gap_Analysis_(NYU_Lutheran_PPS)_063016_4307.pdf	NYU Lutheran PPS Current State Assessment Report & Gap Analysis	07/27/2016 03:22 PM
Produce a compensation and benefit analysis, covering impacts on both retrained and redeployed staff, as well as new hires, particularly focusing on full and partial placements.	kbatchoo	Documentation/Certification	32_DY2Q1_WF_MDL112_PRES4_DOC_NYU_Lutheran_PPS_Executive_Committee_Conference_Call_Meeting_Notes_072516_(DY2,_Q1_Approvals)_5819.pdf	Evidence of NYU Lutheran PPS Executive Committee approval of Compensation and Benefit Analysis Report (as required under remediation)	09/13/2016 04:36 PM
	kbatchoo	Documentation/Certification	32_DY2Q1_WF_MDL112_PRES4_DOC_Compensation_and_Benefit_Analysis_Report_(NYU_Lutheran_PPS)_063016_4310.pdf	NYU Lutheran PPS Compensation and Benefit Analysis Report	07/27/2016 03:28 PM

**Prescribed Milestones Narrative Text**

Milestone Name	Narrative Text
Define target workforce state (in line with DSRIP program's goals).	SUBMITTED UNDER REMEDIATION  Per DY2, Q1 comments from the Independent Assessor, the NYU Lutheran PPS has uploaded documentation to evidence approval of the Target Workforce



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**Prescribed Milestones Narrative Text**

Milestone Name	Narrative Text
	<p>State Report for Workforce Milestone #1.</p> <p>The NYU Lutheran PPS is not a legal entity and therefore does not have a Board. Approvals are conducted through the NYU Lutheran PPS Executive Committee.</p> <p>The NYU Lutheran PPS Executive Committee represents the PPS's workforce governance body.</p> <p>AS ORIGINALLY SUBMITTED</p> <p>The NYU Lutheran PPS has met the requirements to complete Workforce Milestone #1. Documentation evidencing the completion of this milestone has been uploaded to support milestone completion.</p>
<p>Create a workforce transition roadmap for achieving defined target workforce state.</p>	<p>The NYU Lutheran PPS has met the requirements to complete Workforce Milestone #2. Documentation evidencing the completion of this milestone has been uploaded to support milestone completion.</p>
<p>Perform detailed gap analysis between current state assessment of workforce and projected future state.</p>	<p>SUBMITTED UNDER REMEDIATION</p> <p>Per DY2, Q1 comments from the Independent Assessor, the NYU Lutheran PPS has uploaded documentation to evidence approval of the Current State Assessment Report &amp; Gap Analysis for Workforce Milestone #3.</p> <p>The NYU Lutheran PPS is not a legal entity and therefore does not have a Board. Approvals are conducted through the NYU Lutheran PPS Executive Committee.</p> <p>The NYU Lutheran PPS Executive Committee represents the PPS's workforce governance body.</p> <p>AS ORIGINALLY SUBMITTED</p> <p>The NYU Lutheran PPS has met the requirements to complete Workforce Milestone #3. Documentation evidencing the completion of this milestone has been uploaded to support milestone completion.</p>
<p>Produce a compensation and benefit analysis, covering impacts on both retrained and redeployed staff, as well as new hires, particularly focusing on full and partial placements.</p>	<p>SUBMITTED UNDER REMEDIATION</p> <p>Per DY2, Q1 comments from the Independent Assessor, the NYU Lutheran PPS has uploaded documentation to evidence approval of the Compensation and Benefit Analysis Report for Workforce Milestone #4.</p> <p>The NYU Lutheran PPS is not a legal entity and therefore does not have a Board. Approvals are conducted through the NYU Lutheran PPS Executive Committee.</p> <p>The NYU Lutheran PPS Executive Committee represents the PPS's workforce governance body.</p> <p>AS ORIGINALLY SUBMITTED</p>



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**Prescribed Milestones Narrative Text**

Milestone Name	Narrative Text
	The NYU Lutheran PPS has met the requirements to complete Workforce Milestone #4. Documentation evidencing the completion of this milestone has been uploaded to support milestone completion.
Develop training strategy.	



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**IPQR Module 11.3 - PPS Defined Milestones**

**Instructions :**

Please enter and update baseline target dates, as well as work breakdown tasks with target dates for PPS-defined milestones.

Milestone/Task Name	Status	Description	Original Start Date	Original End Date	Start Date	End Date	Quarter End Date	DSRIP Reporting Year and Quarter
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No Records Found

**PPS Defined Milestones Current File Uploads**

Milestone Name	User ID	File Type	File Name	Description	Upload Date
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No Records Found

**PPS Defined Milestones Narrative Text**

Milestone Name	Narrative Text
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No Records Found





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**✓ IPQR Module 11.4 - Major Risks to Implementation & Risk Mitigation Strategies**

**Instructions :**

Please describe the key challenges and risks that you foresee in achieving the milestones set out above, including potential impacts on specific projects and any risks that will undermine your ability to achieve outcome measure targets.

The NYU Lutheran PPS has identified the following key challenges and risks that could impact our ability to achieve the above-described milestones:

- The State has laid out an extremely tight timeframe to conduct a robust workforce analysis, including the numbers of workers who will need to be retrained or redeployed and the number of new hires. The PPS is also required to present exact numbers of new hires by type and conduct a detailed compensation and benefits analysis. To complete this analysis, the PPS will need to gather detailed information from each of its partners, many of whom are participating in multiple PPSs who will also be seeking similar information. In addition to the Workforce "As Is" analysis, the partners will also be required to respond to IT, clinical project planning and cultural competency survey assessments. Many partners will be overwhelmed with the amount of information they are required to submit to the PPS and may be challenged to return necessary information in the prescribed timeframe. To mitigate this risk, the PPS will align survey assessments, to the maximum extent possible, with other Brooklyn PPSs and will explore the possibility of sharing a vendor with other PPSs to conduct the workforce impact analysis. Furthermore, the heterogeneity of the partners' workforce and compensation systems will make a PPS-wide strategy challenging. Finally, projecting specific numbers of jobs by types many years in the future is challenging. Given the significant transformation of the health system is undergoing due to DSRIP as well as many other economic and political forces these projections will become less accurate further into the future.
- The PPS may have difficulty recruiting and hiring dedicated professionals, particularly for certain jobs for which there will be demand coming from multiple PPSs across the city.

**✓ IPQR Module 11.5 - Major Dependencies on Organizational Workstreams**

**Instructions :**

Please describe any interdependencies with other organizational workstreams (IT Systems and Processes, Clinical Integration, etc.)

The Workforce Work Stream will be dependent on multiple PPS work streams. The workforce impact analysis and the development of the target workforce state will need to be conducted in close coordination with the clinical project planning team in order to define the types, numbers and locations of needed workers. Workforce training should also be closely coordinated with cultural competency and health literacy training needs and both should be integrated to the maximum extent possible. Workforce will also be dependent on the IT infrastructure to ensure tracking of training programs.



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**✓ IPQR Module 11.6 - Roles and Responsibilities**

**Instructions :**

Please list and elaborate upon the key people/organizations responsible for this organizational workstream and describe what their responsibilities involve.

<b>Role</b>	<b>Name of person / organization (if known at this stage)</b>	<b>Key deliverables / responsibilities</b>
Workforce Impact Analysis Coordinator	Frank Scheets, NYU Lutheran Medical Center	Project management and oversight of Workforce Impact Analysis.
Data Analyst	Kris Batchoo, NYU Lutheran Devon Judge, NYU Lutheran	Provides data support and analysis of workforce data; develops summary reports and analysis for approval.
Workforce Stakeholder Liaisons	Jose LaBarca, NYU Lutheran Augustana Center Catherine Panags, NYU Lutheran	Provide on-going updates and communication to PPS partners, unions, and workforce on Workforce Impact Analysis.
Workforce Impact Analysis Consultant	BDO	To provide on-going feedback and consultation.
Training Coordinator	Carmen Price, NYU Lutheran	Oversee deployment of workforce training and retraining.
Training Vendors	1199 TEF and possibly others TBD	A training vendor that can provide training modules and/or certification training to support workforce re-training needs.



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**☑ IPQR Module 11.7 - Key Stakeholders**

**Instructions :**

Please identify the key stakeholders involved in your workforce transformation plans, both within and outside the PPS.

<b>Key stakeholders</b>	<b>Role in relation to this organizational workstream</b>	<b>Key deliverables / responsibilities</b>
<b>Internal Stakeholders</b>		
Workforce Staff reporting to Frank Scheets	Monitoring and implementing Workforce steps and ensuring compliance with milestones	Will oversee workforce implementation, quarterly reporting to the State, and monitoring of workforce impact analysis.
<b>External Stakeholders</b>		
1199 SEIU	Training Vendor	Training vendor to provide training modules; 1199 will also be engaged to provide feedback on workforce impact analysis
Other unions	Impacted Members	Provide feedback on workforce impact analysis.
Other Brooklyn PPSs (Maimonides and HHC)	PPS Strategic Partner	Coordinate workforce impact analysis, partner survey collection, training, and compensation and benefit analysis
Partners (includes representatives from FQHCs, NYU Lutheran, physicians, behavioral health agencies, social service agencies and other clinical partners). Key partners to include, but not limited to NYU Lutheran, NYU, ODA, Addabbo, and Ezra Medical Center.	Participants	Provide critical information to inform workforce impact analysis and participate in trainings.



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**✓ IPQR Module 11.8 - IT Expectations**

**Instructions :**

Please describe how the development of shared IT infrastructure across the PPS will support your plans for workforce transformation.

The IT infrastructure that is established will be used to track training progress, including how many people have been trained, the subject of the training and when the training took place. The PPS will leverage IT to provide analytics that support workforce planning and evaluation.

**✓ IPQR Module 11.9 - Progress Reporting**

**Instructions :**

Please describe how you will measure the success of this organizational workstream.

Success of our workforce strategy will be measured through meeting milestones and quarterly reporting. We will also measure the success of our workforce strategy against meeting the targets of redeployed, retrained, and hired staff and the workforce budget. The PPS will establish a reporting structure that will allow us to track our progress against our milestones.



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**✓ IPQR Module 11.10 - Staff Impact**

**Instructions :**

Please upload the Workforce Staffing Impact (Baseline) table provided for quarterly reporting.

**Current File Uploads**

User ID	File Type	File Name	File Description	Upload Date
kbatchoo	Templates	32_DY2Q1_WF_MDL1110_TEMPL_Workforce_Staffing_Impact_Baseline_table_-_Blank_Spreadsheet_(DY2,_Q1)_4369.xlsx	Workforce Staffing Impact (Baseline) table - Blank Spreadsheet (DY2, Q1)	07/28/2016 11:30 AM

**Narrative Text :**

Per the PPS Announcements and Updates e-mail received from the Department of Health on July 18, 2016: PPS are not required to submit Workforce Impact Analysis projections as part of their DY2, Q1 Quarterly Report submission. However, PPS will be required to submit Workforce Impact Analysis projections with the DY2, Q2 Quarterly Report submissions.

The NYU Lutheran PPS has uploaded a blank spreadsheet of the Workforce Staffing Impact (Baseline) table to complete the upload requirements of this module for DY2, Q1 reporting.



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**IPQR Module 11.11 - Workforce Strategy Spending (Quarterly):**

**Instructions :**

Please include details on workforce spending for DY2. The workforce spending actuals should reflect only what was spent during the relevant quarters and is not cumulative across semi-annual periods. The PPS can shift funding across categories; e.g., from Retraining to New Hires. Please note that the "Cumulative Percent of Commitments Expended through Current DSRIP Year (DY2)" section is calculated based on the total yearly commitments.

Benchmarks	
Year	Amount(\$)
Total Cumulative Spending Commitment through Current DSRIP Year(DY2)	1,461,189.00

Funding Type	Workforce Spending Actuals		Cumulative Spending to Date (DY1-DY5)(\$)	Cumulative Percent of Commitments Expended through Current DSRIP Year (DY2)
	DY1(Q1/Q2)(\$)	DY1(Q3/Q4)(\$)		
Retraining	0.00	0.00	0.00	0.00%
Redeployment	0.00	0.00	0.00	0.00%
New Hires	0.00	0.00	0.00	0.00%
Other	0.00	0.00	365,000.00	50.28%
<b>Total Expenditures</b>	<b>0.00</b>	<b>0.00</b>	<b>365,000.00</b>	<b>24.98%</b>

**Current File Uploads**

User ID	File Type	File Name	File Description	Upload Date
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No Records Found

**Narrative Text :**

For PPS to provide additional context regarding progress and/or updates to IA.



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**IPQR Module 11.12 - IA Monitoring:**

**Instructions :**



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**Project 2.a.i – Create Integrated Delivery Systems that are focused on Evidence-Based Medicine / Population Health Management**

**✓ IPQR Module 2.a.i.1 - Major Risks to Implementation and Mitigation Strategies**

**Instructions :**

Please describe what the major risks are for this project, as well as the actions you plan to take to mitigate them.

1. PCMH Status- Significant funding required to support partners' meeting PCMH NCQA 2014 Level 3 status greater than resources provided by the State; no guarantee partners will buy in/comply. Mitigation: PPS will make best effort to conduct efficiently a current state assessment, develop plan to support deployment of PCMH solutions to eligible providers across the PPS; provide technical assistance to partners; incent providers through fund flow.
2. IT Connectivity- Significant money and expertise required to meet EHR, data sharing and HIE connectivity requirements which may be greater than PPS's resources. Mitigation: PPS will conduct current state assessment to help develop gap analysis, informing which partners to prioritize when expending resources required and timing to support partner's EHR/HIE connectivity implementation; leverage NYU's HIE solution that already connects 26 different EHRs from various institutions; provide technical assistance to partners without existing EHRs; and help establish connectivity to HIE and the RHIO.
3. MCO Contracting- Complexity and considerable legal structural impediments. Collaborative Contracting Model requires that each partner remain autonomous. Mitigation: PPS meeting with MCOs to better coordinate population health efforts and looking possibly to develop shared savings models and other risk-bearing structures with various MCOs. Plan to assist partners in developing the structure and capacity to enter into risk-based contracts.
4. Patient Engagement- Difficulties actively engaging hard to reach patients, many of whom may have little familiarity with the health care system; no insight which individuals comprise Medicaid non- or infrequent utilizers. Mitigation: PPS will actively target said patients through coordinating, training, equipping and deploying Community Health Workers as key element of the community-based patient navigation strategy; partner with CBOs to support outreach and navigation activities that are culturally competent and accessible; develop multilingual patient outreach and education materials.
5. Provider Engagement- Difficulty engaging some providers to follow IDS care coordination protocols, use standardized interventions/ tools and participate in performance management programs. Mitigation: PPS will identify clinical project leaders to serve as project champions and build support across the network leading to move from silos to optimally integrated network. Increase buy- in by including partners in PPS's governance structure. To minimize conflicting demands and over-burdening those providers committed to same projects across multiple PPSs (non-exclusive providers), seek collaboration with other Brooklyn-based PPSs. Select providers to pilot population health resources/tools to identify and track high-risk patients. Refine/ launch tools, resources and protocols across PPS based on feedback; provide technical assistance to those who struggle with implementing IDS requirements. Develop incentive programs and a communication plan to solicit feedback.
6. Data- Significant risk in State not transmitting timely, accurate, valid, & meaningful recent patient-specific data on our attributable population required for population health management. Mitigation- None available.





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**☑ IPQR Module 2.a.i.2 - Prescribed Milestones**

**Instructions :**

Please provide updates to baseline reporting on status, target dates, and work breakdown tasks for prescribed milestones. For milestones that are due and completed within the reporting period, documentation is required to provide evidence of project requirement achievement. Any explanations regarding altered or missed provider commitments should be included within the narrative box, not as text within uploaded documentation.

Project Requirements (Milestone/Task Name)	Prescribed Due Date	Reporting Level	Provider Type	Status	Original Start Date	Original End Date	Start Date	End Date	Quarter End Date	DSRIP Reporting Year and Quarter
<b>Milestone #1</b> All PPS providers must be included in the Integrated Delivery System. The IDS should include all medical, behavioral, post-acute, long-term care, and community-based service providers within the PPS network; additionally, the IDS structure must include payers and social service organizations, as necessary to support its strategy.	DY4 Q2	Project	N/A	In Progress	01/01/2016	09/30/2018	01/01/2016	09/30/2018	09/30/2018	DY4 Q2
<b>Task</b> PPS includes continuum of providers in IDS, including medical, behavioral health, post-acute, long-term care, and community-based providers.		Project		In Progress	01/01/2016	09/30/2018	01/01/2016	09/30/2018	09/30/2018	DY4 Q2
<b>Task</b> Step 1. Complete contracting with all PPS providers to ensure a robust integrated delivery system.		Project		In Progress	01/01/2016	06/30/2016	01/01/2016	03/31/2017	03/31/2017	DY2 Q4
<b>Task</b> Step 2. All PPS providers must be included in the Integrated Delivery System. The IDS should include all medical, behavioral, post-acute, long-term care, and community-based service providers within the PPS network; additionally, the IDS structure must include payers and social service organizations, as necessary to support its strategy. Ensure that the milestone has been completed.		Project		In Progress	01/01/2016	09/30/2018	01/01/2016	09/30/2018	09/30/2018	DY4 Q2
<b>Milestone #2</b> Utilize partnering HH and ACO population health management systems and capabilities to implement the PPS' strategy towards evolving into an IDS.	DY2 Q4	Project	N/A	In Progress	07/01/2015	03/31/2017	07/01/2015	03/31/2017	03/31/2017	DY2 Q4
<b>Task</b> PPS produces a list of participating HHs and ACOs.		Project		In Progress	07/01/2015	03/31/2017	07/01/2015	03/31/2017	03/31/2017	DY2 Q4
<b>Task</b> Participating HHs and ACOs demonstrate real service integration which incorporates a population management strategy towards evolving into an IDS.		Project		In Progress	04/01/2016	03/31/2017	04/01/2016	03/31/2017	03/31/2017	DY2 Q4
<b>Task</b> Regularly scheduled formal meetings are held to develop		Project		In Progress	01/01/2016	03/31/2017	01/01/2016	03/31/2017	03/31/2017	DY2 Q4



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Project Requirements (Milestone/Task Name)	Prescribed Due Date	Reporting Level	Provider Type	Status	Original Start Date	Original End Date	Start Date	End Date	Quarter End Date	DSRIP Reporting Year and Quarter
collaborative care practices and integrated service delivery.										
<b>Task</b> Step 1. Continue to contract with the Brooklyn Health Home (BHH) for those patients who are HH-eligible.		Project		Completed	07/01/2015	09/30/2015	07/01/2015	09/30/2015	09/30/2015	DY1 Q2
<b>Task</b> Step 2. Conduct regular coordination meetings between the BHH and Brooklyn Bridges PPS.		Project		Completed	07/01/2015	12/31/2015	07/01/2015	12/31/2015	12/31/2015	DY1 Q3
<b>Task</b> Step 3. Identify how PPS partners can best utilize BHH for appropriate PPS attributed patients or become HH providers.		Project		In Progress	07/01/2015	06/30/2016	07/01/2015	09/30/2016	09/30/2016	DY2 Q2
<b>Task</b> Step 4. Utilize Brooklyn Bridges PPS population health management system to identify appropriate health home patients.		Project		In Progress	07/01/2015	09/30/2016	07/01/2015	09/30/2016	09/30/2016	DY2 Q2
<b>Task</b> Step 5. Utilize partnering HH and ACO population health management systems and capabilities to implement the PPS' strategy towards evolving into an IDS. Ensure that the milestone has been completed.		Project		In Progress	01/01/2016	03/31/2017	01/01/2016	03/31/2017	03/31/2017	DY2 Q4
<b>Milestone #3</b> Ensure patients receive appropriate health care and community support, including medical and behavioral health, post-acute care, long term care and public health services.	DY2 Q4	Project	N/A	In Progress	10/01/2015	03/31/2017	10/01/2015	03/31/2017	03/31/2017	DY2 Q4
<b>Task</b> Clinically Interoperable System is in place for all participating providers.		Project		In Progress	10/01/2015	03/31/2017	10/01/2015	03/31/2017	03/31/2017	DY2 Q4
<b>Task</b> PPS has protocols in place for care coordination and has identified process flow changes required to successfully implement IDS.		Project		In Progress	01/01/2016	03/31/2017	01/01/2016	03/31/2017	03/31/2017	DY2 Q4
<b>Task</b> PPS has process for tracking care outside of hospitals to ensure that all critical follow-up services and appointment reminders are followed.		Project		In Progress	10/01/2015	03/31/2017	10/01/2015	03/31/2017	03/31/2017	DY2 Q4
<b>Task</b> PPS trains staff on IDS protocols and processes.		Project		Not Started	04/01/2016	03/31/2017	07/01/2016	03/31/2017	03/31/2017	DY2 Q4
<b>Task</b> Step 1. Conduct an assessment of providers to identify best practices and lessons learned in care coordination protocols that could be expanded across the PPS.		Project		In Progress	01/01/2016	06/30/2016	01/01/2016	09/30/2016	09/30/2016	DY2 Q2
<b>Task</b> Step 2. Establish PPS-wide clinical pathways for care		Project		In Progress	01/01/2016	09/30/2016	01/01/2016	09/30/2016	09/30/2016	DY2 Q2



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Project Requirements (Milestone/Task Name)	Prescribed Due Date	Reporting Level	Provider Type	Status	Original Start Date	Original End Date	Start Date	End Date	Quarter End Date	DSRIP Reporting Year and Quarter
coordination, with the Patient Navigation Center (PNC) as the coordinating hub of many care transitions.										
<b>Task</b> Step 3. Select sites/clinical programs to pilot and evaluate new care coordination models and protocols. Identify lessons learned and modify care coordination protocols for scheduled deployment across the PPS.		Project		Not Started	04/01/2016	09/30/2016	07/01/2016	09/30/2016	09/30/2016	DY2 Q2
<b>Task</b> Step 4. Develop PPS-wide training program to roll out and support implementation of care coordination protocols.		Project		Not Started	04/01/2016	09/30/2016	07/01/2016	09/30/2016	09/30/2016	DY2 Q2
<b>Task</b> Step 5. Design and deploy communication strategies to PPS partners, including community based organizations, to educate patients on how to use and navigate services at the Brooklyn Bridges PPS.		Project		Not Started	04/01/2016	09/30/2016	07/01/2016	09/30/2016	09/30/2016	DY2 Q2
<b>Task</b> Step 6. Develop roadmap to achieving clinical data sharing and interoperable systems across PPS network (see IT section for details).		Project		In Progress	01/01/2016	09/30/2016	01/01/2016	09/30/2016	09/30/2016	DY2 Q2
<b>Task</b> Step 7. Communicate expectations and timeframes for achieving PPS-wide connectivity.		Project		In Progress	01/01/2016	09/30/2016	01/01/2016	09/30/2016	09/30/2016	DY2 Q2
<b>Task</b> Step 8. Ensure patients receive appropriate health care and community support, including medical and behavioral health, post-acute care, long term care and public health services. Ensure that the milestone has been completed.		Project		In Progress	10/01/2015	03/31/2017	10/01/2015	03/31/2017	03/31/2017	DY2 Q4
<b>Task</b> Step 9. PPS will consider collaboration with MCOs, HHs, OPDs PEER advocacy organizations and residential providers that serve SMI individuals to expand access to clientele to educate and engage.		Project		Not Started	04/01/2016	03/31/2017	07/01/2016	03/31/2017	03/31/2017	DY2 Q4
<b>Milestone #4</b> Ensure that all PPS safety net providers are actively sharing EHR systems with local health information exchange/RHIO/SHIN-NY and sharing health information among clinical partners, including directed exchange (secure messaging), alerts and patient record look up, by the end of Demonstration Year (DY) 3.	DY3 Q4	Project	N/A	In Progress	10/01/2015	03/31/2018	10/01/2015	03/31/2018	03/31/2018	DY3 Q4
<b>Task</b> EHR meets connectivity to RHIO's HIE and SHIN-NY requirements.		Provider	Safety Net Practitioner - Primary Care Provider (PCP)	In Progress	10/01/2015	03/31/2018	10/01/2015	03/31/2018	03/31/2018	DY3 Q4



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Task EHR meets connectivity to RHIO's HIE and SHIN-NY requirements.		Provider	Safety Net Practitioner - Non-Primary Care Provider (PCP)	In Progress	10/01/2015	03/31/2018	10/01/2015	03/31/2018	03/31/2018	DY3 Q4
Task EHR meets connectivity to RHIO's HIE and SHIN-NY requirements.		Provider	Safety Net Hospital	In Progress	10/01/2015	03/31/2018	10/01/2015	03/31/2018	03/31/2018	DY3 Q4
Task EHR meets connectivity to RHIO's HIE and SHIN-NY requirements.		Provider	Safety Net Mental Health	In Progress	10/01/2015	03/31/2018	10/01/2015	03/31/2018	03/31/2018	DY3 Q4
Task EHR meets connectivity to RHIO's HIE and SHIN-NY requirements.		Provider	Safety Net Nursing Home	In Progress	10/01/2015	03/31/2018	10/01/2015	03/31/2018	03/31/2018	DY3 Q4
Task PPS uses alerts and secure messaging functionality.		Project		In Progress	01/01/2016	03/31/2018	01/01/2016	03/31/2018	03/31/2018	DY3 Q4
Task Step 1. Complete current state assessment of interoperability and HIE requirements across the PPS safety net providers.		Project		Completed	10/01/2015	03/31/2016	10/01/2015	03/31/2016	03/31/2016	DY1 Q4
Task Step 2. Identify gaps highlighting where PPS safety net members' EHRs fail to meet RHIO's HIE and SHIN-NY connectivity requirements.		Project		Completed	10/01/2015	03/31/2016	10/01/2015	03/31/2016	03/31/2016	DY1 Q4
Task Step 3. Develop roadmap to achieving clinical data sharing and interoperable systems across PPS network (see IT section for details).		Project		In Progress	01/01/2016	09/30/2016	01/01/2016	09/30/2016	09/30/2016	DY2 Q2
Task Step 4. Identify resources and expertise required to implement HIE connectivity plan for PPS safety net provider partners.		Project		In Progress	01/01/2016	09/30/2016	01/01/2016	09/30/2016	09/30/2016	DY2 Q2
Task Step 5. Implement plan and achieve HIE connectivity across PPS safety net provider partners.		Project		In Progress	01/01/2016	03/31/2018	01/01/2016	03/31/2018	03/31/2018	DY3 Q4
Milestone #5 Ensure that EHR systems used by participating safety net providers meet Meaningful Use and PCMH Level 3 standards and/or ACPM by the end of Demonstration Year 3.	DY3 Q4	Project	N/A	In Progress	10/01/2015	03/31/2018	10/01/2015	03/31/2018	03/31/2018	DY3 Q4
Task EHR meets Meaningful Use Stage 2 CMS requirements (Note: any/all MU requirements adjusted by CMS will be incorporated into the assessment criteria).		Project		Not Started	04/01/2016	03/31/2018	07/01/2016	03/31/2018	03/31/2018	DY3 Q4
Task PPS has achieved NCQA 2014 Level 3 PCMH standards and/or		Provider	Safety Net Practitioner - Primary Care Provider	In Progress	10/01/2015	03/31/2018	10/01/2015	03/31/2018	03/31/2018	DY3 Q4



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APCM.			(PCP)							
<b>Task</b> Step 1. Complete current state assessment of EHR systems' MU certification and PCMH Level 3 standards across the PPS.		Project		In Progress	10/01/2015	06/30/2016	10/01/2015	09/30/2016	09/30/2016	DY2 Q2
<b>Task</b> Step 2. Identify gaps highlighting where PPS members' EHRs fail to meet MU and PCMH Level 3 certification requirements.		Project		In Progress	10/01/2015	06/30/2016	10/01/2015	09/30/2016	09/30/2016	DY2 Q2
<b>Task</b> Step 3. Develop roadmap to achieving EHR MU & PCMH Level 3 certification requirements across PPS provider partners.		Project		Not Started	04/01/2016	09/30/2016	07/01/2016	09/30/2016	09/30/2016	DY2 Q2
<b>Task</b> Step 4. Work with Partners to identify resources and expertise required to implement EHR MU & PCMH Level 3 certification plan for PPS provider partners.		Project		Not Started	04/01/2016	09/30/2016	07/01/2016	09/30/2016	09/30/2016	DY2 Q2
<b>Task</b> Step 5. Implement plan and support partners in completing their EHR MU & PCMH certification requirements.		Project		Not Started	04/01/2016	03/31/2018	07/01/2016	03/31/2018	03/31/2018	DY3 Q4
<b>Milestone #6</b> Perform population health management by actively using EHRs and other IT platforms, including use of targeted patient registries, for all participating safety net providers.	DY4 Q2	Project	N/A	In Progress	10/01/2015	03/31/2017	10/01/2015	03/31/2017	03/31/2017	DY2 Q4
<b>Task</b> PPS identifies targeted patients through patient registries and is able to track actively engaged patients for project milestone reporting.		Project		In Progress	10/01/2015	03/31/2017	10/01/2015	03/31/2017	03/31/2017	DY2 Q4
<b>Task</b> Step 1. Complete current state assessment of patient registries active across the PPS safety net provider network, identifying the registry's objectives, data sources, architecture and users.		Project		In Progress	10/01/2015	06/30/2016	10/01/2015	09/30/2016	09/30/2016	DY2 Q2
<b>Task</b> Step 2. Identify gaps highlighting where a) current registries do not exist to meet PPS's clinical project requirements and target PPS populations, and, b) PPS safety net partners cannot easily upload appropriate patient data to a patient registry.		Project		In Progress	10/01/2015	06/30/2016	10/01/2015	09/30/2016	09/30/2016	DY2 Q2
<b>Task</b> Step 3. Develop roadmap to achieving expansion and/or establishment of patient registries for clinical projects and target populations across the PPS safety net partners.		Project		Not Started	04/01/2016	09/30/2016	07/01/2016	09/30/2016	09/30/2016	DY2 Q2
<b>Task</b> Step 4. Identify resources, timing and expertise required to implement the patient registry plan for PPS safety net provider		Project		Not Started	04/01/2016	09/30/2016	07/01/2016	09/30/2016	09/30/2016	DY2 Q2



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partners.										
<b>Task</b> Step 5. Implement plan across PPS safety net partners.		Project		Not Started	04/01/2016	03/31/2017	07/01/2016	03/31/2017	03/31/2017	DY2 Q4
<b>Milestone #7</b> Achieve 2014 Level 3 PCMH primary care certification and/or meet state-determined criteria for Advanced Primary Care Models for all participating PCPs, expand access to primary care providers, and meet EHR Meaningful Use standards by the end of DY 3.	DY3 Q4	Project	N/A	In Progress	10/01/2015	03/31/2018	10/01/2015	03/31/2018	03/31/2018	DY3 Q4
<b>Task</b> Primary care capacity increases improved access for patients seeking services - particularly in high-need areas.		Project		Not Started	04/01/2016	03/31/2018	07/01/2016	03/31/2018	03/31/2018	DY3 Q4
<b>Task</b> All practices meet 2014 NCQA Level 3 PCMH and/or APCM standards.		Provider	Practitioner - Primary Care Provider (PCP)	In Progress	10/01/2015	03/31/2018	10/01/2015	03/31/2018	03/31/2018	DY3 Q4
<b>Task</b> EHR meets Meaningful Use Stage 2 CMS requirements (Note: any/all MU requirements adjusted by CMS will be incorporated into the assessment criteria.)		Project		Not Started	04/01/2016	03/31/2018	07/01/2016	03/31/2018	03/31/2018	DY3 Q4
<b>Task</b> Step 1. Complete current state assessment of EHR systems' MU certification and 2014 Level 3 PCMH standards across the PPS		Project		In Progress	10/01/2015	06/30/2016	10/01/2015	09/30/2016	09/30/2016	DY2 Q2
<b>Task</b> Step 2. Identify gaps highlighting where PPS members' fail to meet EHR MU and 2014 PCMH Level 3 certification requirements.		Project		In Progress	10/01/2015	06/30/2016	10/01/2015	09/30/2016	09/30/2016	DY2 Q2
<b>Task</b> Step 3. Develop roadmap to achieving EHR MU & 2014 PCMH Level 3 certification requirements across PPS provider partners.		Project		Not Started	04/01/2016	06/30/2016	07/01/2016	09/30/2016	09/30/2016	DY2 Q2
<b>Task</b> Step 4. Work with Partners to identify resources and expertise required to implement EHR MU & 2014 PCMH Level 3 certification plan for PPS provider partners.		Project		Not Started	04/01/2016	06/30/2016	07/01/2016	09/30/2016	09/30/2016	DY2 Q2
<b>Task</b> Step 5. Implement plan and support partners in completing EHR MU and 2014 PCMH Level 3 certification requirements.		Project		Not Started	04/01/2016	12/31/2017	07/01/2016	12/31/2017	12/31/2017	DY3 Q3
<b>Task</b> Step 6. Confirm that partners have met EHR MU and 2014 PCMH Level 3 and/or APCM standards by the end of DY3.		Project		In Progress	10/01/2015	03/31/2018	10/01/2015	03/31/2018	03/31/2018	DY3 Q4
<b>Milestone #8</b> Contract with Medicaid Managed Care Organizations and other	DY4 Q2	Project	N/A	In Progress	01/01/2016	09/30/2018	01/01/2016	09/30/2018	09/30/2018	DY4 Q2



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payers, as appropriate, as an integrated system and establish value-based payment arrangements.										
<b>Task</b> Medicaid Managed Care contract(s) are in place that include value-based payments.		Project		In Progress	01/01/2016	09/30/2018	01/01/2016	09/30/2018	09/30/2018	DY4 Q2
<b>Task</b> Step 1. Understand current MCO contracts in place and identify opportunities to expand value-based contracting arrangements across providers and target populations.		Project		Not Started	04/01/2016	09/30/2016	07/01/2016	09/30/2016	09/30/2016	DY2 Q2
<b>Task</b> Step 2. Develop initial plan to expand MCO value-based contracts across the system, identifying priority opportunities, needed infrastructure, reporting requirements and negotiation strategies.		Project		Not Started	04/01/2016	03/31/2017	07/01/2016	03/31/2017	03/31/2017	DY2 Q4
<b>Task</b> Step 3. Determine structure of legal entity (or entities) to be created for contracting.		Project		Not Started	04/01/2016	09/30/2017	07/01/2016	09/30/2017	09/30/2017	DY3 Q2
<b>Task</b> Step 4. Negotiate contracts with at least one MCO. Selected MCOs must be willing to support clinical project interventions, such as timely access to claims data for reporting and implementation of clinical care and coordination protocols.		Project		Not Started	04/01/2016	09/30/2018	07/01/2016	09/30/2018	09/30/2018	DY4 Q2
<b>Task</b> Step 5. Implement expanded value-based payment opportunities for new target populations and with new payers, as appropriate.		Project		Not Started	04/01/2016	09/30/2018	07/01/2016	09/30/2018	09/30/2018	DY4 Q2
<b>Milestone #9</b> Establish monthly meetings with Medicaid MCOs to discuss utilization trends, performance issues, and payment reform.	DY2 Q4	Project	N/A	In Progress	10/01/2015	03/31/2017	10/01/2015	03/31/2017	03/31/2017	DY2 Q4
<b>Task</b> PPS holds monthly meetings with Medicaid Managed Care plans to evaluate utilization trends and performance issues and ensure payment reforms are instituted.		Project		In Progress	10/01/2015	03/31/2017	10/01/2015	03/31/2017	03/31/2017	DY2 Q4
<b>Task</b> Step 1. Identify MCOs with which to schedule regular progress meetings.		Project		Completed	10/01/2015	12/31/2015	10/01/2015	12/31/2015	12/31/2015	DY1 Q3
<b>Task</b> Step 2. Launch and conduct regular meetings to track PPS's utilization trends, performance metrics and flag issues to be addressed by the PPS leadership, MCO or both parties, collaboratively.		Project		In Progress	10/01/2015	06/30/2016	10/01/2015	09/30/2016	09/30/2016	DY2 Q2
<b>Task</b>		Project		In Progress	10/01/2015	03/31/2017	10/01/2015	03/31/2017	03/31/2017	DY2 Q4



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Step 3. Achieve 2014 Level 3 PCMH primary care certification and/or meet state-determined criteria for Advanced Primary Care Models for all participating PCPs, expand access to primary care providers, and meet EHR Meaningful Use standards by the end of DY 3. Ensure that the milestone has been completed.										
<b>Milestone #10</b> Re-enforce the transition towards value-based payment reform by aligning provider compensation to patient outcomes.	DY4 Q2	Project	N/A	Not Started	04/01/2016	09/30/2018	07/01/2016	09/30/2018	09/30/2018	DY4 Q2
<b>Task</b> PPS submitted a growth plan outlining the strategy to evolve provider compensation model to incentive-based compensation		Project		Not Started	04/01/2016	09/30/2018	07/01/2016	09/30/2018	09/30/2018	DY4 Q2
<b>Task</b> Providers receive incentive-based compensation consistent with DSRIP goals and objectives.		Project		Not Started	04/01/2016	09/30/2018	07/01/2016	09/30/2018	09/30/2018	DY4 Q2
<b>Task</b> Step 1. Identify current models being used in the PPS.		Project		Not Started	04/01/2016	09/30/2016	07/01/2016	09/30/2016	09/30/2016	DY2 Q2
<b>Task</b> Step 2. Identify stakeholders who should be involved in each step of value based payment reform.		Project		Not Started	04/01/2016	09/30/2016	07/01/2016	09/30/2016	09/30/2016	DY2 Q2
<b>Task</b> Step 3. Develop provider incentive-based compensation model(s) to be implemented by PPS partners or across PPS clinical programs that reward achievement of patient outcomes.		Project		Not Started	04/01/2016	03/31/2017	07/01/2016	03/31/2017	03/31/2017	DY2 Q4
<b>Task</b> Step 4. Pilot and evaluate new incentive-based compensation models.		Project		Not Started	04/01/2016	03/31/2018	07/01/2016	03/31/2018	03/31/2018	DY3 Q4
<b>Task</b> Step 5. Expand implementation of provider incentive-based compensation models.		Project		Not Started	04/01/2016	09/30/2018	07/01/2016	09/30/2018	09/30/2018	DY4 Q2
<b>Milestone #11</b> Engage patients in the integrated delivery system through outreach and navigation activities, leveraging community health workers, peers, and culturally competent community-based organizations, as appropriate.	DY4 Q2	Project	N/A	Not Started	04/01/2016	09/30/2018	07/01/2016	09/30/2018	09/30/2018	DY4 Q2
<b>Task</b> Community health workers and community-based organizations utilized in IDS for outreach and navigation activities.		Project		Not Started	04/01/2016	09/30/2018	07/01/2016	09/30/2018	09/30/2018	DY4 Q2
<b>Task</b> Step 1. Develop objectives and components of the community outreach plan to achieve patient engagement with the PPS.		Project		Not Started	04/01/2016	06/30/2016	07/01/2016	09/30/2016	09/30/2016	DY2 Q2
<b>Task</b>		Project		Not Started	04/01/2016	06/30/2016	07/01/2016	09/30/2016	09/30/2016	DY2 Q2





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Step 2. Identify the timing, resource requirements, CHW recruitment/retraining strategies and culturally-competent expertise to launch the community outreach plan.										
<b>Task</b> Step 3. Implement the community outreach plan.		Project		Not Started	04/01/2016	09/30/2016	07/01/2016	09/30/2016	09/30/2016	DY2 Q2
<b>Task</b> Step 4. Develop and implement tools to track, on an on-going basis, levels of community engagement and identify priority areas for further engagement efforts by the PPS.		Project		Not Started	04/01/2016	09/30/2017	07/01/2016	09/30/2017	09/30/2017	DY3 Q2
<b>Task</b> Step 5. Review impact of community outreach activities upon the PPS communities served and refine patient engagement interventions, accordingly		Project		Not Started	04/01/2016	09/30/2018	07/01/2016	09/30/2018	09/30/2018	DY4 Q2

**Prescribed Milestones Current File Uploads**

Milestone Name	User ID	File Type	File Name	Description	Upload Date
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No Records Found

**Prescribed Milestones Narrative Text**

Milestone Name	Narrative Text
All PPS providers must be included in the Integrated Delivery System. The IDS should include all medical, behavioral, post-acute, long-term care, and community-based service providers within the PPS network; additionally, the IDS structure must include payers and social service organizations, as necessary to support its strategy.	
Utilize partnering HH and ACO population health management systems and capabilities to implement the PPS' strategy towards evolving into an IDS.	
Ensure patients receive appropriate health care and community support, including medical and behavioral health, post-acute care, long term care and public health services.	
Ensure that all PPS safety net providers are actively sharing EHR systems with local health information exchange/RHIO/SHIN-NY and sharing health information among clinical partners, including directed exchange (secure messaging), alerts and patient record look up, by the end of Demonstration Year (DY) 3.	



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**Prescribed Milestones Narrative Text**

Milestone Name	Narrative Text
Ensure that EHR systems used by participating safety net providers meet Meaningful Use and PCMH Level 3 standards and/or APCM by the end of Demonstration Year 3.	
Perform population health management by actively using EHRs and other IT platforms, including use of targeted patient registries, for all participating safety net providers.	
Achieve 2014 Level 3 PCMH primary care certification and/or meet state-determined criteria for Advanced Primary Care Models for all participating PCPs, expand access to primary care providers, and meet EHR Meaningful Use standards by the end of DY 3.	
Contract with Medicaid Managed Care Organizations and other payers, as appropriate, as an integrated system and establish value-based payment arrangements.	
Establish monthly meetings with Medicaid MCOs to discuss utilization trends, performance issues, and payment reform.	
Re-enforce the transition towards value-based payment reform by aligning provider compensation to patient outcomes.	
Engage patients in the integrated delivery system through outreach and navigation activities, leveraging community health workers, peers, and culturally competent community-based organizations, as appropriate.	



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**IPQR Module 2.a.i.3 - PPS Defined Milestones**

**Instructions :**

Please provide updates to baseline reporting on status, target dates, and work breakdown tasks for PPS-defined milestones.

Milestone/Task Name	Status	Description	Original Start Date	Original End Date	Start Date	End Date	Quarter End Date	DSRIP Reporting Year and Quarter
Milestone Mid-Point Assessment	Completed	Mid-Point Assessment Project Narrative Template for Project 2.a.i (Create Integrated Delivery Systems that are focused on Evidence-Based Medicine/Population Health Management)			04/01/2016	06/30/2016	06/30/2016	DY2 Q1

**PPS Defined Milestones Current File Uploads**

Milestone Name	User ID	File Type	File Name	Description	Upload Date
Mid-Point Assessment	kbatchoo	Templates	32_DY2Q1_PROJ2ai_MDL2ai3_PPS1656_TEMPL_2.a.i_Mid-Point_Assessment_Project_Narrative_Template_5688.pdf	2.a.i Mid-Point Assessment Project Narrative Template	08/05/2016 02:14 PM

**PPS Defined Milestones Narrative Text**

Milestone Name	Narrative Text
Mid-Point Assessment	



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**IPQR Module 2.a.i.4 - IA Monitoring**

**Instructions :**



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**Project 2.b.iii – ED care triage for at-risk populations**

**✓ IPQR Module 2.b.iii.1 - Major Risks to Implementation and Mitigation Strategies**

**Instructions :**

Please describe what the major risks are for this project, as well as the actions you plan to take to mitigate them.

1. Patient Engagement & Acceptance- Patient behavior is extremely difficult to change, especially in the short period of time required. Mitigation: The PPS will oversee the development of enhanced clinical pathways and training of staff in collaboration with partners to address social issues that drive ED frequent flyers; utilize patient tracking and compliance tools to identify hard to engage patients; prioritize hard to engage populations, based on scale, urgent need and potential impact of targeted, intensified intervention by the PNC, EDs and/or PCMHs; develop care navigation strategies, outreach, expertise and tools with which to engage and manage these ED frequent utilizer population and will develop multilingual patient outreach and education materials with a focus on the availability of primary care services in the community. In addition, as part of the PNC (2.c.i) project, the PPS will develop a Community Resource Guide, which will distinguish between MH and SUD providers in our network to guide quality patient referrals.
2. Existing Legal Structures- Federal EMTALA requirements, including screening/treating/stabilizing/transferring all patients who enter the ED. Mitigation: None available.
3. Infrastructure Development Time - Delay in the implementation of PNC will impede efficient and timely scheduling and tracking of patients going to an ED to PCPs across the PPS. Mitigation: The PPS will leverage NYU Lutheran's existing EHR and open-access scheduling to schedule and track follow-up appointments with PCPs; have case managers follow up with each patient's PCMH (as applicable) to schedule a follow-up appointment; case managers to identify the most appropriate PCMH location for follow-up PCP appointment and scheduling for patients without a PCP. Because IT/EHR systems among and between providers are separate and distinct, NYU Lutheran PPS will work with the NYU Langone IT Department to support integration and data exchange between systems.
4. Provider Engagement- Providers may resist adoption of standardized ED Care Triage project protocols. Mitigation: PPS will identify clinical project leaders to serve as project champions and liaise with partner champions to build support across the PPS provider network; engage partner providers to design and agree on standardized protocols to meet project requirements; optimize EMR and HIE functionality.
5. PCP Capacity- Insufficient number of PCPs available in the City, and existing practices cannot take on additional patients; Medicaid reimbursement structure to PCPs Mitigation: PPS will conduct an assessment to identify current capacity and analyze possible shortage areas and brainstorm ideas with provider practices to ease capacity issues, e.g. extend after-hours PCP availability in FQHCs and DTCs across the network where possible.
6. Data- Significant risk in State not transmitting timely, accurate, valid, & meaningful recent patient-specific data on our attributable population required to target patients in need for ED Care Triage services. Mitigation- None available.



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**IPQR Module 2.b.iii.2 - Patient Engagement Speed**

**Instructions :**

Enter the number of patients actively engaged through the current quarter. The number entered into the "Quarterly Update" area needs to reflect the cumulative method of counting within a DSRIP year. For example, the number reported in this field for Q4 should include patients previously reported in Q3 plus new patients engaged in Q4. Any explanations regarding altered or missed patient commitments must be included within the narrative box, not as text within uploaded documentation.

Benchmarks	
Actively Engaged Speed	Actively Engaged Scale
DY3,Q4	3,634

	Year,Quarter	DY2,Q1	DY2,Q2	DY2,Q3	DY2,Q4
PPS Reported	Baseline Commitment	182	727	872	1,817
	Quarterly Update	2,038	0	0	0
	Percent(%) of Commitment	1119.78%	0.00%	0.00%	0.00%
IA Approved	Quarterly Update	0	0	0	0
	Percent(%) of Commitment	0.00%	0.00%	0.00%	0.00%

**Current File Uploads**

User ID	File Type	File Name	File Description	Upload Date
dbudman	Documentation/Certification	32_DY2Q1_PROJ2biii_MDL2biii2_PES_DOC_2.b.iii_-_DY2,_Q1_-_final_3800.pdf	Patient Engagement Project 2.b.iii - ED care triage for at-risk populations	07/18/2016 01:14 PM

**Narrative Text :**

For PPS to provide additional context regarding progress and/or updates to IA.



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Delivery System Reform Incentive Payment Project  
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**NYU Lutheran Medical Center (PPS ID:32)**

**☑ IPQR Module 2.b.iii.3 - Prescribed Milestones**

**Instructions :**

Please provide updates to baseline reporting on status, target dates, and work breakdown tasks for prescribed milestones. For milestones that are due and completed within the reporting period, documentation is required to provide evidence of project requirement achievement. Any explanations regarding altered or missed provider commitments should be included within the narrative box, not as text within uploaded documentation.

Project Requirements (Milestone/Task Name)	Prescribed Due Date	Reporting Level	Provider Type	Status	Original Start Date	Original End Date	Start Date	End Date	Quarter End Date	DSRIP Reporting Year and Quarter
<b>Milestone #1</b> Establish ED care triage program for at-risk populations	DY3 Q4	Project	N/A	In Progress	05/01/2015	03/31/2018	05/01/2015	03/31/2018	03/31/2018	DY3 Q4
<b>Task</b> Stand up program based on project requirements		Project		In Progress	05/01/2015	03/31/2018	05/01/2015	03/31/2018	03/31/2018	DY3 Q4
<b>Task</b> Step 1. Conduct a current state assessment at Lutheran MC ED and NYU Cobble Hill ED to understand current ED discharge workflows and processes related to ED screening, patient education, ED discharge and scheduling post-ED follow-up visits with primary care providers.		Project		Completed	05/01/2015	09/30/2015	05/01/2015	09/30/2015	09/30/2015	DY1 Q2
<b>Task</b> Step 2. Review research on effective strategies for reducing ED readmissions and for educating patients on proper use of the ED.		Project		Completed	05/01/2015	09/30/2015	05/01/2015	09/30/2015	09/30/2015	DY1 Q2
<b>Task</b> Step 3. Interview ED patients to get a better understanding what would encourage them to use primary care resources instead of the ED.		Project		Completed	10/01/2015	12/31/2015	10/01/2015	12/31/2015	12/31/2015	DY1 Q3
<b>Task</b> Step 4. Develop patient education strategies on appropriate use of the ED based on key findings from patient survey in Step 3		Project		In Progress	04/01/2016	06/30/2016	04/01/2016	12/31/2016	12/31/2016	DY2 Q3
<b>Task</b> Step 5. Roll out patient education strategies with community partners		Project		Not Started	04/01/2016	12/31/2016	07/01/2016	12/31/2016	12/31/2016	DY2 Q3
<b>Task</b> Step 6. Develop a plan for a PPS ED discharge program that provides LMC and Cobble Hill ED patients with the following upon discharge: 1. Education on appropriate ED use 2. A scheduled appointment with a primary care provider of their choice 3. Enroll all eligible patients into health home 4. SBIRT screening to identify potential ED "frequent fliers" appropriate for referral to substance abuse care.		Project		In Progress	04/01/2016	06/30/2016	04/01/2016	12/31/2016	12/31/2016	DY2 Q3
<b>Task</b>		Project		Completed	10/01/2015	12/31/2015	10/01/2015	12/31/2015	12/31/2015	DY1 Q3



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Project Requirements (Milestone/Task Name)	Prescribed Due Date	Reporting Level	Provider Type	Status	Original Start Date	Original End Date	Start Date	End Date	Quarter End Date	DSRIP Reporting Year and Quarter
Step 7. Identify ED discharge program lead										
<b>Task</b> Step 8. Determine resource needs to successfully implement the discharge program.		Project		Completed	04/01/2016	06/30/2016	04/01/2016	06/30/2016	06/30/2016	DY2 Q1
<b>Task</b> Step 9. Recruit, train and assign staff to discharge unit		Project		Not Started	04/01/2016	06/30/2016	07/01/2016	12/31/2016	12/31/2016	DY2 Q3
<b>Task</b> Step 10. Train ED nurses and case managers on new ED discharge procedures.		Project		Not Started	04/01/2016	09/30/2016	07/01/2016	09/30/2016	09/30/2016	DY2 Q2
<b>Task</b> Step 11. Begin conducting ED care triage services at LMC		Project		Not Started	04/01/2016	03/31/2017	07/01/2016	03/31/2017	03/31/2017	DY2 Q4
<b>Task</b> Step 12. Begin conducting ED care triage services at Cobble Hill		Project		Not Started	04/01/2017	06/30/2017	04/01/2017	06/30/2017	06/30/2017	DY3 Q1
<b>Task</b> Step 13. Initiate dialogue with skilled nursing facilities to reduce unnecessary ED visits and admissions.		Project		Not Started	04/01/2016	03/31/2017	07/01/2016	03/31/2017	03/31/2017	DY2 Q4
<b>Task</b> Step 14. Engage ED care triage workgroup to review ED care triage performance		Project		Not Started	04/01/2016	03/31/2018	07/01/2016	03/31/2018	03/31/2018	DY3 Q4
<b>Task</b> Step 15. Identify continuous quality improvement initiatives		Project		Not Started	04/01/2016	03/31/2018	07/01/2016	03/31/2018	03/31/2018	DY3 Q4
<b>Milestone #2</b> Participating EDs will establish partnerships to community primary care providers with an emphasis on those that are PCMHs and have open access scheduling. a. Achieve NCQA 2014 Level 3 Medical Home standards or NYS Advanced Primary Care Model standards by the end of DSRIP Year 3. b. Develop process and procedures to establish connectivity between the emergency department and community primary care providers. c. Ensure real time notification to a Health Home care manager as applicable	DY3 Q4	Project	N/A	In Progress	10/01/2015	03/31/2018	10/01/2015	03/31/2018	03/31/2018	DY3 Q4
<b>Task</b> All practices meet NCQA 2014 Level 3 PCMH and/or APCM standards.		Provider	Safety Net Practitioner - Primary Care Provider (PCP)	In Progress	10/01/2015	03/31/2018	10/01/2015	03/31/2018	03/31/2018	DY3 Q4
<b>Task</b> EHR meets Meaningful Use Stage 2 CMS requirements (Note: any/all MU requirements adjusted by CMS will be incorporated into the assessment criteria.)		Project		Not Started	04/01/2016	03/31/2018	07/01/2016	03/31/2018	03/31/2018	DY3 Q4
<b>Task</b>		Provider	Safety Net Practitioner -	Not Started	04/01/2016	03/31/2018	07/01/2016	03/31/2018	03/31/2018	DY3 Q4





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Project Requirements (Milestone/Task Name)	Prescribed Due Date	Reporting Level	Provider Type	Status	Original Start Date	Original End Date	Start Date	End Date	Quarter End Date	DSRIP Reporting Year and Quarter
Encounter Notification Service (ENS) is installed in all PCP offices and EDs			Primary Care Provider (PCP)							
<b>Task</b> Encounter Notification Service (ENS) is installed in all PCP offices and EDs		Provider	Safety Net Hospital	Not Started	04/01/2016	03/31/2018	07/01/2016	03/31/2018	03/31/2018	DY3 Q4
<b>Task</b> Step 1. Conduct a current state assessment to determine which community primary care providers in the PPS will meet NCQA 2014 Level 3 Medical Home standards or NYS Advanced Primary Care Model standards or will meet them by DSRIP Year 3.		Project		Completed	10/01/2015	12/31/2015	10/01/2015	12/31/2015	12/31/2015	DY1 Q3
<b>Task</b> Step 2. Establish partnerships with providers identified in Step 1.		Project		In Progress	04/01/2016	06/30/2016	04/01/2016	12/31/2016	12/31/2016	DY2 Q3
<b>Task</b> Step 3. Engage the IT Sub-Committee to: 1. Establish connectivity between participating EDs and providers identified in Step 1 2. Build real time notifications to Health Home care managers as applicable Note: These steps will be implemented in phases.		Project		Not Started	07/01/2016	03/31/2018	07/01/2016	03/31/2018	03/31/2018	DY3 Q4
<b>Task</b> Step 4. Employ the Patient Navigation Center (PNC)/Care Management strategy to coordinate open access scheduling between participating EDs and providers identified in Step 1.		Project		Not Started	01/01/2017	03/31/2017	01/01/2017	03/31/2017	03/31/2017	DY2 Q4
<b>Milestone #3</b> For patients presenting with minor illnesses who do not have a primary care provider: a. Patient navigators will assist the presenting patient to receive an immediate appointment with a primary care provider, after required medical screening examination, to validate a non-emergency need. b. Patient navigator will assist the patient with identifying and accessing needed community support resources. c. Patient navigator will assist the member in receiving a timely appointment with that provider's office (for patients with a primary care provider).	DY3 Q4	Project	N/A	In Progress	10/01/2015	03/31/2018	10/01/2015	03/31/2018	03/31/2018	DY3 Q4
<b>Task</b> A defined process for triage of patients from patient navigators to non-emergency PCP and needed community support resources is in place.		Project		Not Started	04/01/2016	03/31/2018	07/01/2016	03/31/2018	03/31/2018	DY3 Q4
<b>Task</b> Step 1. Develop a network of primary care providers throughout		Project		Completed	10/01/2015	12/31/2015	10/01/2015	12/31/2015	12/31/2015	DY1 Q3



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Project Requirements (Milestone/Task Name)	Prescribed Due Date	Reporting Level	Provider Type	Status	Original Start Date	Original End Date	Start Date	End Date	Quarter End Date	DSRIP Reporting Year and Quarter
the PPS community to serve as a central referral list for ED patients without a primary care provider.										
<b>Task</b> Step 2. Develop protocols for discharge management to assist ED patients with: 1. Receiving an immediate appointment with a primary care provider. 2. Identifying and accessing needed community support resources. 3. Receiving a timely appointment with a primary care provider (if the patient has an established relationships with a primary care physician) 4. Receiving appropriate reminders of scheduled appointments 5. Determining if local PPS CHWs are required to educate and/or accompany some "frequent flier" ED patients to referred primary care site		Project		Completed	01/01/2016	03/31/2016	01/01/2016	03/31/2016	03/31/2016	DY1 Q4
<b>Task</b> Step 3. Train staff on protocols developed in Step 2.		Project		Not Started	04/01/2016	06/30/2016	07/01/2016	12/31/2016	12/31/2016	DY2 Q3
<b>Task</b> Step 4. Evaluate success of providing patients with timely access primary care providers and determine if any revisions, training, or communication interventions are needed		Project		Not Started	03/31/2017	03/31/2018	03/31/2017	03/31/2018	03/31/2018	DY3 Q4
<b>Milestone #4</b> Established protocols allowing ED and first responders - under supervision of the ED practitioners - to transport patients with non-acute disorders to alternate care sites including the PCMH to receive more appropriate level of care. (This requirement is optional.)	DY2 Q4	Project	N/A	On Hold	04/01/2015	03/31/2020	04/01/2015	03/31/2020	03/31/2020	DY5 Q4
<b>Task</b> PPS has protocols and operations in place to transport non-acute patients to appropriate care site. (Optional).		Provider	Safety Net Hospital	On Hold	04/01/2015	03/31/2020	04/01/2015	03/31/2020	03/31/2020	DY5 Q4
<b>Milestone #5</b> Use EHRs and other technical platforms to track all patients engaged in the project.	DY2 Q4	Project	N/A	In Progress	10/01/2015	03/31/2017	10/01/2015	03/31/2017	03/31/2017	DY2 Q4
<b>Task</b> PPS identifies targeted patients and is able to track actively engaged patients for project milestone reporting.		Project		In Progress	10/01/2015	03/31/2017	10/01/2015	03/31/2017	03/31/2017	DY2 Q4
<b>Task</b> Step 1. Complete current state assessment of EHRs and ED patient registries in use across the PPS primary care provider		Project		Completed	10/01/2015	03/31/2016	10/01/2015	03/31/2016	03/31/2016	DY1 Q4



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Project Requirements (Milestone/Task Name)	Prescribed Due Date	Reporting Level	Provider Type	Status	Original Start Date	Original End Date	Start Date	End Date	Quarter End Date	DSRIP Reporting Year and Quarter
network.										
<b>Task</b> Step 2. Identify gaps highlighting where a) current registries do not exist to meet PPS's clinical project requirements and target PPS populations; b) PPS primary care partners cannot easily upload appropriate EHR patient data to a patient registry; and c) PPS PCP partner organizations cannot make their schedules available to the PPS Patient Navigation Center for appointment scheduling		Project		In Progress	04/01/2016	06/30/2016	04/01/2016	09/30/2016	09/30/2016	DY2 Q2
<b>Task</b> Step 3. Develop roadmap to achieving expansion and/or establishment of patient registries, ability to identify "high risk" ED frequent fliers, ability for the PNC to access provider organizations' appointment schedules and EHR capabilities to track engaged ED visit populations across PPS primary care partners		Project		Not Started	06/30/2016	09/30/2016	07/01/2016	09/30/2016	09/30/2016	DY2 Q2
<b>Task</b> Step 4. Identify resources, timing and expertise required to implement the patient registry plan for PPS primary care partners.		Project		Not Started	06/30/2016	09/30/2016	07/01/2016	09/30/2016	09/30/2016	DY2 Q2
<b>Task</b> Step 5. Implement plan across PPS primary care partners		Project		Not Started	06/01/2016	03/31/2017	07/01/2016	03/31/2017	03/31/2017	DY2 Q4

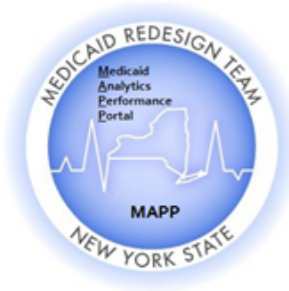
**Prescribed Milestones Current File Uploads**

Milestone Name	User ID	File Type	File Name	Description	Upload Date
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No Records Found

**Prescribed Milestones Narrative Text**

Milestone Name	Narrative Text
Establish ED care triage program for at-risk populations	
Participating EDs will establish partnerships to community primary care providers with an emphasis on those that are PCMHs and have open access scheduling. a. Achieve NCQA 2014 Level 3 Medical Home standards or NYS Advanced Primary Care Model standards by the end of DSRIP Year 3. b. Develop process and procedures to establish connectivity between the	



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**Prescribed Milestones Narrative Text**

Milestone Name	Narrative Text
<p>emergency department and community primary care providers. c. Ensure real time notification to a Health Home care manager as applicable</p>	
<p>For patients presenting with minor illnesses who do not have a primary care provider: a. Patient navigators will assist the presenting patient to receive an immediate appointment with a primary care provider, after required medical screening examination, to validate a non-emergency need. b. Patient navigator will assist the patient with identifying and accessing needed community support resources. c. Patient navigator will assist the member in receiving a timely appointment with that provider's office (for patients with a primary care provider).</p>	
<p>Established protocols allowing ED and first responders - under supervision of the ED practitioners - to transport patients with non-acute disorders to alternate care sites including the PCMH to receive more appropriate level of care. (This requirement is optional.)</p>	
<p>Use EHRs and other technical platforms to track all patients engaged in the project.</p>	



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**IPQR Module 2.b.iii.4 - PPS Defined Milestones**

**Instructions :**

Please provide updates to baseline reporting on status, target dates, and work breakdown tasks for PPS-defined milestones.

Milestone/Task Name	Status	Description	Original Start Date	Original End Date	Start Date	End Date	Quarter End Date	DSRIP Reporting Year and Quarter
Milestone Mid-Point Assessment	Completed	Mid-Point Assessment Project Narrative - 2.b.iii - ED care triage for at-risk populations			04/01/2016	06/30/2016	06/30/2016	DY2 Q1

**PPS Defined Milestones Current File Uploads**

Milestone Name	User ID	File Type	File Name	Description	Upload Date
Mid-Point Assessment	dbudman	Templates	32_DY2Q1_PROJ2biii_MDL2biii4_PPS1632_TEMPL_Mid-Point_Assessment_Project_Narrative_-_2.b.iii_ed_care_triage_5553.pdf	2.b.iii Mid-Point Assessment Project Narrative	08/04/2016 08:55 PM

**PPS Defined Milestones Narrative Text**

Milestone Name	Narrative Text
Mid-Point Assessment	



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**IPQR Module 2.b.iii.5 - IA Monitoring**

**Instructions :**



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**NYU Lutheran Medical Center (PPS ID:32)**

**Project 2.b.ix – Implementation of observational programs in hospitals**

**✓ IPQR Module 2.b.ix.1 - Major Risks to Implementation and Mitigation Strategies**

**Instructions :**

Please describe what the major risks are for this project, as well as the actions you plan to take to mitigate them.

1. Infrastructure Development Ramp Up
  - a. Availability of space and staff could delay in the opening of the permanent Observation Unit (OU) near NYU Lutheran Medical Center ED could impact available OU bed capacity to accommodate projected ramp-up of PPS patients. Mitigation: The PPS will develop a plan to locate temporary observation beds, develop clinical protocols, and identify the appropriate workforce to serve patients meeting observation status until the permanent unit opens.
  - b. Successful OU program relies on the Patient Navigation Center (PNC). Delays in PNC establishment would impact patient information flow to PCMHs, tracking of PPS patients, and timely scheduling of follow-up appointments, possibly resulting in avoidable admissions and/ or preventable ED visits. Mitigation: In lieu of a PNC, the PPS will leverage NYU Lutheran's existing EMR and open-access scheduling to schedule appointments with NYU Lutheran PCPs. OU case/care managers will direct patients to make appointments as part of discharge planning; OU discharge protocols will be established for hand-offs of patients between OU/ED care/case managers and community care/case managers in PCMH and HH sites. Discharge plans will include social work, home health and other services to ensure effective transition into community. NYU Lutheran's HIE will be leveraged where possible to enable information sharing across partners to enable timely hand-offs for patients moving from the OU into a community setting. The PPS will conduct a current state assessment to understand partners' implementation of EHRs and HIE connectivity. The PPS will provide technical assistance, including data and analytics support, to assist partners with meeting reporting requirements. Expense for this mitigation strategy is a significant concern.
2. Reimbursement & Contracts - Observation reimbursement structure or rate under Medicaid; no or little payment for these services stop long-term investments in OU. Mitigation: The PPS will work with State Medicaid and MCOs to design a reasonable rate structure based on Medicare.
3. Provider Engagement - Providers may resist adoption of standardized Observation Unit project protocols. Mitigation: The PPS will identify clinical project leader(s) to serve as project champions PPS among ED physicians and clinicians; achieve provider buy-in by engaging providers in design and to agree upon standardized protocols to meet requirements; provide training for providers engaged with the project; facilitate connections between OU physicians/other specialists to ensure access to appropriate tests required to support OU decision making; configure EMR/HIE functionality to ensure the system is user-friendly and provides the information critical to meet project goals; create tools for ED physicians identifying OU-appropriate conditions and protocols.
7. Cross-PPS Collaboration - No other Brooklyn-based PPSs implementing this project; insufficient number of PPSs to coordinate project implementation strategies and share best practices. Mitigation: The PPS will use the MIX to understand strategies and best practices among NYS PPSs.
8. Data- Significant risk in State not transmitting timely, accurate, valid, & meaningful recent patient-specific data on our attributable population required to measure OU performance. Mitigation- None available.
9. Ramp Up- OU services dissimilar to services such as screening; ramp up not dependent on patient engagement but rather demand for services and availability/capacity of the OU.



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**IPQR Module 2.b.ix.2 - Patient Engagement Speed**

**Instructions :**

Enter the number of patients actively engaged through the current quarter. The number entered into the "Quarterly Update" area needs to reflect the cumulative method of counting within a DSRIP year. For example, the number reported in this field for Q4 should include patients previously reported in Q3 plus new patients engaged in Q4. Any explanations regarding altered or missed patient commitments must be included within the narrative box, not as text within uploaded documentation.

Benchmarks	
Actively Engaged Speed	Actively Engaged Scale
DY2,Q4	523

	Year,Quarter	DY2,Q1	DY2,Q2	DY2,Q3	DY2,Q4
PPS Reported	Baseline Commitment	46	183	220	523
	Quarterly Update	92	0	0	0
	Percent(%) of Commitment	200.00%	0.00%	0.00%	0.00%
IA Approved	Quarterly Update	0	0	0	0
	Percent(%) of Commitment	0.00%	0.00%	0.00%	0.00%

**Current File Uploads**

User ID	File Type	File Name	File Description	Upload Date
dbudman	Documentation/Certification	32_DY2Q1_PROJ2bix_MDL2bix2_PES_DOC_2.b.ix_-_DY2,_Q1_-_final_3801.pdf	Patient Engagement Project 2.b.ix - Implementation of observational programs in hospitals	07/18/2016 01:19 PM

**Narrative Text :**

For PPS to provide additional context regarding progress and/or updates to IA.





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**✓ IPQR Module 2.b.ix.3 - Prescribed Milestones**

**Instructions :**

Please provide updates to baseline reporting on status, target dates, and work breakdown tasks for prescribed milestones. For milestones that are due and completed within the reporting period, documentation is required to provide evidence of project requirement achievement. Any explanations regarding altered or missed provider commitments should be included within the narrative box, not as text within uploaded documentation.

Project Requirements (Milestone/Task Name)	Prescribed Due Date	Reporting Level	Provider Type	Status	Original Start Date	Original End Date	Start Date	End Date	Quarter End Date	DSRIP Reporting Year and Quarter
<b>Milestone #1</b> Establish appropriately sized and staffed observation (OBS) units in close proximity to ED services, unless the services required are better provided in another unit. When the latter occurs, care coordination must still be provided.	DY3 Q2	Project	N/A	In Progress	05/01/2015	09/30/2017	05/01/2015	09/30/2017	09/30/2017	DY3 Q2
<b>Task</b> Observation units established in proximity to PPS' ED departments.		Provider	Hospital	In Progress	05/01/2015	09/30/2017	05/01/2015	09/30/2017	09/30/2017	DY3 Q2
<b>Task</b> Care coordination is in place for patients routed outside of ED or OBS services.		Project		In Progress	05/01/2015	09/30/2017	05/01/2015	09/30/2017	09/30/2017	DY3 Q2
<b>Task</b> Step 1. Determine preferred location for the NYU Lutheran OU and identify costs/timing to reconfigure and equip the OU.		Project		Completed	05/01/2015	09/01/2015	05/01/2015	09/01/2015	09/30/2015	DY1 Q2
<b>Task</b> Step 2. Identify ED physician and nurse to lead NYU Lutheran OU		Project		Completed	05/01/2015	09/01/2015	05/01/2015	09/01/2015	09/30/2015	DY1 Q2
<b>Task</b> Step 3. Identify preliminary set of conditions/diagnoses that will be evaluated & managed for Observation status, following best practice OU protocols		Project		Completed	06/01/2015	09/01/2015	06/01/2015	09/01/2015	09/30/2015	DY1 Q2
<b>Task</b> Step 4. Establish agreements with key NYU Lutheran clinical and ancillary departments to support goals, protocols and workflows of the OU		Project		Completed	08/01/2015	09/30/2015	08/01/2015	09/30/2015	09/30/2015	DY1 Q2
<b>Task</b> Step 5. Confirm OU workflow and documentation; install required OU workflow prompts & documentation templates to the NYU Lutheran EHR		Project		In Progress	08/01/2015	06/30/2017	08/01/2015	06/30/2017	06/30/2017	DY3 Q1
<b>Task</b> Step 6. Confirm OU staffing model		Project		Completed	07/01/2015	09/30/2015	07/01/2015	09/30/2015	09/30/2015	DY1 Q2
<b>Task</b> Step 7. Recruit, train and assign staff to the OU		Project		Completed	08/01/2015	03/31/2016	08/01/2015	03/31/2016	03/31/2016	DY1 Q4
<b>Task</b>		Project		Completed	12/01/2015	03/31/2016	12/01/2015	03/31/2016	03/31/2016	DY1 Q4



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<b>Project Requirements (Milestone/Task Name)</b>	<b>Prescribed Due Date</b>	<b>Reporting Level</b>	<b>Provider Type</b>	<b>Status</b>	<b>Original Start Date</b>	<b>Original End Date</b>	<b>Start Date</b>	<b>End Date</b>	<b>Quarter End Date</b>	<b>DSRIP Reporting Year and Quarter</b>
Step 8. Roll out OU communication/outreach strategy for Lutheran physicians, staff and patients (leverage materials from NYU Langone)										
<b>Task</b> Step 9. Open the NYU Lutheran OU		Project		Completed	05/01/2015	06/30/2016	05/01/2015	06/30/2016	06/30/2016	DY2 Q1
<b>Task</b> Step 10. Evaluate ramp-up success of placing patients into the OU and determine if any revisions, additional training, or communication interventions are needed.		Project		In Progress	01/01/2016	09/30/2017	01/01/2016	09/30/2017	09/30/2017	DY3 Q2
<b>Milestone #2</b> Create clinical and financial model to support the need for the unit.	DY3 Q2	Project	N/A	In Progress	10/01/2015	09/30/2017	10/01/2015	09/30/2017	09/30/2017	DY3 Q2
<b>Task</b> PPS has clinical and financial model, detailing: - number of beds - staffing requirements - services definition - admission protocols - discharge protocols - inpatient transfer protocols		Provider	Hospital	Not Started	04/01/2016	09/30/2017	07/01/2016	09/30/2017	09/30/2017	DY3 Q2
<b>Task</b> Step 1. Establish the outcome metrics, benchmarks and goals the Lutheran OU team will use to measure its performance of the OU. Example of performance goals will include % of OU status patients admitted		Project		Completed	10/01/2015	12/31/2015	10/01/2015	12/31/2015	12/31/2015	DY1 Q3
<b>Task</b> Step 2. Engage NYU Lutheran OU clinical work group and the Clinical Sub-Committee to review OU performance		Project		Completed	10/01/2015	12/31/2015	10/01/2015	12/31/2015	12/31/2015	DY1 Q3
<b>Task</b> Step 3. Identify continuous quality improvement initiatives		Project		Not Started	04/01/2016	09/30/2017	07/01/2016	09/30/2017	09/30/2017	DY3 Q2
<b>Task</b> Step 4. Expand the number of conditions/diagnoses which OU protocols will be applied to		Project		Not Started	04/01/2016	09/30/2017	07/01/2016	09/30/2017	09/30/2017	DY3 Q2
<b>Milestone #3</b> Utilize care coordination services to ensure safe discharge either to the community or a step down level of service, such as behavioral health or assisted living/SNF.	DY2 Q4	Project	N/A	In Progress	10/01/2015	03/31/2017	10/01/2015	03/31/2017	03/31/2017	DY2 Q4
<b>Task</b> Standard 30-day care coordination services for safe discharge to community or step-down level are implemented and specifically fitted to short-stay situations.		Project		Not Started	04/01/2016	03/31/2017	07/01/2016	03/31/2017	03/31/2017	DY2 Q4



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Project Requirements (Milestone/Task Name)	Prescribed Due Date	Reporting Level	Provider Type	Status	Original Start Date	Original End Date	Start Date	End Date	Quarter End Date	DSRIP Reporting Year and Quarter
<b>Task</b> Step 1. Identify case management needs for people being discharged from OU.		Project		Completed	10/01/2015	03/31/2016	10/01/2015	03/31/2016	03/31/2016	DY1 Q4
<b>Task</b> Step 2. Develop case management protocols and train case management staff		Project		Completed	10/01/2015	12/31/2015	10/01/2015	12/31/2015	12/31/2015	DY1 Q3
<b>Task</b> Step 3. Initiate case management for patients being discharged from OU		Project		Not Started	04/01/2016	03/31/2017	07/01/2016	03/31/2017	03/31/2017	DY2 Q4
<b>Milestone #4</b> Ensure that all PPS safety net providers are actively sharing EHR systems with local health information exchange/RHIO/SHIN-NY and sharing health information among clinical partners, including Direct exchange (secure messaging), alerts and patient record look up by the end of Demonstration Year (DY) 3.	DY3 Q2	Project	N/A	In Progress	10/01/2015	03/31/2018	10/01/2015	03/31/2018	03/31/2018	DY3 Q4
<b>Task</b> EHR meets Meaningful Use Stage 2 CMS requirements (Note: any/all MU requirements adjusted by CMS will be incorporated into the assessment criteria.)		Project		Not Started	04/01/2016	03/31/2018	07/01/2016	03/31/2018	03/31/2018	DY3 Q4
<b>Task</b> EHR meets connectivity to RHIO's HIE and SHIN-NY requirements.		Provider	Safety Net Practitioner - Primary Care Provider (PCP)	Not Started	04/01/2016	03/31/2018	07/01/2016	03/31/2018	03/31/2018	DY3 Q4
<b>Task</b> EHR meets connectivity to RHIO's HIE and SHIN-NY requirements.		Provider	Safety Net Hospital	Not Started	04/01/2016	03/31/2018	07/01/2016	03/31/2018	03/31/2018	DY3 Q4
<b>Task</b> Step 1. Complete current state assessment of interoperability and HIE requirements across the PPS safety net providers.		Project		Completed	10/01/2015	03/31/2016	10/01/2015	03/31/2016	03/31/2016	DY1 Q4
<b>Task</b> Step 2. Identify gaps highlighting where PPS safety net members' EHRs fail to meet RHIO's HIE and SHIN-NY connectivity requirements.		Project		Completed	10/01/2015	03/31/2016	10/01/2015	03/31/2016	03/31/2016	DY1 Q4
<b>Task</b> Step 3. Develop roadmap to achieving clinical data sharing and interoperable systems across PPS network (see IT section of IDS project 2.a.i for details).		Project		Not Started	04/01/2016	09/30/2016	07/01/2016	09/30/2016	09/30/2016	DY2 Q2
<b>Task</b> Step 4. Identify resources and expertise required to implement HIE connectivity plan for PPS safety net provider partners.		Project		Not Started	04/01/2016	09/30/2016	07/01/2016	09/30/2016	09/30/2016	DY2 Q2
<b>Task</b> Step 5. Implement plan and achieve HIE connectivity across PPS		Project		Not Started	10/01/2016	03/31/2018	10/01/2016	03/31/2018	03/31/2018	DY3 Q4



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safety net provider partners.										
<b>Milestone #5</b> Use EHRs and other technical platforms to track all patients engaged in the project.	DY2 Q4	Project	N/A	In Progress	10/01/2015	03/31/2017	10/01/2015	03/31/2017	03/31/2017	DY2 Q4
<b>Task</b> PPS identifies targeted patients and is able to track actively engaged patients for project milestone reporting.		Project		In Progress	10/01/2015	03/31/2017	10/01/2015	03/31/2017	03/31/2017	DY2 Q4
<b>Task</b> Step 1. Complete current state assessment of EHRs and patient registries in use across the PPS primary care provider network.		Project		Completed	10/01/2015	03/31/2016	10/01/2015	03/31/2016	03/31/2016	DY1 Q4
<b>Task</b> Step 2. Identify gaps highlighting where a) current registries do not exist to meet PPS's clinical project requirements and target PPS populations; and, b) PPS primary care partners cannot easily upload appropriate EHR patient data to a patient registry		Project		Completed	10/01/2015	06/30/2016	10/01/2015	06/30/2016	06/30/2016	DY2 Q1
<b>Task</b> Step 3. Develop roadmap to achieving expansion and/or establishment of patient registries, ability to identify "high risk" OU patients, ability for the PNC to access provider organizations' appointment schedules and EHR capabilities to track engaged OU populations across PPS primary care partners		Project		Not Started	06/30/2016	09/30/2016	07/01/2016	09/30/2016	09/30/2016	DY2 Q2
<b>Task</b> Step 4. Identify resources, timing and expertise required to implement the patient registry plan.		Project		Not Started	06/30/2016	09/30/2016	07/01/2016	09/30/2016	09/30/2016	DY2 Q2
<b>Task</b> Step 5. Implement plan across PPS primary care partners		Project		Not Started	06/01/2016	03/31/2017	07/01/2016	03/31/2017	03/31/2017	DY2 Q4

**Prescribed Milestones Current File Uploads**

Milestone Name	User ID	File Type	File Name	Description	Upload Date
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No Records Found

**Prescribed Milestones Narrative Text**

Milestone Name	Narrative Text
Establish appropriately sized and staffed observation (OBS) units in close proximity to ED services, unless the services required are better provided in another unit. When the latter occurs, care coordination must still be provided.	



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**Prescribed Milestones Narrative Text**

Milestone Name	Narrative Text
Create clinical and financial model to support the need for the unit.	
Utilize care coordination services to ensure safe discharge either to the community or a step down level of service, such as behavioral health or assisted living/SNF.	
Ensure that all PPS safety net providers are actively sharing EHR systems with local health information exchange/RHIO/SHIN-NY and sharing health information among clinical partners, including Direct exchange (secure messaging), alerts and patient record look up by the end of Demonstration Year (DY) 3.	
Use EHRs and other technical platforms to track all patients engaged in the project.	



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**IPQR Module 2.b.ix.4 - PPS Defined Milestones**

**Instructions :**

Please provide updates to baseline reporting on status, target dates, and work breakdown tasks for PPS-defined milestones.

Milestone/Task Name	Status	Description	Original Start Date	Original End Date	Start Date	End Date	Quarter End Date	DSRIP Reporting Year and Quarter
Milestone Mid-Point Assessment	Completed	Mid-Point Assessment Project Narrative - 2.b.ix - Implementation of observational programs in hospitals			04/01/2016	06/30/2016	06/30/2016	DY2 Q1

**PPS Defined Milestones Current File Uploads**

Milestone Name	User ID	File Type	File Name	Description	Upload Date
Mid-Point Assessment	dbudman	Templates	32_DY2Q1_PROJ2bix_MDL2bix4_PPS1633_TEMPL_Mid-Point_Assessment_Project_Narrative_-_2.b.ix_observation_unit_5554.pdf	2.b.ix Mid-Point Assessment Project Narrative	08/04/2016 08:58 PM

**PPS Defined Milestones Narrative Text**

Milestone Name	Narrative Text
Mid-Point Assessment	



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**IPQR Module 2.b.ix.5 - IA Monitoring**

**Instructions :**



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**Project 2.c.i – Development of community-based health navigation services**

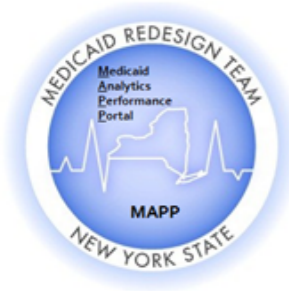
**✓ IPQR Module 2.c.i.1 - Major Risks to Implementation and Mitigation Strategies**

**Instructions :**

Please describe what the major risks are for this project, as well as the actions you plan to take to mitigate them.

1. Information Technology Adoption – EHRs & other technical platforms are extremely tricky to build and adopt, including ones to track all patients engaged in this project for proactive care management, and thus difficult or delayed milestone realization. Mitigation: PPS will conduct current state assessment and develop plans to advance partner implementation of EHRs/ HIE connectivity; PPS will provide technical assistance, data and analytics support to assist partners in meeting reporting requirements, and work with vendors who have successfully implemented relevant IT tools.
2. Patient Engagement- PPS may not meet patient engagement targets due to difficulty to engage patients or have them comply with care plan. Mitigation: During initial roll-out of the Patient Navigation Center (PNC), PPS will track patients' care plan compliance; identify hard to engage populations; analyze data to determine size, location, clinical needs and demographic profiling of these populations to identify reason for resistance; prioritize populations, based on scale, urgent need and potential impact of targeted, intensified intervention by PNC; develop culturally-specific care navigation strategies, expertise and tools with which to engage patients; deploy community health workers and embed care managers in PCMH sites for active patient engagement; staff will receive training and support to engage patients. PPS will track populations prioritized for targeted patient navigation resources to improve patient engagement performance and lessons learned can be applied to other populations. PPS will develop multilingual patient outreach and education materials to highlight importance of primary prevention, chronic disease management, and leverage community resources to support health and well-being; CHWs will support patients' clinical needs as well as their social and economic needs.
3. Provider Engagement- Providers may resist adoption of standardized PPS patient navigation and coordination protocols. Mitigation: PPS will identify clinical project leader(s) to serve as project champions and liaise with them to build support across the PPS provider network; create provider buy-in by engaging key partner providers in design and piloting standardized patient navigation protocols to meet project requirements. PPS will aim to refine and launch PNC tools, resources and protocols based on pilot feedback and develop a community care resource guide to assist the patients and ensure compliance with protocols. PPS will configure EMR/ HIE functionality to ensure system is user-friendly and provides information critical to meet goals; provide technical assistance, including IT and communications support, to providers who may struggle with implementing PNC requirements.
4. Workforce Risk- Supply for community health workers and navigators may not meet City-, State-, and Nation-wide demand. PPS may encounter challenges recruiting adequate numbers of case managers, care coordinators and CHWs to support centralized and community-based staffing needs. Mitigation: PPS will evaluate and identify project staffing needs; prioritize and identify voluntary redeployment opportunities to fill new/vacant positions and promote retraining opportunities for these positions. PPS will recruit CHWs from diverse communities within the PPS's target area to meet the needs of PPS patients. If access required services in target area seems unlikely to meet demand, PPS will implement strategies (likely in partnership with other Brooklyn PPSs) to increase access to these services via vendor contracts and/or expanding the capacity of these organizations already in the PPS.
5. Data- Significant risk in State not transmitting timely, accurate, valid, & meaningful recent patient-specific data on our attributable population required to measure PNC performance. Mitigation: None available.





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**IPQR Module 2.c.i.2 - Patient Engagement Speed**

**Instructions :**

Enter the number of patients actively engaged through the current quarter. The number entered into the "Quarterly Update" area needs to reflect the cumulative method of counting within a DSRIP year. For example, the number reported in this field for Q4 should include patients previously reported in Q3 plus new patients engaged in Q4. Any explanations regarding altered or missed patient commitments must be included within the narrative box, not as text within uploaded documentation.

Benchmarks	
Actively Engaged Speed	Actively Engaged Scale
DY4,Q4	16,289

	Year,Quarter	DY2,Q1	DY2,Q2	DY2,Q3	DY2,Q4
PPS Reported	Baseline Commitment	611	2,443	2,932	6,515
	Quarterly Update	1,582	0	0	0
	Percent(%) of Commitment	258.92%	0.00%	0.00%	0.00%
IA Approved	Quarterly Update	0	0	0	0
	Percent(%) of Commitment	0.00%	0.00%	0.00%	0.00%

**Current File Uploads**

User ID	File Type	File Name	File Description	Upload Date
dbudman	Documentation/Certification	32_DY2Q1_PROJ2ci_MDL2ci2_PES_DOC_2.c.i_-_DY2,_Q1_-_final_3802.pdf	Patient Engagement Project 2.c.i - Development of community-based health navigation services	07/18/2016 01:24 PM

**Narrative Text :**

For PPS to provide additional context regarding progress and/or updates to IA.



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**☑ IPQR Module 2.c.i.3 - Prescribed Milestones**

**Instructions :**

Please provide updates to baseline reporting on status, target dates, and work breakdown tasks for prescribed milestones. For milestones that are due and completed within the reporting period, documentation is required to provide evidence of project requirement achievement. Any explanations regarding altered or missed provider commitments should be included within the narrative box, not as text within uploaded documentation.

<b>Project Requirements (Milestone/Task Name)</b>	<b>Prescribed Due Date</b>	<b>Reporting Level</b>	<b>Provider Type</b>	<b>Status</b>	<b>Original Start Date</b>	<b>Original End Date</b>	<b>Start Date</b>	<b>End Date</b>	<b>Quarter End Date</b>	<b>DSRIP Reporting Year and Quarter</b>
<b>Milestone #1</b> Create community-based health navigation services, with the goal of assisting patients in accessing healthcare services efficiently.	DY3 Q2	Project	N/A	In Progress	05/01/2015	09/30/2017	05/01/2015	09/30/2017	09/30/2017	DY3 Q2
<b>Task</b> Community-based health navigation services established.		Project		In Progress	05/01/2015	09/30/2017	05/01/2015	09/30/2017	09/30/2017	DY3 Q2
<b>Task</b> Step 1. Conduct current state assessment to understand existing community-based health navigation services and CHW FTEs in place among PPS partners.		Project		Completed	05/01/2015	09/30/2015	05/01/2015	09/30/2015	09/30/2015	DY1 Q2
<b>Task</b> Step 2. Convene a community navigation project work group/program oversight group consisting of medical, behavioral health, community nursing, and social support service providers throughout the PPS network.		Project		Completed	07/01/2015	09/30/2015	07/01/2015	09/30/2015	09/30/2015	DY1 Q2
<b>Task</b> Step 3. Determine the scope of the PPS's community-based health navigation services.		Project		Completed	07/01/2015	10/30/2015	07/01/2015	10/30/2015	12/31/2015	DY1 Q3
<b>Task</b> Step 4. Determine staffing and resources needed to support community navigation services.		Project		Completed	10/01/2015	12/31/2015	10/01/2015	12/31/2015	12/31/2015	DY1 Q3
<b>Task</b> Step 5. Hire community-based navigators as necessary (phased growth).		Project		Not Started	04/01/2016	03/31/2017	07/01/2016	03/31/2017	03/31/2017	DY2 Q4
<b>Task</b> Step 6. Train community navigator staff on the PPS's community navigation protocols and procedures (on-going).		Project		Not Started	04/01/2016	03/31/2017	07/01/2016	03/31/2017	03/31/2017	DY2 Q4
<b>Task</b> Step 7. Design centralized Patient Navigation Center (PNC) and determine scope of services and required staffing.		Project		Completed	10/01/2015	06/30/2016	10/01/2015	06/30/2016	06/30/2016	DY2 Q1
<b>Task</b> Step 8. Design the infrastructure and work streams of the PPS's Patient Navigation Center (PNC)		Project		Completed	01/01/2016	06/30/2016	01/01/2016	06/30/2016	06/30/2016	DY2 Q1
<b>Task</b>		Project		In Progress	04/01/2016	09/30/2016	04/01/2016	09/30/2016	09/30/2016	DY2 Q2



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Project Requirements (Milestone/Task Name)	Prescribed Due Date	Reporting Level	Provider Type	Status	Original Start Date	Original End Date	Start Date	End Date	Quarter End Date	DSRIP Reporting Year and Quarter
Step 9. Determine best implementation approach for PNC (e.g. leverage services vendor or build capabilities)										
<b>Task</b> Step 10. Implement the initial PNC call center capabilities.		Project		Not Started	09/30/2016	12/31/2016	09/30/2016	12/31/2016	12/31/2016	DY2 Q3
<b>Task</b> Step 11. Conduct annual review of community-navigation services to determine whether the services are successfully assisting patients in accessing healthcare services and make revisions as necessary.		Project		Not Started	10/01/2016	09/30/2017	10/01/2016	09/30/2017	09/30/2017	DY3 Q2
<b>Milestone #2</b> Develop a community care resource guide to assist the community resources and ensure compliance with protocols, under direction from a collaborating program oversight group of medical/behavioral health, community nursing, and social support services providers.	DY2 Q4	Project	N/A	In Progress	08/01/2015	03/31/2017	08/01/2015	03/31/2017	03/31/2017	DY2 Q4
<b>Task</b> Resource guide completed, detailing medical/behavioral/social community resources and care protocols developed by program oversight committee.		Project		In Progress	08/01/2015	03/31/2017	08/01/2015	03/31/2017	03/31/2017	DY2 Q4
<b>Task</b> Step 1. Conduct current state assessment to understand the community care resource needs of PPS partners and available resources in the PPS.		Project		Completed	08/01/2015	09/30/2015	08/01/2015	09/30/2015	09/30/2015	DY1 Q2
<b>Task</b> Step 2. Identify industry best practices and develop resource requirements.		Project		Completed	10/01/2015	03/31/2016	10/01/2015	03/31/2016	03/31/2016	DY1 Q4
<b>Task</b> Step 3. Develop a community care resource guide and enhance based on experience (phased development and implementation).		Project		Not Started	04/01/2016	03/31/2017	07/01/2016	03/31/2017	03/31/2017	DY2 Q4
<b>Milestone #3</b> Recruit for community navigators, ideally spearheaded by residents in the targeted area to ensure community familiarity.	DY2 Q4	Project	N/A	In Progress	10/01/2015	03/31/2017	10/01/2015	03/31/2017	03/31/2017	DY2 Q4
<b>Task</b> Navigators recruited by residents in the targeted area, where possible.		Project		Not Started	04/01/2016	03/31/2017	07/01/2016	03/31/2017	03/31/2017	DY2 Q4
<b>Task</b> Step 1. Conduct an analysis of the PPS network and in collaboration with clinical workgroups to understand unique, culturally competent community staffing needs.		Project		Completed	10/01/2015	12/31/2015	10/01/2015	12/31/2015	12/31/2015	DY1 Q3
<b>Task</b> Step 2. Engage with community partners to help identify		Project		Not Started	04/01/2016	03/31/2017	07/01/2016	03/31/2017	03/31/2017	DY2 Q4



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community residents that can aid with community navigator recruitment (on-going).										
<b>Task</b> Step 3. Hire and train community navigators.		Project		Not Started	04/01/2016	03/31/2017	07/01/2016	03/31/2017	03/31/2017	DY2 Q4
<b>Milestone #4</b> Resource appropriately for the community navigators, evaluating placement and service type.	DY3 Q2	Project	N/A	In Progress	01/01/2016	09/30/2017	01/01/2016	09/30/2017	09/30/2017	DY3 Q2
<b>Task</b> Navigator placement implemented based upon opportunity assessment.		Project		Not Started	04/01/2016	09/30/2017	07/01/2016	09/30/2017	09/30/2017	DY3 Q2
<b>Task</b> Telephonic and web-based health navigator services implemented by type.		Project		Not Started	04/01/2016	09/30/2017	07/01/2016	09/30/2017	09/30/2017	DY3 Q2
<b>Task</b> Step 1. Identify skillsets necessary for community navigators.		Project		Completed	01/01/2016	03/31/2016	01/01/2016	03/31/2016	03/31/2016	DY1 Q4
<b>Task</b> Step 2. Establish standardized job description for community navigators.		Project		Completed	01/01/2016	03/31/2016	01/01/2016	03/31/2016	03/31/2016	DY1 Q4
<b>Task</b> Step 3. Ensure that hired community navigators are trained and placed into positions based on geography, PPS programmatic need, navigator skill set and interested and cultural and linguistic match to the communities they will serve.		Project		Not Started	04/01/2016	09/30/2017	07/01/2016	09/30/2017	09/30/2017	DY3 Q2
<b>Milestone #5</b> Provide community navigators with access to non-clinical resources, such as transportation and housing services.	DY3 Q2	Project	N/A	Not Started	04/01/2016	09/30/2017	07/01/2016	09/30/2017	09/30/2017	DY3 Q2
<b>Task</b> Navigators have partnerships with transportation, housing, and other social services benefitting target population.		Project		Not Started	04/01/2016	09/30/2017	07/01/2016	09/30/2017	09/30/2017	DY3 Q2
<b>Task</b> Step 1. Identify community-based organizations that can provide the PPS with access to non-clinical resources.		Project		Not Started	04/01/2016	03/31/2017	07/01/2016	03/31/2017	03/31/2017	DY2 Q4
<b>Task</b> Step 2. Train navigators on use of the resource guide.		Project		Not Started	04/01/2016	03/31/2017	07/01/2016	03/31/2017	03/31/2017	DY2 Q4
<b>Milestone #6</b> Establish case loads and discharge processes to ensure efficiency in the system for community navigators who are following patients longitudinally.	DY2 Q4	Project	N/A	In Progress	01/01/2016	03/31/2017	01/01/2016	03/31/2017	03/31/2017	DY2 Q4
<b>Task</b> Case loads and discharge processes established for health navigators following patients longitudinally.		Project		Not Started	04/01/2016	03/31/2017	07/01/2016	03/31/2017	03/31/2017	DY2 Q4



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<b>Task</b> Step 1. Conduct research on best practices in case load and discharge processes for community navigators.		Project		Completed	01/01/2016	03/31/2016	01/01/2016	03/31/2016	03/31/2016	DY1 Q4
<b>Task</b> Step 2. Develop a case load and discharge protocol for community navigators.		Project		Completed	01/01/2016	03/31/2016	01/01/2016	03/31/2016	03/31/2016	DY1 Q4
<b>Milestone #7</b> Market the availability of community-based navigation services.	DY2 Q4	Project	N/A	In Progress	01/01/2016	03/31/2017	01/01/2016	03/31/2017	03/31/2017	DY2 Q4
<b>Task</b> Health navigator personnel and services marketed within designated communities.		Project		Not Started	04/01/2016	03/31/2017	07/01/2016	03/31/2017	03/31/2017	DY2 Q4
<b>Task</b> Step 1. Engage the PPS's Communications and Outreach team to develop pamphlet materials regarding available community-based navigation services.		Project		Completed	01/01/2016	03/31/2016	01/01/2016	03/31/2016	03/31/2016	DY1 Q4
<b>Task</b> Step 2. Distribute pamphlets developed in Step 1 to all PPS providers to put on display for patients in waiting and exam rooms.		Project		Not Started	04/01/2016	06/30/2016	07/01/2016	09/30/2016	09/30/2016	DY2 Q2
<b>Task</b> Step 3. Conduct an online webinar to educate PPS providers on available community-based navigation services.		Project		Not Started	04/01/2016	03/31/2017	07/01/2016	03/31/2017	03/31/2017	DY2 Q4
<b>Milestone #8</b> Use EHRs and other technical platforms to track all patients engaged in the project.	DY2 Q4	Project	N/A	In Progress	01/01/2016	03/31/2017	01/01/2016	03/31/2017	03/31/2017	DY2 Q4
<b>Task</b> PPS identifies targeted patients and is able to track actively engaged patients for project milestone reporting.		Project		Not Started	04/01/2016	03/31/2017	07/01/2016	03/31/2017	03/31/2017	DY2 Q4
<b>Task</b> Step 1. Complete current state assessment of EHRs and patient registries in use across the PPS primary care provider network.		Project		Completed	01/01/2016	03/31/2016	01/01/2016	03/31/2016	03/31/2016	DY1 Q4
<b>Task</b> Step 2. Identify gaps highlighting where a) current registries do not exist to meet PPS's clinical project requirements and target PPS populations; b) PPS primary care partners cannot easily upload appropriate EHR patient data to a patient registry; and c) PPS PCP partner organizations cannot make their schedules available to the PPS Patient Navigation Center for appointment scheduling.		Project		Completed	04/01/2016	06/30/2016	04/01/2016	06/30/2016	06/30/2016	DY2 Q1
<b>Task</b> Step 3. Develop roadmap to achieving expansion and/or		Project		Not Started	06/30/2016	09/30/2016	07/01/2016	09/30/2016	09/30/2016	DY2 Q2



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establishment of patient registries, ability to identify high risk, complex PPS patients, ability for the PNC to access provider organizations' appointment schedules and EHR capabilities to track engaged ED visit populations across PPS primary care partners.										
<b>Task</b> Step 4. Identify resources, timing and expertise required to implement the patient registry plan for PPS primary care partners.		Project		Not Started	06/30/2016	09/30/2016	07/01/2016	09/30/2016	09/30/2016	DY2 Q2
<b>Task</b> Step 5. Implement plan across PPS primary care partners.		Project		Not Started	06/01/2016	03/31/2017	07/01/2016	03/31/2017	03/31/2017	DY2 Q4

**Prescribed Milestones Current File Uploads**

Milestone Name	User ID	File Type	File Name	Description	Upload Date
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No Records Found

**Prescribed Milestones Narrative Text**

Milestone Name	Narrative Text
Create community-based health navigation services, with the goal of assisting patients in accessing healthcare services efficiently.	
Develop a community care resource guide to assist the community resources and ensure compliance with protocols, under direction from a collaborating program oversight group of medical/behavioral health, community nursing, and social support services providers.	
Recruit for community navigators, ideally spearheaded by residents in the targeted area to ensure community familiarity.	
Resource appropriately for the community navigators, evaluating placement and service type.	
Provide community navigators with access to non-clinical resources, such as transportation and housing services.	
Establish case loads and discharge processes to ensure efficiency in the system for community navigators who are following patients longitudinally.	
Market the availability of community-based navigation services.	
Use EHRs and other technical platforms to track all patients engaged in the project.	



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**IPQR Module 2.c.i.4 - PPS Defined Milestones**

**Instructions :**

Please provide updates to baseline reporting on status, target dates, and work breakdown tasks for PPS-defined milestones.

Milestone/Task Name	Status	Description	Original Start Date	Original End Date	Start Date	End Date	Quarter End Date	DSRIP Reporting Year and Quarter
Milestone Mid-Point Assessment	Completed	Mid-Point Assessment Project Narrative Template for Project 2.c.i (Development of community-based health navigation services)			04/01/2016	06/30/2016	06/30/2016	DY2 Q1

**PPS Defined Milestones Current File Uploads**

Milestone Name	User ID	File Type	File Name	Description	Upload Date
Mid-Point Assessment	kbatchoo	Templates	32_DY2Q1_PROJ2ci_MDL2ci4_PPS1609_TEMPL_2.c.i_Mid-Point_Assessment_Project_Narrative_5315.pdf	2.c.i Mid-Point Assessment Project Narrative	08/04/2016 11:40 AM

**PPS Defined Milestones Narrative Text**

Milestone Name	Narrative Text
Mid-Point Assessment	



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**IPQR Module 2.c.i.5 - IA Monitoring**

**Instructions :**





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**Project 3.a.i – Integration of primary care and behavioral health services**

**✓ IPQR Module 3.a.i.1 - Major Risks to Implementation and Mitigation Strategies**

**Instructions :**

Please describe what the major risks are for this project, as well as the actions you plan to take to mitigate them.

1. PCMH Status Risk- PPS partner support needed to achieve PCMH NCQA 2014 Level 3 status by DY 3 deadline may be greater than PPS's resources. Mitigation: The PPS will conduct a current state assessment and provide technical assistance to help eligible partners become PCMH 2014 NCQA Level 3 certified by end of DY 3.
2. Information Technology Adoption Risk- PPS partners may be unable to use EHRs & other technical platforms effectively to track all patients engaged in this project. EMRs may not integrate a patient's medical and Behavioral Health (BH) records within his/her patient record. Mitigation: PPS will conduct a current state assessment and develop a plan to support partner implementation of EHRs/HIE connectivity, and provide technical assistance to aid partners with meeting tracking and reporting requirements.
3. Patient Engagement Risk- Patients may not consent to share BH records. Patients may resist engagement due to social stigma associated with BH. Mitigation: PPS will use culturally competent care managers & navigators to educate patients about benefits of sharing information with their providers and management of BH disorders within their respective cultural frameworks.
4. Provider Engagement Risk- Providers resist adoption of standardized BH Integration and IMPACT project protocols. BH and PCP providers approach patients from different practice and clinical perspectives. The warm handoff means two patient visits on the same day, which we understand Medicaid won't pay for. Mitigation: PPS will identify clinical project leader(s) to serve as project champions and liaise with them to build support across the PPS provider network; create provider buy-in by engaging partner providers; configure EMR/HIE functionality to ensure system is user-friendly and provides information critical to meet project goals. PPS will engage providers in training to promote collaborative team-based care models and increase understanding of respective perspectives. If appropriate, PPS may consider incentive programs to support consistent protocol engagement. Discussions with MCOs and the State could help mitigate the financial risks associated with this project.
5. Workforce Risk- Given the psychiatry shortage in Brooklyn and PPSs competition for similar staff, the PPS may be unable to hire adequate BH specialists to provide care under this project. Mitigation: PPS will develop a comprehensive recruiting /training plan that includes identification of Depression care managers and child psychiatrists necessary to implement the IMPACT model. PPS will also explore use of telemedicine, in light of required fiscal sustainability and capital needs, to minimize need for on-site BH staff in light of staffing shortages and investigate the use of provider incentive programs.
6. Infrastructure Development Risk- Some sites may struggle to achieve co-location of BH services due to facility configuration/ space capacity issues and possible denial of capital funding requests. Some sites may not be successful because simply co-locating services doesn't automatically lead to effective integration of services. Reimbursement structure does not support this integration long term. Mitigation: PPS's project model allows sites to use the IMPACT model where co-location is not feasible in addition to employing Model 1 (co-located BH services at PCMH locations). PPS will engage providers to adopt best practice protocols to establish communication and clinical processes to effectively work together in a co-located model.
7. Data- Significant risk in State not transmitting timely, accurate, valid, & meaningful recent patient-specific data on our attributable population required to measure success of the initiative. Mitigation- None available.



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**IPQR Module 3.a.i.2 - Patient Engagement Speed**

**Instructions :**

Enter the number of patients actively engaged through the current quarter. The number entered into the "Quarterly Update" area needs to reflect the cumulative method of counting within a DSRIP year. For example, the number reported in this field for Q4 should include patients previously reported in Q3 plus new patients engaged in Q4. Any explanations regarding altered or missed patient commitments must be included within the narrative box, not as text within uploaded documentation.

Benchmarks	
Actively Engaged Speed	Actively Engaged Scale
DY3,Q4	16,915

	Year,Quarter	DY2,Q1	DY2,Q2	DY2,Q3	DY2,Q4
<b>PPS Reported</b>	Baseline Commitment	719	2,876	3,451	8,458
	Quarterly Update	5,177	0	0	0
	Percent(%) of Commitment	720.03%	0.00%	0.00%	0.00%
<b>IA Approved</b>	Quarterly Update	0	0	0	0
	Percent(%) of Commitment	0.00%	0.00%	0.00%	0.00%

**Current File Uploads**

User ID	File Type	File Name	File Description	Upload Date
dbudman	Documentation/Certification	32_DY2Q1_PROJ3ai_MDL3ai2_PES_DOC_3.a.i_-_DY2,_Q1_-_final_3804.pdf	Patient Engagement Project 3.a.i - Integration of primary care and behavioral health services	07/18/2016 01:38 PM

**Narrative Text :**

For PPS to provide additional context regarding progress and/or updates to IA.



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**✓ IPQR Module 3.a.i.3 - Prescribed Milestones**

**Instructions :**

Please provide updates to baseline reporting on status, target dates, and work breakdown tasks for prescribed milestones. For milestones that are due and completed within the reporting period, documentation is required to provide evidence of project requirement achievement. Any explanations regarding altered or missed provider commitments should be included within the narrative box, not as text within uploaded documentation.

Project Requirements (Milestone/Task Name)	Prescribed Due Date	Project Model Name	Reporting Level	Provider Type	Status	Original Start Date	Original End Date	Start Date	End Date	Quarter End Date	DSRIP Reporting Year and Quarter
<b>Milestone #1</b> Co-locate behavioral health services at primary care practice sites. All participating primary care practices must meet 2014 NCQA level 3 PCMH or Advance Primary Care Model standards by DY 3.	DY3 Q4	Model 1	Project	N/A	In Progress	05/01/2015	03/31/2018	05/01/2015	03/31/2018	03/31/2018	DY3 Q4
<b>Task</b> All practices meet NCQA 2014 Level 3 PCMH and/or APCM standards by the end of DY3.			Provider	Practitioner - Primary Care Provider (PCP)	In Progress	10/01/2015	03/31/2018	10/01/2015	03/31/2018	03/31/2018	DY3 Q4
<b>Task</b> Behavioral health services are co-located within PCMH/APC practices and are available.			Provider	Mental Health	In Progress	10/01/2015	03/31/2018	10/01/2015	03/31/2018	03/31/2018	DY3 Q4
<b>Task</b> Co-location Work Step 1. Assess current delivery of BH services in primary care provider network.			Project		Completed	05/01/2015	09/30/2015	05/01/2015	09/30/2015	09/30/2015	DY1 Q2
<b>Task</b> Co-location Work Step 2. Identify primary care partners that will be early adoption sites for BH co-location ("Phase 1 adopters").			Project		Completed	08/01/2015	09/30/2015	08/01/2015	09/30/2015	09/30/2015	DY1 Q2
<b>Task</b> Co-location Work Step 3. Develop site-specific plans for Phase 1 adopters.			Project		Completed	10/01/2015	12/31/2015	10/01/2015	12/31/2015	12/31/2015	DY1 Q3
<b>Task</b> Co-location Work Step 4. Identify site-specific financial sustainability challenges in current reimbursement environment and develop strategies for how to fill the gap.			Project		Completed	10/01/2015	03/31/2016	10/01/2015	03/31/2016	03/31/2016	DY1 Q4
<b>Task</b> Co-location Work Step 5. Identify site-specific staffing needs and recruit, hire and train staff.			Project		In Progress	04/01/2016	06/30/2016	04/01/2016	09/30/2016	09/30/2016	DY2 Q2
<b>Task</b> Co-location Work Step 6. Start BH screening and co-located services at Phase 1 adopter sites.			Project		Completed	04/01/2016	06/30/2016	04/01/2016	06/30/2016	06/30/2016	DY2 Q1
<b>Task</b>			Project		Not Started	04/01/2016	03/31/2018	07/01/2016	03/31/2018	03/31/2018	DY3 Q4



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Co-location Work Step 7. Document lessons learned to adjust strategies, workflows and practices.											
<b>Task</b> Co-location Work Step 8. Identify "Phase 2 adopter" sites.			Project		Not Started	10/01/2016	12/31/2016	10/01/2016	12/31/2016	12/31/2016	DY2 Q3
<b>Task</b> Co-location Work Step 9. Develop Phase 2 site-specific plans, integrating lessons learned.			Project		Not Started	10/01/2016	12/31/2016	10/01/2016	12/31/2016	12/31/2016	DY2 Q3
<b>Task</b> Co-location Work Step 10. Identify site-specific staffing needs and recruit, hire and train staff.			Project		Not Started	01/01/2017	06/30/2017	01/01/2017	06/30/2017	06/30/2017	DY3 Q1
<b>Task</b> Co-location Work Step 11. Start BH screening and co-located services at Phase 2 adopter sites.			Project		Not Started	04/01/2017	06/30/2017	04/01/2017	06/30/2017	06/30/2017	DY3 Q1
<b>Task</b> PCMH Work Step 1. Complete current state assessment of primary care partners with BH co-location with respect to APCM and 2014 Level 3 PCMH standards.			Project		Completed	10/01/2015	01/31/2016	10/01/2015	01/31/2016	03/31/2016	DY1 Q4
<b>Task</b> PCMH Work Step 2. Identify gaps highlighting where PPS safety net members' fail to meet APCM and 2014 PCMH Level 3 certification requirements.			Project		Completed	10/01/2015	03/01/2016	10/01/2015	03/01/2016	03/31/2016	DY1 Q4
<b>Task</b> PCMH Work Step 3. Develop roadmap to achieving 2014 PCMH Level 3 certification requirements across PPS safety net provider partners.			Project		In Progress	04/01/2016	06/30/2016	04/01/2016	09/30/2016	09/30/2016	DY2 Q2
<b>Task</b> PCMH Work Step 4. Work with Partners to identify resources and expertise required to implement 2014 PCMH Level 3 certification plan for PPS primary care partners implementing BH co-location.			Project		In Progress	04/01/2016	06/30/2016	04/01/2016	09/30/2016	09/30/2016	DY2 Q2
<b>Task</b> PCMH Work Step 5. Implement plan and support partners in completing 2014 PCMH Level 3 certification requirements.			Project		Not Started	05/01/2016	12/31/2017	07/01/2016	12/31/2017	12/31/2017	DY3 Q3
<b>Task</b> PCMH Work Step 6. Confirm that all PPS primary care partner sites with BH co-location have met 2014 PCMH Level 3 or APCM standards by the end of DY3.			Project		Not Started	05/01/2016	03/31/2018	07/01/2016	03/31/2018	03/31/2018	DY3 Q4



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<b>Milestone #2</b> Develop collaborative evidence-based standards of care including medication management and care engagement process.	DY2 Q4	Model 1	Project	N/A	In Progress	10/01/2015	03/31/2017	10/01/2015	03/31/2017	03/31/2017	DY2 Q4
<b>Task</b> Regularly scheduled formal meetings are held to develop collaborative care practices.			Project		Not Started	04/01/2016	03/31/2017	07/01/2016	03/31/2017	03/31/2017	DY2 Q4
<b>Task</b> Coordinated evidence-based care protocols are in place, including medication management and care engagement processes.			Project		Not Started	04/01/2016	03/31/2017	07/01/2016	03/31/2017	03/31/2017	DY2 Q4
<b>Task</b> Step 1. Develop PPS evidence-based practice guidelines, protocols and policies to implement evidence-based standards of care at partner primary care sites with BH co-location.			Project		Completed	10/01/2015	12/31/2015	10/01/2015	12/31/2015	12/31/2015	DY1 Q3
<b>Task</b> Step 2. Establish regular meetings at implementing sites with BH clinicians and PCPs; ensure relevant staff attend these meetings.			Project		Not Started	04/01/2016	03/31/2017	07/01/2016	03/31/2017	03/31/2017	DY2 Q4
<b>Task</b> Step 3. Develop and roll out training to providers at Phase 1 adopter sites for BH co-location.			Project		Completed	04/01/2016	06/30/2016	04/01/2016	06/30/2016	06/30/2016	DY2 Q1
<b>Task</b> Step 4. Monitor implementation at partner sites, capture lessons learned, and adapt practices based on lessons.			Project		Not Started	04/01/2016	03/31/2017	07/01/2016	03/31/2017	03/31/2017	DY2 Q4
<b>Task</b> Step 5. Implement at additional Phase 2 adopter sites and monitor implementation.			Project		Not Started	01/01/2017	03/31/2017	01/01/2017	03/31/2017	03/31/2017	DY2 Q4
<b>Milestone #3</b> Conduct preventive care screenings, including behavioral health screenings (PHQ-2 or 9 for those screening positive, SBIRT) implemented for all patients to identify unmet needs.	DY3 Q4	Model 1	Project	N/A	In Progress	05/01/2015	03/31/2018	05/01/2015	03/31/2018	03/31/2018	DY3 Q4
<b>Task</b> Policies and procedures are in place to facilitate and document completion of screenings.			Project		In Progress	05/01/2015	03/31/2018	04/01/2016	03/31/2018	03/31/2018	DY3 Q4
<b>Task</b> Screenings are documented in Electronic Health			Project		Completed	05/01/2015	03/31/2018	05/01/2015	06/30/2016	06/30/2016	DY2 Q1



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Record.											
<b>Task</b> At least 90% of patients receive screenings at the established project sites (Screenings are defined as industry standard questionnaires such as PHQ-2 or 9 for those screening positive, SBIRT).			Project		In Progress	05/01/2015	03/31/2018	04/01/2016	03/31/2018	03/31/2018	DY3 Q4
<b>Task</b> Positive screenings result in "warm transfer" to behavioral health provider as measured by documentation in Electronic Health Record.			Provider	Practitioner - Primary Care Provider (PCP)	In Progress	05/01/2015	03/31/2018	04/01/2016	03/31/2018	03/31/2018	DY3 Q4
<b>Task</b> Step 1. Identify appropriate PPS evidence-based preventive care screenings and administration protocols for patients ages 5 and older.			Project		Completed	05/01/2015	09/30/2015	05/01/2015	09/30/2015	09/30/2015	DY1 Q2
<b>Task</b> Step 2. Develop PPS screening protocols and work flows to facilitate implementation and documentation of screenings, including protocols for "warm transfers" to BH providers for patients who screen positive.			Project		Completed	10/01/2015	03/31/2016	10/01/2015	03/31/2016	03/31/2016	DY1 Q4
<b>Task</b> Step 3. Develop site-specific plans to roll-out preventive care screenings at Phase 1 adopter sites and implement plans.			Project		Completed	04/01/2016	06/30/2016	04/01/2016	06/30/2016	06/30/2016	DY2 Q1
<b>Task</b> Step 4. Monitor implementation at Phase 1 sites, capture lessons learned, and adapt practices based on lessons.			Project		Not Started	04/01/2016	03/31/2018	07/01/2016	03/31/2018	03/31/2018	DY3 Q4
<b>Task</b> Step 5. Develop site-specific plans to roll-out preventive care screenings at Phase 2 adopter sites and implement plans.			Project		Not Started	01/01/2017	06/30/2017	01/01/2017	06/30/2017	06/30/2017	DY3 Q1
<b>Task</b> Step 6. Adapt EHR systems to ensure screenings can be captured electronically and provide training to staff.			Project		Not Started	04/01/2016	03/31/2018	07/01/2016	03/31/2018	03/31/2018	DY3 Q4
<b>Milestone #4</b> Use EHRs or other technical platforms to track all patients engaged in this project.	DY2 Q4	Model 1	Project	N/A	In Progress	10/01/2015	03/31/2017	10/01/2015	03/31/2017	03/31/2017	DY2 Q4
<b>Task</b> EHR demonstrates integration of medical and behavioral health record within individual patient			Project		Not Started	04/01/2016	03/31/2017	07/01/2016	03/31/2017	03/31/2017	DY2 Q4



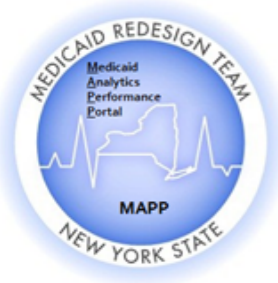
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records.											
<b>Task</b> PPS identifies targeted patients and is able to track actively engaged patients for project milestone reporting.			Project		Not Started	04/01/2016	03/31/2017	07/01/2016	03/31/2017	03/31/2017	DY2 Q4
<b>Task</b> Step 1. Complete current state assessment of EHRs in use across the PPS primary care provider network, including capacity to integrate medical and BH record for services delivered at primary care site.			Project		Completed	10/01/2015	03/31/2016	10/01/2015	03/31/2016	03/31/2016	DY1 Q4
<b>Task</b> Step 2. Identify gaps highlighting where a) current EHRs do not document PPS's clinical project requirements (e.g., number of screenings completed) and target PPS populations, b) PPS primary care partners cannot easily upload appropriate EHR patient data to the PPS project reporting system, c) EHRs do not integrate medical and BH record.			Project		In Progress	10/01/2015	06/30/2016	10/01/2015	09/30/2016	09/30/2016	DY2 Q2
<b>Task</b> Step 3. Develop roadmap to achieving expansion and/or establishment of EHR capabilities to track engaged patients across PPS primary care partners implementing project.			Project		Not Started	06/30/2016	09/30/2016	07/01/2016	09/30/2016	09/30/2016	DY2 Q2
<b>Task</b> Step 4. Identify resources, timing and expertise required to implement the patient tracking plan for PPS primary care partners.			Project		Not Started	06/30/2016	09/30/2016	07/01/2016	09/30/2016	09/30/2016	DY2 Q2
<b>Task</b> Step 5. Implement plan across PPS primary care partners implementing project.			Project		Not Started	06/01/2016	03/31/2017	07/01/2016	03/31/2017	03/31/2017	DY2 Q4
<b>Milestone #5</b> Co-locate primary care services at behavioral health sites.	DY3 Q4	Model 2	Project	N/A	On Hold	04/01/2015	03/31/2020	04/01/2015	03/31/2020	03/31/2020	DY5 Q4
<b>Task</b> PPS has achieved NCQA 2014 Level 3 PCMH or Advanced Primary Care Model Practices by the end of DY3.			Provider	Practitioner - Primary Care Provider (PCP)	On Hold	04/01/2015	03/31/2020	04/01/2015	03/31/2020	03/31/2020	DY5 Q4
<b>Task</b> Primary care services are co-located within behavioral Health practices and are available.			Provider	Practitioner - Primary Care Provider (PCP)	On Hold	04/01/2015	03/31/2020	04/01/2015	03/31/2020	03/31/2020	DY5 Q4

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Project Requirements (Milestone/Task Name)	Prescribed Due Date	Project Model Name	Reporting Level	Provider Type	Status	Original Start Date	Original End Date	Start Date	End Date	Quarter End Date	DSRIP Reporting Year and Quarter
<b>Task</b> Primary care services are co-located within behavioral Health practices and are available.			Provider	Mental Health	On Hold	04/01/2015	03/31/2020	04/01/2015	03/31/2020	03/31/2020	DY5 Q4
<b>Milestone #6</b> Develop collaborative evidence-based standards of care including medication management and care engagement process.	DY2 Q4	Model 2	Project	N/A	On Hold	04/01/2015	03/31/2020	04/01/2015	03/31/2020	03/31/2020	DY5 Q4
<b>Task</b> Regularly scheduled formal meetings are held to develop collaborative care practices.			Project		On Hold	04/01/2015	03/31/2020	04/01/2015	03/31/2020	03/31/2020	DY5 Q4
<b>Task</b> Coordinated evidence-based care protocols are in place, including a medication management and care engagement process.			Project		On Hold	04/01/2015	03/31/2020	04/01/2015	03/31/2020	03/31/2020	DY5 Q4
<b>Milestone #7</b> Conduct preventive care screenings, including behavioral health screenings (PHQ-2 or 9 for those screening positive, SBIRT) implemented for all patients to identify unmet needs.	DY3 Q4	Model 2	Project	N/A	On Hold	04/01/2015	03/31/2020	04/01/2015	03/31/2020	03/31/2020	DY5 Q4
<b>Task</b> Screenings are conducted for all patients. Process workflows and operational protocols are in place to implement and document screenings.			Project		On Hold	04/01/2015	03/31/2020	04/01/2015	03/31/2020	03/31/2020	DY5 Q4
<b>Task</b> Screenings are documented in Electronic Health Record.			Project		On Hold	04/01/2015	03/31/2020	04/01/2015	03/31/2020	03/31/2020	DY5 Q4
<b>Task</b> At least 90% of patients receive screenings at the established project sites (Screenings are defined as industry standard questionnaires such as PHQ-2 or 9 for those screening positive, SBIRT).			Project		On Hold	04/01/2015	03/31/2020	04/01/2015	03/31/2020	03/31/2020	DY5 Q4
<b>Task</b> Positive screenings result in "warm transfer" to behavioral health provider as measured by documentation in Electronic Health Record.			Provider	Practitioner - Primary Care Provider (PCP)	On Hold	04/01/2015	03/31/2020	04/01/2015	03/31/2020	03/31/2020	DY5 Q4
<b>Milestone #8</b> Use EHRs or other technical platforms to track all patients engaged in this project.	DY2 Q4	Model 2	Project	N/A	On Hold	04/01/2015	03/31/2020	04/01/2015	03/31/2020	03/31/2020	DY5 Q4
<b>Task</b> EHR demonstrates integration of medical and			Project		On Hold	04/01/2015	03/31/2020	04/01/2015	03/31/2020	03/31/2020	DY5 Q4





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**NYU Lutheran Medical Center (PPS ID:32)**

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behavioral health record within individual patient records.											
<b>Task</b> PPS identifies targeted patients and is able to track actively engaged patients for project milestone reporting.			Project		On Hold	04/01/2015	03/31/2020	04/01/2015	03/31/2020	03/31/2020	DY5 Q4
<b>Milestone #9</b> Implement IMPACT Model at Primary Care Sites.	DY3 Q4	Model 3	Project	N/A	Not Started	04/01/2016	03/31/2018	07/01/2016	03/31/2018	03/31/2018	DY3 Q4
<b>Task</b> PPS has implemented IMPACT Model at Primary Care Sites.			Provider	Practitioner - Primary Care Provider (PCP)	Not Started	04/01/2016	03/31/2018	07/01/2016	03/31/2018	03/31/2018	DY3 Q4
<b>Task</b> Step 1. Identify primary care partners that will implement IMPACT.			Project		Not Started	04/01/2016	06/30/2016	07/01/2016	09/30/2016	09/30/2016	DY2 Q2
<b>Task</b> Step 2. Develop site-specific plans for phased implementation of program model.			Project		Not Started	07/01/2016	12/31/2016	07/01/2016	12/31/2016	12/31/2016	DY2 Q3
<b>Task</b> Step 3. Identify site-specific financial sustainability challenges in current reimbursement environment and develop strategies for how to fill the gap.			Project		Not Started	07/01/2016	12/31/2016	07/01/2016	12/31/2016	12/31/2016	DY2 Q3
<b>Task</b> Step 4. Implement site-specific plans in a phased approach and document to ensure they are adequately staffed (e.g. depression care manager, consulting psychiatrist), required screenings/services are being offered, and other project requirements are being addressed.			Project		Not Started	01/01/2017	06/30/2017	01/01/2017	06/30/2017	06/30/2017	DY3 Q1
<b>Task</b> Step 5. Document lessons learned & challenges at early adopter sites with IMPACT & adapt strategy for later phases of roll-out.			Project		Not Started	01/01/2017	03/31/2018	01/01/2017	03/31/2018	03/31/2018	DY3 Q4
<b>Task</b> Step 6. Monitor implementation and offer technical assistance as needed.			Project		Not Started	04/01/2017	03/31/2018	04/01/2017	03/31/2018	03/31/2018	DY3 Q4
<b>Milestone #10</b> Utilize IMPACT Model collaborative care standards, including developing coordinated evidence-based care standards and policies and procedures for care engagement.	DY2 Q4	Model 3	Project	N/A	Not Started	04/01/2016	03/31/2017	07/01/2016	03/31/2017	03/31/2017	DY2 Q4



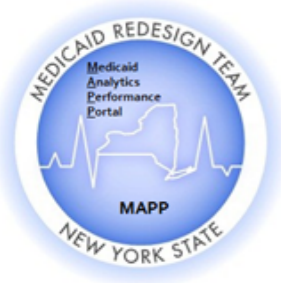
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Project Requirements (Milestone/Task Name)	Prescribed Due Date	Project Model Name	Reporting Level	Provider Type	Status	Original Start Date	Original End Date	Start Date	End Date	Quarter End Date	DSRIP Reporting Year and Quarter
<b>Task</b> Coordinated evidence-based care protocols are in place, including a medication management and care engagement process to facilitate collaboration between primary care physician and care manager.			Project		Not Started	04/01/2016	03/31/2017	07/01/2016	03/31/2017	03/31/2017	DY2 Q4
<b>Task</b> Policies and procedures include process for consulting with Psychiatrist.			Project		Not Started	04/01/2016	03/31/2017	07/01/2016	03/31/2017	03/31/2017	DY2 Q4
<b>Task</b> Step 1. Identify PPS partner collaborative care standards, policies and procedures for IMPACT care management.			Project		Not Started	04/01/2016	06/30/2016	07/01/2016	09/30/2016	09/30/2016	DY2 Q2
<b>Task</b> Step 2. Develop training & communication strategy, including materials to be rolled out across the PPS primary care provider network implementing IMPACT.			Project		Not Started	07/01/2016	09/30/2016	07/01/2016	09/30/2016	09/30/2016	DY2 Q2
<b>Task</b> Step 3. Roll out provider training and materials starting with early adopter PCPs in the network, tracking participation of PCPs in the training initiatives.			Project		Not Started	10/01/2016	03/31/2017	10/01/2016	03/31/2017	03/31/2017	DY2 Q4
<b>Task</b> Step 4. Monitor implementation at partner sites, capture lessons learned, and adapt practices based on lessons.			Project		Not Started	10/01/2016	03/31/2017	10/01/2016	03/31/2017	03/31/2017	DY2 Q4
<b>Milestone #11</b> Employ a trained Depression Care Manager meeting requirements of the IMPACT model.	DY2 Q4	Model 3	Project	N/A	Not Started	04/01/2016	03/31/2017	07/01/2016	03/31/2017	03/31/2017	DY2 Q4
<b>Task</b> PPS identifies qualified Depression Care Manager (can be a nurse, social worker, or psychologist) as identified in Electronic Health Records.			Project		Not Started	04/01/2016	03/31/2017	07/01/2016	03/31/2017	03/31/2017	DY2 Q4
<b>Task</b> Depression care manager meets requirements of IMPACT model, including coaching patients in behavioral activation, offering course in counseling, monitoring depression symptoms for treatment response, and completing a relapse prevention plan.			Project		Not Started	04/01/2016	03/31/2017	07/01/2016	03/31/2017	03/31/2017	DY2 Q4
<b>Task</b> Step 1. Develop position requirements for Depression Care Manager (CM) per evidence-based model and			Project		Not Started	04/01/2016	09/30/2016	07/01/2016	09/30/2016	09/30/2016	DY2 Q2

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NYS regulatory/reimbursement mandates.											
<b>Task</b> Step 2. Implementing sites hire new staff members/identify current staff for CM role and PPS documents CM staffing per model.			Project		Not Started	10/01/2016	03/31/2017	10/01/2016	03/31/2017	03/31/2017	DY2 Q4
<b>Task</b> Step 3. Training is provided to Depression Care Managers.			Project		Not Started	10/01/2016	03/31/2017	10/01/2016	03/31/2017	03/31/2017	DY2 Q4
<b>Milestone #12</b> Designate a Psychiatrist meeting requirements of the IMPACT Model.	DY2 Q4	Model 3	Project	N/A	Not Started	04/01/2016	03/31/2017	07/01/2016	03/31/2017	03/31/2017	DY2 Q4
<b>Task</b> All IMPACT participants in PPS have a designated Psychiatrist.			Project		Not Started	04/01/2016	03/31/2017	07/01/2016	03/31/2017	03/31/2017	DY2 Q4
<b>Task</b> Step 1. Develop position requirements for Consulting Psychiatrist (PSY) per evidence-based model and NYS regulatory/reimbursement mandates.			Project		Not Started	04/01/2016	09/30/2016	07/01/2016	09/30/2016	09/30/2016	DY2 Q2
<b>Task</b> Step 2. Implementing sites identify new clinician(s)/identify current clinician for PSY role and PPS documents site-specific PSY designation per model.			Project		Not Started	10/01/2016	03/31/2017	10/01/2016	03/31/2017	03/31/2017	DY2 Q4
<b>Task</b> Step 3. Training is provided to consulting psychiatrists at implementing sites.			Project		Not Started	10/01/2016	03/31/2017	10/01/2016	03/31/2017	03/31/2017	DY2 Q4
<b>Milestone #13</b> Measure outcomes as required in the IMPACT Model.	DY3 Q4	Model 3	Project	N/A	Not Started	10/01/2016	03/31/2018	10/01/2016	03/31/2018	03/31/2018	DY3 Q4
<b>Task</b> At least 90% of patients receive screenings at the established project sites (Screenings are defined as industry standard questionnaires such as PHQ-2 or 9 for those screening positive, SBIRT).			Project		Not Started	10/01/2016	03/31/2018	10/01/2016	03/31/2018	03/31/2018	DY3 Q4
<b>Task</b> Step 1. Implement reporting mechanisms and roster system to measure numbers of patients and % receiving PHQ-2 and 9 screening for those screening positive, and SBIRT.			Project		Not Started	10/01/2016	03/31/2017	10/01/2016	03/31/2017	03/31/2017	DY2 Q4
<b>Task</b> Step 2. Monitor implementation, and develop/			Project		Not Started	10/01/2016	03/31/2018	10/01/2016	03/31/2018	03/31/2018	DY3 Q4



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implement as needed technical assistance plans to help participating partner sites achieve 90% target.											
<b>Milestone #14</b> Provide "stepped care" as required by the IMPACT Model.	DY3 Q4	Model 3	Project	N/A	Not Started	07/01/2016	03/31/2018	07/01/2016	03/31/2018	03/31/2018	DY3 Q4
<b>Task</b> In alignment with the IMPACT model, treatment is adjusted based on evidence-based algorithm that includes evaluation of patient after 10-12 weeks after start of treatment plan.			Project		Not Started	07/01/2016	03/31/2018	07/01/2016	03/31/2018	03/31/2018	DY3 Q4
<b>Task</b> Step 1. Develop a treatment protocol and work flow for providing "stepped care" per IMPACT model.			Project		Not Started	07/01/2016	09/30/2016	07/01/2016	09/30/2016	09/30/2016	DY2 Q2
<b>Task</b> Step 2. Train partners implementing IMPACT in stepped care and staff.			Project		Not Started	10/01/2016	06/30/2017	10/01/2016	06/30/2017	06/30/2017	DY3 Q1
<b>Task</b> Step 3. Develop and implement site-specific plans.			Project		Not Started	10/01/2016	06/30/2017	10/01/2016	06/30/2017	06/30/2017	DY3 Q1
<b>Task</b> Step 4. Monitor implementation, including adherence to 10-12 week evaluation, and offer technical assistance as needed.			Project		Not Started	07/01/2017	03/31/2018	07/01/2017	03/31/2018	03/31/2018	DY3 Q4
<b>Milestone #15</b> Use EHRs or other technical platforms to track all patients engaged in this project.	DY2 Q4	Model 3	Project	N/A	In Progress	01/01/2016	03/31/2017	01/01/2016	03/31/2017	03/31/2017	DY2 Q4
<b>Task</b> EHR demonstrates integration of medical and behavioral health record within individual patient records.			Project		Not Started	04/01/2016	03/31/2017	07/01/2016	03/31/2017	03/31/2017	DY2 Q4
<b>Task</b> PPS identifies targeted patients and is able to track actively engaged patients for project milestone reporting.			Project		Not Started	04/01/2016	03/31/2017	07/01/2016	03/31/2017	03/31/2017	DY2 Q4
<b>Task</b> Step 1. Complete current state assessment of EHRs in use across the PPS primary care provider network, including capacity to integrate medical and BH record for services delivered at primary care site.			Project		Completed	01/01/2016	03/31/2016	01/01/2016	03/31/2016	03/31/2016	DY1 Q4
<b>Task</b> Step 2. Identify gaps highlighting where a) current			Project		Not Started	04/01/2016	06/30/2016	07/01/2016	09/30/2016	09/30/2016	DY2 Q2



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EHRs do not document PPS's clinical project requirements (e.g., number of screenings completed) and target PPS populations, b) PPS primary care partners cannot easily upload appropriate EHR patient data to the PPS project reporting system, c) EHRs do not integrate medical and BH record.											
<b>Task</b> Step 3. Develop roadmap to achieving expansion and/or establishment of EHR capabilities to track engaged patients across PPS primary care partners implementing project.			Project		Not Started	06/30/2016	09/30/2016	07/01/2016	09/30/2016	09/30/2016	DY2 Q2
<b>Task</b> Step 4. Identify resources, timing and expertise required to implement the patient tracking plan for PPS primary care partners.			Project		Not Started	06/30/2016	09/30/2016	07/01/2016	09/30/2016	09/30/2016	DY2 Q2
<b>Task</b> Step 5. Implement plan across PPS primary care partners implementing project.			Project		Not Started	06/01/2016	03/31/2017	07/01/2016	03/31/2017	03/31/2017	DY2 Q4

**Prescribed Milestones Current File Uploads**

Milestone Name	User ID	File Type	File Name	Description	Upload Date
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No Records Found

**Prescribed Milestones Narrative Text**

Milestone Name	Narrative Text
Co-locate behavioral health services at primary care practice sites. All participating primary care practices must meet 2014 NCQA level 3 PCMH or Advance Primary Care Model standards by DY 3.	
Develop collaborative evidence-based standards of care including medication management and care engagement process.	
Conduct preventive care screenings, including behavioral health screenings (PHQ-2 or 9 for those screening positive, SBIRT) implemented for all patients to identify unmet needs.	
Use EHRs or other technical platforms to track all patients engaged in this project.	



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**Prescribed Milestones Narrative Text**

Milestone Name	Narrative Text
Co-locate primary care services at behavioral health sites.	
Develop collaborative evidence-based standards of care including medication management and care engagement process.	
Conduct preventive care screenings, including behavioral health screenings (PHQ-2 or 9 for those screening positive, SBIRT) implemented for all patients to identify unmet needs.	
Use EHRs or other technical platforms to track all patients engaged in this project.	
Implement IMPACT Model at Primary Care Sites.	
Utilize IMPACT Model collaborative care standards, including developing coordinated evidence-based care standards and policies and procedures for care engagement.	
Employ a trained Depression Care Manager meeting requirements of the IMPACT model.	
Designate a Psychiatrist meeting requirements of the IMPACT Model.	
Measure outcomes as required in the IMPACT Model.	
Provide "stepped care" as required by the IMPACT Model.	
Use EHRs or other technical platforms to track all patients engaged in this project.	



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**IPQR Module 3.a.i.4 - PPS Defined Milestones**

**Instructions :**

Please provide updates to baseline reporting on status, target dates, and work breakdown tasks for PPS-defined milestones.

Milestone/Task Name	Status	Description	Original Start Date	Original End Date	Start Date	End Date	Quarter End Date	DSRIP Reporting Year and Quarter
Milestone Mid-Point Assessment	Completed	Mid-Point Assessment Project Narrative - 3.a.i - Integration of primary care and behavioral health services			04/01/2016	06/30/2016	06/30/2016	DY2 Q1

**PPS Defined Milestones Current File Uploads**

Milestone Name	User ID	File Type	File Name	Description	Upload Date
Mid-Point Assessment	dbudman	Templates	32_DY2Q1_PROJ3ai_MDL3ai4_PPS1634_TEMPL_Mid-Point_Assessment_Project_Narrative_-_3.a.i_behavioral_health_5558.pdf	3.a.i Mid-Point Assessment Project Narrative	08/04/2016 09:00 PM

**PPS Defined Milestones Narrative Text**

Milestone Name	Narrative Text
Mid-Point Assessment	



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**IPQR Module 3.a.i.5 - IA Monitoring**

**Instructions :**





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**Project 3.c.i – Evidence-based strategies for disease management in high risk/affected populations (adults only)**

**✓ IPQR Module 3.c.i.1 - Major Risks to Implementation and Mitigation Strategies**

**Instructions :**

Please describe what the major risks are for this project, as well as the actions you plan to take to mitigate them.

1. PCMH Status - PPS partner support needed to achieve PCMH NCQA 2014 Level 3 status by DY 3 deadline may be greater than PPS's resources. Mitigation: The PPS will conduct a current state assessment, develop plans to support deployment of PCMH solutions to eligible providers across the PPS by DY 3 deadline; provide technical assistance to help eligible partners become PCMH 2014 NCQA Level 3 certified by end of DY 3.
2. Information Technology Adoption - PPS may be unable to use EHRs & other technical platforms effectively to track patients engaged in this project Mitigation: PPS will conduct a current state assessment and develop a plan to support partner implementation of EHRs /HIE connectivity; provide technical assistance, including data and analytic support, to assist partners with meeting tracking and reporting requirements.
3. Provider Engagement - PPS may not reach <80% of PCPs to engage in evidence-based best practices for diabetes management. Providers may resist adoption of standardized diabetes management project protocols. Mitigation: PPS will identify PCP partner project leaders to serve as project champions and liaise with them to build support across PPS provider network; establish provider buy-in; configure EMR/HIE functionality to ensure the system is user-friendly and provides critical information. PPS will educate on best practice protocols. PPS may consider incentive programs to support consistent protocol engagement.
4. MCO Contracting - PPS may struggle to negotiate agreements with MCOs related to coordination of services for high risk populations. Mitigation: PPS will leverage NYU's population health management expertise to structure and support implementation of care coordination strategies, reimbursement and incentive payment models for preventative screenings and services for high-risk populations. PPS leadership will work with MCOs to develop value-based payment models to identify data needs and proactively address issues impacting successful implementation of models. PPS will work with partners to identify and address readiness concerns and align incentive requirements where appropriate related to assuming financial risk and assist partners (e.g., FQHCs) where appropriate to develop structure and capacity to enter into risk-based contracts. PPS will ensure compliance with the Collaborative Contracting Model, antitrust requirements, and other laws and rules impacting MCO contracting initiative.
5. Patient Engagement - A proportion of the patients will be difficult to engage and may resist disease management efforts. Mitigation: PPS will utilize patient tracking and compliance tools to identify hard to engage populations and analyze data to determine size, location, clinical needs, reasons for resistance. PPS will leverage existing patient engagement data studies and prioritize populations based on scale, urgent need and potential impact, intensify intervention by patient navigation center; develop culturally-specific care navigation strategies, expertise and tools and deploy CHWs and embed CMs in PCMH sites to actively engage patients. PPS will target patient navigation strategies and train staff to discuss lifestyle changes to limit disease's impact and improve quality of life. PPS may incent patients to increase engagement and work in concert with Health Homes to support these patients. PPS will develop multilingual patient outreach and education materials around nutrition education, exercise, and other aspects of healthy living.
6. Data- Significant risk in State not transmitting timely, accurate, valid, & meaningful recent patient-specific data on our attributable population required to measure success of the initiative. Mitigation- None available.



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**IPQR Module 3.c.i.2 - Patient Engagement Speed**

**Instructions :**

Enter the number of patients actively engaged through the current quarter. The number entered into the "Quarterly Update" area needs to reflect the cumulative method of counting within a DSRIP year. For example, the number reported in this field for Q4 should include patients previously reported in Q3 plus new patients engaged in Q4. Any explanations regarding altered or missed patient commitments must be included within the narrative box, not as text within uploaded documentation.

Benchmarks	
Actively Engaged Speed	Actively Engaged Scale
DY3,Q4	3,045

	Year,Quarter	DY2,Q1	DY2,Q2	DY2,Q3	DY2,Q4
PPS Reported	Baseline Commitment	229	913	1,096	2,131
	Quarterly Update	2,232	0	0	0
	Percent(%) of Commitment	974.67%	0.00%	0.00%	0.00%
IA Approved	Quarterly Update	0	0	0	0
	Percent(%) of Commitment	0.00%	0.00%	0.00%	0.00%

**Current File Uploads**

User ID	File Type	File Name	File Description	Upload Date
dbudman	Documentation/Certification	32_DY2Q1_PROJ3ci_MDL3ci2_PES_DOC_3.c.i_-_DY2,_Q1_-_final_3805.pdf	Patient Engagement Project 3.c.i - Evidence-based strategies for disease management in high risk/affected populations (adults only)	07/18/2016 01:43 PM

**Narrative Text :**

For PPS to provide additional context regarding progress and/or updates to IA.



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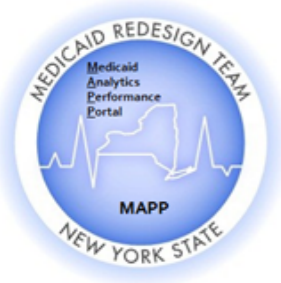
**☑ IPQR Module 3.c.i.3 - Prescribed Milestones**

**Instructions :**

Please provide updates to baseline reporting on status, target dates, and work breakdown tasks for prescribed milestones. For milestones that are due and completed within the reporting period, documentation is required to provide evidence of project requirement achievement. Any explanations regarding altered or missed provider commitments should be included within the narrative box, not as text within uploaded documentation.

Project Requirements (Milestone/Task Name)	Prescribed Due Date	Reporting Level	Provider Type	Status	Original Start Date	Original End Date	Start Date	End Date	Quarter End Date	DSRIP Reporting Year and Quarter
<b>Milestone #1</b> Implement evidence-based best practices for disease management, specific to diabetes, in community and ambulatory care settings.	DY3 Q4	Project	N/A	In Progress	05/01/2015	03/31/2018	05/01/2015	03/31/2018	03/31/2018	DY3 Q4
<b>Task</b> Evidence-based strategies for the management and control of diabetes in the PPS designated area are developed and implemented for all participating providers. Protocols for disease management are developed and training of staff is completed.		Project		In Progress	05/01/2015	03/31/2018	05/01/2015	03/31/2018	03/31/2018	DY3 Q4
<b>Task</b> Step 1. Develop diabetes clinical protocols and to be used by providers across the PPS		Project		Completed	05/01/2015	09/30/2015	05/01/2015	09/30/2015	09/30/2015	DY1 Q2
<b>Task</b> Step 2. Develop a flexible diabetes workflow model that can be adapted for use by providers across the PPS		Project		Completed	10/01/2015	11/30/2015	10/01/2015	11/30/2015	12/31/2015	DY1 Q3
<b>Task</b> Step 3. Develop training & communication strategy, including diabetes best practice materials to be rolled out across the PPS provider network		Project		In Progress	10/01/2015	09/30/2016	10/01/2015	09/30/2016	09/30/2016	DY2 Q2
<b>Task</b> Step 4. Roll out provider training and materials to primary care provider organizations in the network		Project		In Progress	01/01/2016	09/30/2016	01/01/2016	09/30/2016	09/30/2016	DY2 Q2
<b>Task</b> Step 5. Ensure ongoing access to PPS diabetes best practice protocols and other updated diabetes management resources via PPS provider portal		Project		In Progress	01/01/2017	03/31/2018	05/01/2016	03/31/2018	03/31/2018	DY3 Q4
<b>Task</b> Step 6. Evaluate ramp-up success of providers utilizing the protocols and determine if any revisions and/or additional training/communication interventions are needed		Project		Not Started	07/01/2017	03/31/2018	07/01/2017	03/31/2018	03/31/2018	DY3 Q4
<b>Milestone #2</b> Engage at least 80% of primary care providers within the PPS in the implementation of disease management evidence-based best practices.	DY2 Q4	Project	N/A	In Progress	10/01/2015	03/31/2017	10/01/2015	03/31/2017	03/31/2017	DY2 Q4

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<b>Project Requirements (Milestone/Task Name)</b>	<b>Prescribed Due Date</b>	<b>Reporting Level</b>	<b>Provider Type</b>	<b>Status</b>	<b>Original Start Date</b>	<b>Original End Date</b>	<b>Start Date</b>	<b>End Date</b>	<b>Quarter End Date</b>	<b>DSRIP Reporting Year and Quarter</b>
<b>Task</b> PPS has engaged at least 80% of their PCPs in this activity.		Provider	Practitioner - Primary Care Provider (PCP)	In Progress	10/01/2015	03/31/2017	10/01/2015	03/31/2017	03/31/2017	DY2 Q4
<b>Task</b> Step 1. Review the PPS's diabetes disease management strategy and best practices with PCMH administrative & medical directors		Project		Completed	10/01/2015	11/30/2015	10/01/2015	11/30/2015	12/31/2015	DY1 Q3
<b>Task</b> Step 2. Develop training & communication strategy, including materials to be rolled out across the PPS provider network		Project		In Progress	10/01/2015	09/30/2016	10/01/2015	09/30/2016	09/30/2016	DY2 Q2
<b>Task</b> Step 3. Roll out provider training and materials to primary care provider organizations in the network, tracking participation of PCPs in the training initiatives		Project		In Progress	01/01/2016	09/30/2016	01/01/2016	09/30/2016	09/30/2016	DY2 Q2
<b>Task</b> Step 4. Confirm PCP use of the diabetes best practices via the PPS administrative & medical directors		Project		Not Started	04/01/2016	09/01/2016	07/01/2016	09/01/2016	09/30/2016	DY2 Q2
<b>Task</b> Step 5. Develop and implement strategy to engage PPS providers resistant to utilizing the PPS's diabetes disease management best practices		Project		Not Started	09/01/2016	03/31/2017	09/01/2016	03/31/2017	03/31/2017	DY2 Q4
<b>Milestone #3</b> Develop care coordination teams (including diabetes educators, nursing staff, behavioral health providers, pharmacy, community health workers, and Health Home care managers) to improve health literacy, patient self-efficacy, and patient self-management.	DY2 Q4	Project	N/A	In Progress	06/01/2015	03/31/2017	06/01/2015	03/31/2017	03/31/2017	DY2 Q4
<b>Task</b> Clinically Interoperable System is in place for all participating providers.		Project		In Progress	10/01/2015	03/31/2017	10/01/2015	03/31/2017	03/31/2017	DY2 Q4
<b>Task</b> Care coordination teams are in place and include nursing staff, pharmacists, dieticians, community health workers, and Health Home care managers where applicable.		Project		In Progress	10/01/2015	03/31/2017	10/01/2015	03/31/2017	03/31/2017	DY2 Q4
<b>Task</b> Care coordination processes are established and implemented.		Project		In Progress	10/01/2015	03/31/2017	10/01/2015	03/31/2017	03/31/2017	DY2 Q4
<b>Task</b> Step 1. Evaluate current diabetes care team models in place across PPS primary care settings, identifying care model strengths and gaps		Project		Completed	06/01/2015	09/30/2015	06/01/2015	09/30/2015	09/30/2015	DY1 Q2
<b>Task</b> Step 2. Establish definitions for: a) "high risk" diabetes patients to		Project		Completed	07/01/2015	09/30/2015	07/01/2015	09/30/2015	09/30/2015	DY1 Q2



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be referred to the Health Home for case management; b) "At Risk" diabetes patients who will require CHW support to supplement diabetes educator services; and c) "Not at risk" diabetes patients who will require a standard level of diabetes education and outreach from the PPS.										
<b>Task</b> Step 3. Define diabetes care coordination services, CHW staff roles and virtual diabetes education program to be provided to PPS primary care partners.		Project		Completed	10/01/2015	12/31/2015	10/01/2015	12/31/2015	12/31/2015	DY1 Q3
<b>Task</b> Step 4. Recruit/train new staffing roles. Determine deployment of diabetes education program services (both rotating in-person and virtual resources).		Project		Not Started	04/01/2016	09/30/2016	07/01/2016	09/30/2016	09/30/2016	DY2 Q2
<b>Task</b> Step 5. Pilot & evaluate the new diabetes care coordination resources and virtual diabetes education program across selected PPS primary care sites.		Project		Not Started	04/01/2016	09/30/2016	10/01/2016	12/31/2016	12/31/2016	DY2 Q3
<b>Task</b> Step 6. Expand access to diabetes care coordination resources across remaining PPS primary care provider organizations.		Project		Not Started	10/01/2016	03/31/2017	10/01/2016	03/31/2017	03/31/2017	DY2 Q4
<b>Task</b> Step 7. Implement regular care coordination model evaluation and review process to assess & strengthen delivery of diabetes care coordination teams and resources		Project		Not Started	10/01/2016	03/31/2017	10/01/2016	03/31/2017	03/31/2017	DY2 Q4
<b>Milestone #4</b> Develop "hot spotting" strategies, in concert with Health Homes, to implement programs such as the Stanford Model for chronic diseases in high risk neighborhoods.	DY2 Q4	Project	N/A	In Progress	10/01/2015	03/31/2017	10/01/2015	03/31/2017	03/31/2017	DY2 Q4
<b>Task</b> If applicable, PPS has Implemented collection of valid and reliable REAL (Race, Ethnicity, and Language) data and uses the data to target high risk populations, develop improvement plans, and address top health disparities.		Project		In Progress	10/01/2015	03/31/2017	10/01/2015	03/31/2017	03/31/2017	DY2 Q4
<b>Task</b> If applicable, PPS has established linkages to health homes for targeted patient populations.		Project		In Progress	10/01/2015	03/31/2017	10/01/2015	03/31/2017	03/31/2017	DY2 Q4
<b>Task</b> If applicable, PPS has implemented Stanford Model through		Project		In Progress	10/01/2015	03/31/2017	10/01/2015	03/31/2017	03/31/2017	DY2 Q4



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Project Requirements (Milestone/Task Name)	Prescribed Due Date	Reporting Level	Provider Type	Status	Original Start Date	Original End Date	Start Date	End Date	Quarter End Date	DSRIP Reporting Year and Quarter
partnerships with community-based organizations.										
<b>Task</b> Step 1. Complete current state assessment of diabetes patient registries active across the PPS primary care provider network and Brooklyn Health Home, focusing on the registries' abilities to collect valid and reliable REAL data (Race, Ethnicity and Language) to conduct hot-spotting analyses.		Project		In Progress	10/01/2015	06/30/2016	10/01/2015	03/31/2017	03/31/2017	DY2 Q4
<b>Task</b> Step 2. Implement strategies to address any gaps in the collection of REAL data from patient registries. Strategies may include the use of retrospective SIMS data once patient-level SIMS data is made available by the State in December 2015.		Project		In Progress	01/01/2016	06/30/2016	01/01/2016	03/31/2017	03/31/2017	DY2 Q4
<b>Task</b> Step 3. Run PPS "hot-spotting" analyses to identify diabetes populations at the highest risk, evaluating the specific language & cultural requirements of those highest risk communities.		Project		In Progress	04/01/2016	09/30/2016	04/01/2016	09/30/2016	09/30/2016	DY2 Q2
<b>Task</b> Step 4. Launch collaborative planning among PPS diabetes project leaders & the Brooklyn Health Home leaders to develop patient diabetes education (e.g. Stanford model), & outreach models, tailored to the specific community requirements, as identified in the hot-spotting analyses.		Project		Not Started	04/01/2016	06/30/2016	07/01/2016	09/30/2016	09/30/2016	DY2 Q2
<b>Task</b> Step 5. Provide Stanford model training for diabetes PCP, Health Home, PPS diabetes care coordination team members & CBO's, with approaches/content tailored to the local, high risk communities served		Project		Not Started	07/01/2016	09/30/2016	07/01/2016	09/30/2016	09/30/2016	DY2 Q2
<b>Task</b> Step 6. Pilot and evaluate diabetes Stanford model programs with diabetes populations at highest risk.		Project		Not Started	10/01/2016	03/31/2017	10/01/2016	03/31/2017	03/31/2017	DY2 Q4
<b>Task</b> Step 7. Develop roadmap to run future hot-spotting analyses and expand Stanford Model strategies across targeted communities in the PPS		Project		Not Started	01/01/2017	03/31/2017	01/01/2017	03/31/2017	03/31/2017	DY2 Q4
<b>Milestone #5</b> Ensure coordination with the Medicaid Managed Care organizations serving the target population.	DY3 Q4	Project	N/A	In Progress	10/01/2015	03/31/2018	10/01/2015	03/31/2018	03/31/2018	DY3 Q4
<b>Task</b> PPS has agreement in place with MCO related to coordination of services for high risk populations, including smoking cessation services, hypertension screening, cholesterol screening, and		Project		In Progress	04/01/2016	03/31/2018	04/01/2016	03/31/2018	03/31/2018	DY3 Q4



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other preventive services relevant to this project.										
<b>Task</b> Step 1. Understand current MCO contracts in place and identify opportunities to engage PPS diabetes patients in value-based contracting arrangements across providers and target populations.		Project		In Progress	04/01/2016	09/30/2016	04/01/2016	09/30/2016	09/30/2016	DY2 Q2
<b>Task</b> Step 2. Negotiate VBP contracts with at least one MCO (note - this will be part of the PPS's broader MCO strategy). Selected MCOs must be willing to support diabetes clinical project interventions, such as timely access to claims data for reporting and implementation of clinical care and coordination protocols.		Project		In Progress	09/01/2016	06/30/2017	04/01/2016	06/30/2017	06/30/2017	DY3 Q1
<b>Task</b> Step 3. Implement value-based payment opportunities for diabetes populations and with new payers, as appropriate.		Project		Not Started	07/01/2017	03/31/2018	07/01/2017	03/31/2018	03/31/2018	DY3 Q4
<b>Milestone #6</b> Use EHRs or other technical platforms to track all patients engaged in this project.	DY2 Q4	Project	N/A	In Progress	09/01/2015	03/31/2017	09/01/2015	03/31/2017	03/31/2017	DY2 Q4
<b>Task</b> PPS identifies targeted patients and is able to track actively engaged patients for project milestone reporting.		Project		In Progress	09/01/2015	03/31/2017	09/01/2015	03/31/2017	03/31/2017	DY2 Q4
<b>Task</b> PPS uses a recall system that allows staff to report which patients are overdue for which preventive services and to track when and how patients were notified of needed services.		Project		Not Started	04/01/2016	03/31/2017	07/01/2016	03/31/2017	03/31/2017	DY2 Q4
<b>Task</b> Step 1. Complete current state assessment of EHRs and diabetes patient registries in use across the PPS primary care provider network.		Project		In Progress	10/01/2015	06/30/2016	10/01/2015	09/30/2016	09/30/2016	DY2 Q2
<b>Task</b> Step 2. Identify gaps highlighting where a) current registries do not exist to meet PPS's clinical project requirements and target PPS populations, and, b) PPS primary care partners cannot easily upload appropriate EHR patient data to a patient registry.		Project		In Progress	12/31/2015	06/30/2016	12/31/2015	09/30/2016	09/30/2016	DY2 Q2
<b>Task</b> Step 3. Develop roadmap to achieving expansion and/or establishment of patient registries and EHR capabilities to track engaged diabetes populations across PPS primary care partners		Project		Not Started	06/30/2016	09/30/2016	07/01/2016	09/30/2016	09/30/2016	DY2 Q2
<b>Task</b> Step 4. Identify resources, timing and expertise required to implement the patient registry plan for PPS primary care		Project		Not Started	06/30/2016	09/30/2016	07/01/2016	09/30/2016	09/30/2016	DY2 Q2



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partners.										
<b>Task</b> Step 5. Implement plan across PPS primary care partners		Project		Not Started	06/01/2016	03/31/2017	07/01/2016	03/31/2017	03/31/2017	DY2 Q4
<b>Milestone #7</b> Meet Meaningful Use and PCMH Level 3 standards and/or APCM by the end of Demonstration Year 3 for EHR systems used by participating safety net providers.	DY3 Q4	Project	N/A	In Progress	10/01/2015	03/31/2018	10/01/2015	03/31/2018	03/31/2018	DY3 Q4
<b>Task</b> EHR meets Meaningful Use Stage 2 CMS requirements (Note: any/all MU requirements adjusted by CMS will be incorporated into the assessment criteria).		Project		In Progress	10/01/2015	03/31/2018	10/01/2015	03/31/2018	03/31/2018	DY3 Q4
<b>Task</b> PPS has achieved NCQA 2014 Level 3 PCMH standards and/or APCM.		Provider	Practitioner - Primary Care Provider (PCP)	In Progress	10/01/2015	03/31/2018	10/01/2015	03/31/2018	03/31/2018	DY3 Q4
<b>Task</b> EHR meets connectivity to RHIO/SHIN-NY requirements.		Provider	Safety Net Practitioner - Primary Care Provider (PCP)	In Progress	10/01/2015	03/31/2018	10/01/2015	03/31/2018	03/31/2018	DY3 Q4
<b>Task</b> EHR meets connectivity to RHIO/SHIN-NY requirements.		Provider	Safety Net Practitioner - Non-Primary Care Provider (PCP)	In Progress	10/01/2015	03/31/2018	10/01/2015	03/31/2018	03/31/2018	DY3 Q4
<b>Task</b> EHR meets connectivity to RHIO/SHIN-NY requirements.		Provider	Safety Net Mental Health	In Progress	10/01/2015	03/31/2018	10/01/2015	03/31/2018	03/31/2018	DY3 Q4
<b>Task</b> Step 1. Complete current state assessment of EHR systems' MU certification, APCM and 2014 Level 3 PCMH standards across primary PPS safety net providers.		Project		Completed	10/01/2015	01/31/2016	10/01/2015	01/31/2016	03/31/2016	DY1 Q4
<b>Task</b> Step 2. Identify gaps highlighting where PPS safety net members' fail to meet EHR MU, APCM and 2014 PCMH Level 3 certification requirements.		Project		Completed	10/01/2015	03/01/2016	10/01/2015	03/01/2016	03/31/2016	DY1 Q4
<b>Task</b> Step 3. Develop roadmap to achieving EHR MU & 2014 PCMH Level 3 certification requirements across PPS safety net provider partners.		Project		In Progress	04/01/2016	09/30/2016	04/01/2016	09/30/2016	09/30/2016	DY2 Q2
<b>Task</b> Step 4. Work with Partners to identify resources and expertise required to implement EHR MU & 2014 PCMH Level 3 certification plan for PPS safety net provider partners.		Project		In Progress	04/01/2016	09/30/2016	04/01/2016	09/30/2016	09/30/2016	DY2 Q2
<b>Task</b> Step 5. Implement plan and support partners in completing EHR MU and 2014 PCMH Level 3 certification requirements.		Project		Not Started	05/01/2016	12/31/2017	07/01/2016	12/31/2017	12/31/2017	DY3 Q3





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Task Step 6. Confirm that all PPS safety net partners have met MU, PCMH Level 3 and/or APCM standards (by the end of DY3), and EHR connectivity requirements to RHIO SHIN-NY.		Project		Not Started	05/01/2016	03/31/2018	07/01/2016	03/31/2018	03/31/2018	DY3 Q4

**Prescribed Milestones Current File Uploads**

Milestone Name	User ID	File Type	File Name	Description	Upload Date
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No Records Found

**Prescribed Milestones Narrative Text**

Milestone Name	Narrative Text
Implement evidence-based best practices for disease management, specific to diabetes, in community and ambulatory care settings.	
Engage at least 80% of primary care providers within the PPS in the implementation of disease management evidence-based best practices.	
Develop care coordination teams (including diabetes educators, nursing staff, behavioral health providers, pharmacy, community health workers, and Health Home care managers) to improve health literacy, patient self-efficacy, and patient self-management.	
Develop "hot spotting" strategies, in concert with Health Homes, to implement programs such as the Stanford Model for chronic diseases in high risk neighborhoods.	
Ensure coordination with the Medicaid Managed Care organizations serving the target population.	
Use EHRs or other technical platforms to track all patients engaged in this project.	
Meet Meaningful Use and PCMH Level 3 standards and/or APCM by the end of Demonstration Year 3 for EHR systems used by participating safety net providers.	



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**IPQR Module 3.c.i.4 - PPS Defined Milestones**

**Instructions :**

Please provide updates to baseline reporting on status, target dates, and work breakdown tasks for PPS-defined milestones.

Milestone/Task Name	Status	Description	Original Start Date	Original End Date	Start Date	End Date	Quarter End Date	DSRIP Reporting Year and Quarter
Milestone Mid-Point Assessment	Completed	Mid-Point Assessment Project Narrative - 3.c.i - Evidence-based strategies for disease management in high risk/affected populations (adults only)			04/01/2016	06/30/2016	06/30/2016	DY2 Q1

**PPS Defined Milestones Current File Uploads**

Milestone Name	User ID	File Type	File Name	Description	Upload Date
Mid-Point Assessment	dbudman	Templates	32_DY2Q1_PROJ3ci_MDL3ci4_PPS1636_TEMPL_Mid-Point_Assessment_Project_Narrative_-_3.c.i_diabetes_5560.pdf	3.c.i Mid-Point Assessment Project Narrative	08/04/2016 09:03 PM

**PPS Defined Milestones Narrative Text**

Milestone Name	Narrative Text
Mid-Point Assessment	



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**IPQR Module 3.c.i.5 - IA Monitoring**

**Instructions :**



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**Project 3.d.ii – Expansion of asthma home-based self-management program**

**✓ IPQR Module 3.d.ii.1 - Major Risks to Implementation and Mitigation Strategies**

**Instructions :**

Please describe what the major risks are for this project, as well as the actions you plan to take to mitigate them.

1. IT Adoption- PPS may be unable to effectively use EHRs & other technical platforms to track patients engaged in this project for proactive care management and milestone reporting. Mitigation: PPS will conduct current state assessment and develop a plan to support partner implementation of EHRs /HIE connectivity; provide technical assistance to partners.
2. IT Connectivity- PPS partner support required to meet EHR, data sharing and HIE connectivity requirements may be greater than the PPS's resources. Mitigation: PPS will conduct current state assessment to develop a work plan and determine the best approach, resources required and timing to support partner's implementation of EHRs/HIE connectivity; leverage NYU's HIE platform and help establish connectivity to HIE and the RHIO/SHIN-NY.
3. Provider Engagement- Providers resist adoption of standardized asthma home-based interventions project protocols. Mitigation: PPS will identify clinical project leader(s) to serve as project champions and liaise with partner champions to build support across PPS network; establish buy-in by engaging them to design and agree upon standardized evidence-based best practice protocols; configure EMR /HIE functionality to ensure system is user-friendly and provides information critical to meet goals. PPS will provide education on the protocols and consider incentive programs to support consistent protocol engagement.
4. MCO Contracting- PPS may struggle to negotiate agreements with MCOs related to coordination of services for high risk populations. Mitigation: PPS will leverage NYULMC's Population Health Management expertise to structure and support implementation of care coordination strategies, reimbursement and incentive payment models. PPS leadership will work with MCOs to develop VBP models to identify data needs and address issues impacting successful implementation. PPS will work with partners where appropriate to identify and address readiness concerns; align incentive requirements related to assuming financial risk and assist partners in developing the structure and capacity to enter into risk-based contracts.
5. Patient Engagement - A portion of PPS's lives may be hard to engage and may resist disease management interventions or unable to combat environmental triggers at home. Mitigation: PPS will track patient compliance, identifying populations and analyze data to determine demographic profile, size, location, clinical needs, reasons for resistance; leverage existing patient engagement studies and prioritize populations based on scale, urgent need and potential impact; intensify intervention by PNC; develop culturally-specific care navigation strategies, expertise and tools; deploy CHWs and embed CMs in PCMH sites to discuss cultural barriers to interventions; work with airNYC to identify best practices for patient engagement and removal of environmental triggers. PPS will collaborate with DOHMH and other PPSs to leverage available city resources.
6. Workforce- PPS encounters challenges recruiting adequate numbers of CHWs to support home-based visiting program. Mitigation: PPS will identify project staffing needs and prioritize voluntary redeployment opportunities to fill vacant positions and promote retraining opportunities. PPS will coordinate efforts with workforce vendor on recruitment and training on best practices/protocols. Recruit diverse CHWs to meet needs of patients.
7. Data- Significant risk in State not transmitting timely, accurate, valid, & meaningful recent patient-specific data on our attributable population required to measure success of the initiative. Mitigation- None available.



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**IPQR Module 3.d.ii.2 - Patient Engagement Speed**

**Instructions :**

Enter the number of patients actively engaged through the current quarter. The number entered into the "Quarterly Update" area needs to reflect the cumulative method of counting within a DSRIP year. For example, the number reported in this field for Q4 should include patients previously reported in Q3 plus new patients engaged in Q4. Any explanations regarding altered or missed patient commitments must be included within the narrative box, not as text within uploaded documentation.

Benchmarks	
Actively Engaged Speed	Actively Engaged Scale
DY4,Q4	1,403

	Year,Quarter	DY2,Q1	DY2,Q2	DY2,Q3	DY2,Q4
PPS Reported	Baseline Commitment	53	211	253	491
	Quarterly Update	0	0	0	0
	Percent(%) of Commitment	0.00%	0.00%	0.00%	0.00%
IA Approved	Quarterly Update	0	0	0	0
	Percent(%) of Commitment	0.00%	0.00%	0.00%	0.00%

Warning: PPS Reported - Please note that your patients engaged to date (0) does not meet your committed amount (53) for 'DY2,Q1'

**Current File Uploads**

User ID	File Type	File Name	File Description	Upload Date
dbudman	Documentation/Certification	32_DY2Q1_PROJ3dii_MDL3dii2_PES_DOC_3.d.ii_-_DY2,_Q1_-_final_3806.pdf	Patient Engagement Project 3.d.ii - Expansion of asthma home-based self-management program	07/18/2016 01:48 PM

**Narrative Text :**

For PPS to provide additional context regarding progress and/or updates to IA.



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**✓ IPQR Module 3.d.ii.3 - Prescribed Milestones**

**Instructions :**

Please provide updates to baseline reporting on status, target dates, and work breakdown tasks for prescribed milestones. For milestones that are due and completed within the reporting period, documentation is required to provide evidence of project requirement achievement. Any explanations regarding altered or missed provider commitments should be included within the narrative box, not as text within uploaded documentation.

<b>Project Requirements (Milestone/Task Name)</b>	<b>Prescribed Due Date</b>	<b>Reporting Level</b>	<b>Provider Type</b>	<b>Status</b>	<b>Original Start Date</b>	<b>Original End Date</b>	<b>Start Date</b>	<b>End Date</b>	<b>Quarter End Date</b>	<b>DSRIP Reporting Year and Quarter</b>
<b>Milestone #1</b> Expand asthma home-based self-management program to include home environmental trigger reduction, self-monitoring, medication use, and medical follow-up.	DY3 Q4	Project	N/A	In Progress	05/01/2015	03/31/2018	05/01/2015	03/31/2018	03/31/2018	DY3 Q4
<b>Task</b> PPS has developed a strategy for the collaboration of community medical and social services providers to assess a patient's home and provide self-management education for the appropriate control of asthma.		Project		In Progress	05/01/2015	03/31/2018	05/01/2015	03/31/2018	03/31/2018	DY3 Q4
<b>Task</b> Step 1. Understand current asthma clinical and home-based self-management programs in use across PPS primary care providers.		Project		Completed	05/01/2015	09/30/2015	05/01/2015	09/30/2015	09/30/2015	DY1 Q2
<b>Task</b> Step 2. Review and adopt best practice asthma self-management guidelines, including referral criteria to home environmental assessment, home environment trigger reduction, medication and self-management protocols.		Project		Completed	05/01/2015	09/30/2015	05/01/2015	09/30/2015	09/30/2015	DY1 Q2
<b>Task</b> Step 3. Contract with vendor to provide asthma home-based self-management program.		Project		In Progress	10/01/2015	09/30/2016	10/01/2015	09/30/2016	09/30/2016	DY2 Q2
<b>Task</b> Step 4. Work with vendor to develop referral flows from PPS PCPs, relevant specialists and ERs.		Project		Not Started	04/01/2016	09/30/2016	10/01/2016	12/31/2016	12/31/2016	DY2 Q3
<b>Task</b> Step 5. Roll out provider training and materials to NYU Lutheran emergency department staff and primary care provider organizations in the network.		Project		Not Started	10/01/2016	12/31/2016	10/01/2016	12/31/2016	12/31/2016	DY2 Q3
<b>Task</b> Step 6. Ensure ongoing access to PPS asthma home-based self-management protocols via the PPS website and patient portal.		Project		Not Started	01/01/2017	03/31/2018	01/01/2017	03/31/2018	03/31/2018	DY3 Q4
<b>Task</b> Step 7. Evaluate ramp-up success of patients utilizing the home-based self-management program and determine if any revisions,		Project		Not Started	07/01/2017	03/31/2018	07/01/2017	03/31/2018	03/31/2018	DY3 Q4



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Project Requirements (Milestone/Task Name)	Prescribed Due Date	Reporting Level	Provider Type	Status	Original Start Date	Original End Date	Start Date	End Date	Quarter End Date	DSRIP Reporting Year and Quarter
additional training, or communication interventions are needed.										
<b>Milestone #2</b> Establish procedures to provide, coordinate, or link the client to resources for evidence-based trigger reduction interventions. Specifically, change the patient's indoor environment to reduce exposure to asthma triggers such as pests, mold, and second hand smoke.	DY2 Q4	Project	N/A	In Progress	08/01/2015	03/31/2017	08/01/2015	03/31/2017	03/31/2017	DY2 Q4
<b>Task</b> PPS has developed intervention protocols and identified resources in the community to assist patients with needed evidence-based trigger reduction interventions.		Project		In Progress	10/01/2015	03/31/2017	10/01/2015	03/31/2017	03/31/2017	DY2 Q4
<b>Task</b> Step 1. Collaborate with vendor to understand their program offerings related to indoor home environment assessments and criteria for referring patients to appropriate resources.		Project		Completed	08/01/2015	09/30/2015	08/01/2015	09/30/2015	09/30/2015	DY1 Q2
<b>Task</b> Step 2. Adopt vendor procedures to link asthma patients with poor indoor environment triggers to appropriate resources.		Project		Not Started	10/01/2016	12/31/2016	10/01/2016	12/31/2016	12/31/2016	DY2 Q3
<b>Task</b> Step 3. Engage vendor to conduct a pilot program employing PPS procedures adopted in Step 2 across several PPS PCP sites and NYU Lutheran emergency department.		Project		Not Started	10/01/2016	12/31/2016	10/01/2016	12/31/2016	12/31/2016	DY2 Q3
<b>Task</b> Step 4. Engage vendor and start referring PPS patients for home-based trigger reduction interventions.		Project		Not Started	10/01/2016	12/31/2016	10/01/2016	12/31/2016	12/31/2016	DY2 Q3
<b>Task</b> Step 5. Evaluate data to determine success of vendor program. If successful, expand contract with vendor to scale for the whole PPS network. If not successful, reassess options with PPS's Care Management services.		Project		Not Started	10/01/2016	03/31/2017	10/01/2016	03/31/2017	03/31/2017	DY2 Q4
<b>Milestone #3</b> Develop and implement evidence-based asthma management guidelines.	DY2 Q4	Project	N/A	In Progress	06/01/2015	03/31/2017	06/01/2015	03/31/2017	03/31/2017	DY2 Q4
<b>Task</b> PPS incorporates evidence-based guidelines that are periodically evaluated and revised, if necessary, in the design and implementation of asthma management.		Project		In Progress	06/01/2015	03/31/2017	06/01/2015	03/31/2017	03/31/2017	DY2 Q4
<b>Task</b> Step 1. Adopt comprehensive evidence-based asthma management clinical guidelines to be used across PPS.		Project		Completed	07/01/2015	09/30/2015	07/01/2015	09/30/2015	09/30/2015	DY1 Q2
<b>Task</b>		Project		In Progress	10/01/2015	06/30/2016	10/01/2015	09/30/2016	09/30/2016	DY2 Q2



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Step 2. Develop training & communication strategy, including materials to be rolled out across the PPS provider network.										
<b>Task</b> Step 3. Roll out provider training and materials to primary care provider organizations in the network.		Project		In Progress	07/01/2016	09/30/2016	06/01/2016	09/30/2016	09/30/2016	DY2 Q2
<b>Task</b> Step 4. Ensure ongoing access to PPS asthma best practice guidelines and other updated asthma management resources via PPS provider portal.		Project		In Progress	01/01/2017	03/31/2017	05/01/2016	03/31/2017	03/31/2017	DY2 Q4
<b>Task</b> Step 5. Evaluate ramp-up success of providers utilizing the guidelines and determine if any revisions and/or additional training/communication interventions are needed.		Project		Not Started	07/01/2016	03/31/2017	07/01/2016	03/31/2017	03/31/2017	DY2 Q4
<b>Milestone #4</b> Implement training and asthma self-management education services, including basic facts about asthma, proper medication use, identification and avoidance of environmental exposures that worsen asthma, self-monitoring of asthma symptoms and asthma control, and using written asthma action plans.	DY2 Q4	Project	N/A	In Progress	10/01/2015	03/31/2017	10/01/2015	03/31/2017	03/31/2017	DY2 Q4
<b>Task</b> PPS has developed training and comprehensive asthma self-management education, to include basic facts about asthma, proper medication use, identification and avoidance of environmental exposures that worsen asthma, self-monitoring of asthma symptoms and asthma control, and using written asthma action plans.		Project		In Progress	10/01/2015	03/31/2017	10/01/2015	03/31/2017	03/31/2017	DY2 Q4
<b>Task</b> Step 1. Review and align PPS asthma self-management education materials used by PCPs in network and by selected home-based asthma care vendor. Select materials. Translate materials to meet specific cultural needs across communities served.		Project		In Progress	10/01/2015	06/30/2016	10/01/2015	12/31/2016	12/31/2016	DY2 Q3
<b>Task</b> Step 2. Develop training and communication strategy to be rolled out across the PPS provider network.		Project		In Progress	10/01/2015	06/30/2016	10/01/2015	12/31/2016	12/31/2016	DY2 Q3
<b>Task</b> Step 3. Roll out provider training and materials to primary care provider organizations in the network.		Project		Not Started	07/01/2016	09/30/2016	07/01/2016	09/30/2016	09/30/2016	DY2 Q2
<b>Task</b> Step 4. Ensure ongoing access to PPS provider training and asthma self-education services via the PPS website/patient and		Project		Not Started	01/01/2017	03/31/2017	01/01/2017	03/31/2017	03/31/2017	DY2 Q4





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provider portals.										
<b>Task</b> Step 5. Evaluate ramp-up success of providers utilizing the guidelines and determine if any revisions and/or additional training/communication interventions are needed.		Project		Not Started	01/01/2017	03/31/2017	01/01/2017	03/31/2017	03/31/2017	DY2 Q4
<b>Milestone #5</b> Ensure coordinated care for asthma patients includes social services and support.	DY3 Q4	Project	N/A	In Progress	10/01/2015	03/31/2018	10/01/2015	03/31/2018	03/31/2018	DY3 Q4
<b>Task</b> PPS has developed and conducted training of all providers, including social services and support.		Project		Not Started	07/01/2016	03/31/2018	07/01/2016	03/31/2018	03/31/2018	DY3 Q4
<b>Task</b> All practices in PPS have a Clinical Interoperability System in place for all participating providers.		Project		In Progress	10/01/2015	03/31/2018	10/01/2015	03/31/2018	03/31/2018	DY3 Q4
<b>Task</b> PPS has assembled a care coordination team that includes use of nursing staff, pharmacists, dieticians and community health workers to address lifestyle changes, medication adherence, health literacy issues, and patient self-efficacy and confidence in self-management.		Project		Not Started	04/01/2016	03/31/2018	07/01/2016	03/31/2018	03/31/2018	DY3 Q4
<b>Task</b> Step 1. Coordinate social services and supports (i.e. access to legal services, pest control, etc.) in collaboration with vendor for patients referred to home visits.		Project		Not Started	10/01/2016	12/31/2016	10/01/2016	12/31/2016	12/31/2016	DY2 Q3
<b>Task</b> Step 2. Determine how the BB PPS Patient Navigation Center (PNC) & Care Management strategy - in concert with vendor's role as PPS asthma home environmental provider - can provide social service supports.		Project		Not Started	11/01/2016	12/31/2016	11/01/2016	12/31/2016	12/31/2016	DY2 Q3
<b>Task</b> Step 3. Implement coordinated care model for asthma patients and continue monitoring and reviewing practices to ensure continued success.		Project		Not Started	10/01/2016	03/31/2018	10/01/2016	03/31/2018	03/31/2018	DY3 Q4
<b>Milestone #6</b> Implement periodic follow-up services, particularly after ED or hospital visit occurs, to provide patients with root cause analysis of what happened and how to avoid future events.	DY2 Q4	Project	N/A	In Progress	10/01/2015	03/31/2017	10/01/2015	03/31/2017	03/31/2017	DY2 Q4
<b>Task</b> Follow-up services implemented after ED or hospital visit occurs. Root cause analysis is conducted and shared with patient's family.		Project		In Progress	10/01/2015	03/31/2017	10/01/2015	03/31/2017	03/31/2017	DY2 Q4



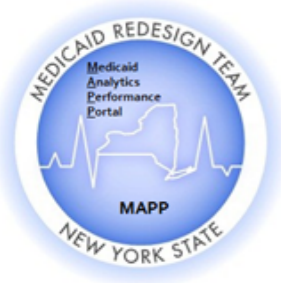
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<b>Project Requirements (Milestone/Task Name)</b>	<b>Prescribed Due Date</b>	<b>Reporting Level</b>	<b>Provider Type</b>	<b>Status</b>	<b>Original Start Date</b>	<b>Original End Date</b>	<b>Start Date</b>	<b>End Date</b>	<b>Quarter End Date</b>	<b>DSRIP Reporting Year and Quarter</b>
<b>Task</b> Step 1. Determine current post-ED/hospital follow-up services provided to patients admitted due to asthma.		Project		Completed	10/01/2015	03/31/2016	10/01/2015	03/31/2016	03/31/2016	DY1 Q4
<b>Task</b> Step 2. Engage the ED Care Triage program to understand how best to integrate and implement the post-ED/hospital protocols for asthmatics into the ED Care Triage project's ED discharge program.		Project		Completed	11/01/2015	12/31/2015	11/01/2015	12/31/2015	12/31/2015	DY1 Q3
<b>Task</b> Step 3 Develop protocols for post-ED/hospital follow-up services for patients admitted due to asthma in coordination with ED care triage group.		Project		In Progress	11/01/2015	06/30/2016	11/01/2015	12/31/2016	12/31/2016	DY2 Q3
<b>Task</b> Step 4. Engage vendor to conduct follow-up services with asthmatic patients who are admitted to the emergency department.		Project		Not Started	10/01/2016	03/31/2017	10/01/2016	03/31/2017	03/31/2017	DY2 Q4
<b>Milestone #7</b> Ensure communication, coordination, and continuity of care with Medicaid Managed Care plans, Health Home care managers, primary care providers, and specialty providers.	DY3 Q4	Project	N/A	In Progress	10/01/2015	03/31/2018	10/01/2015	03/31/2018	03/31/2018	DY3 Q4
<b>Task</b> PPS has established agreements with MCOs that address the coverage of patients with asthma health issues. PPS has established agreements with health home care managers, PCPs, and specialty providers.		Project		In Progress	10/01/2015	03/31/2018	10/01/2015	03/31/2018	03/31/2018	DY3 Q4
<b>Task</b> Step 1. Determine current communication and asthma care coordination models in place across PPS providers, Medicaid Managed Care plans, and Health Home managers.		Project		In Progress	10/01/2015	06/30/2016	10/01/2015	09/30/2016	09/30/2016	DY2 Q2
<b>Task</b> Step 2. Identify gaps in current communication and care coordination models.		Project		In Progress	10/01/2015	06/30/2016	10/01/2015	09/30/2016	09/30/2016	DY2 Q2
<b>Task</b> Step 3. Engage the BB PPS Patient Navigation Center (PNC) & Care Management Strategy to enable and ensure communication, coordination, and continuity of asthma care with Medicaid Managed Care plans, Health Home care managers, primary care providers, and specialty providers.		Project		Not Started	01/01/2017	03/31/2018	01/01/2017	03/31/2018	03/31/2018	DY3 Q4
<b>Milestone #8</b> Use EHRs or other technical platforms to track all patients engaged in this project.	DY2 Q4	Project	N/A	In Progress	10/01/2015	03/31/2017	10/01/2015	03/31/2017	03/31/2017	DY2 Q4

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Project Requirements (Milestone/Task Name)	Prescribed Due Date	Reporting Level	Provider Type	Status	Original Start Date	Original End Date	Start Date	End Date	Quarter End Date	DSRIP Reporting Year and Quarter
<b>Task</b> PPS identifies targeted patients and is able to track actively engaged patients for project milestone reporting.		Project		Not Started	04/01/2016	03/31/2017	07/01/2016	03/31/2017	03/31/2017	DY2 Q4
<b>Task</b> Step 1. Complete current state assessment of EHRs and asthma patient registries in use across the PPS primary care provider network.		Project		Completed	10/01/2015	06/30/2016	10/01/2015	06/30/2016	06/30/2016	DY2 Q1
<b>Task</b> Step 2. Identify gaps highlighting where a) current registries do not exist to meet PPS's clinical project requirements and target PPS populations, and, b) PPS primary care partners cannot easily upload appropriate EHR patient data to a patient registry.		Project		Completed	12/31/2015	06/30/2016	12/31/2015	06/30/2016	06/30/2016	DY2 Q1
<b>Task</b> Step 3. Develop roadmap to achieving expansion and/or establishment of patient registries and EHR capabilities to track engaged asthma populations across PPS primary care partners		Project		Not Started	06/30/2016	09/30/2016	07/01/2016	09/30/2016	09/30/2016	DY2 Q2
<b>Task</b> Step 4. Identify resources, timing and expertise required to implement the patient registry plan for PPS primary care partners.		Project		Not Started	06/30/2016	09/30/2016	07/01/2016	09/30/2016	09/30/2016	DY2 Q2
<b>Task</b> Step 5. Implement plan across PPS primary care partners		Project		Not Started	06/01/2016	03/31/2017	07/01/2016	03/31/2017	03/31/2017	DY2 Q4

**Prescribed Milestones Current File Uploads**

Milestone Name	User ID	File Type	File Name	Description	Upload Date
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No Records Found

**Prescribed Milestones Narrative Text**

Milestone Name	Narrative Text
Expand asthma home-based self-management program to include home environmental trigger reduction, self-monitoring, medication use, and medical follow-up.	
Establish procedures to provide, coordinate, or link the client to resources for evidence-based trigger reduction interventions. Specifically, change the patient's indoor environment to reduce exposure to asthma triggers such as pests, mold, and second hand smoke.	



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**Prescribed Milestones Narrative Text**

Milestone Name	Narrative Text
Develop and implement evidence-based asthma management guidelines.	
Implement training and asthma self-management education services, including basic facts about asthma, proper medication use, identification and avoidance of environmental exposures that worsen asthma, self-monitoring of asthma symptoms and asthma control, and using written asthma action plans.	
Ensure coordinated care for asthma patients includes social services and support.	
Implement periodic follow-up services, particularly after ED or hospital visit occurs, to provide patients with root cause analysis of what happened and how to avoid future events.	
Ensure communication, coordination, and continuity of care with Medicaid Managed Care plans, Health Home care managers, primary care providers, and specialty providers.	
Use EHRs or other technical platforms to track all patients engaged in this project.	



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**IPQR Module 3.d.ii.4 - PPS Defined Milestones**

**Instructions :**

Please provide updates to baseline reporting on status, target dates, and work breakdown tasks for PPS-defined milestones.

Milestone/Task Name	Status	Description	Original Start Date	Original End Date	Start Date	End Date	Quarter End Date	DSRIP Reporting Year and Quarter
Milestone Mid-Point Assessment	Completed	Mid-Point Assessment Project Narrative - 3.d.ii - Expansion of asthma home-based self-management program			04/01/2016	06/30/2016	06/30/2016	DY2 Q1

**PPS Defined Milestones Current File Uploads**

Milestone Name	User ID	File Type	File Name	Description	Upload Date
Mid-Point Assessment	dbudman	Templates	32_DY2Q1_PROJ3dii_MDL3dii4_PPS1637_TEMPL_Mid-Point_Assessment_Project_Narrative_-_3.d.ii_asthma_5562.pdf	3.d.ii Mid-Point Assessment Project Narrative	08/04/2016 09:05 PM

**PPS Defined Milestones Narrative Text**

Milestone Name	Narrative Text
Mid-Point Assessment	



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**IPQR Module 3.d.ii.5 - IA Monitoring**

**Instructions :**



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**Project 4.b.i – Promote tobacco use cessation, especially among low SES populations and those with poor mental health.**

**✓ IPQR Module 4.b.i.1 - Major Risks to Implementation and Mitigation Strategies**

**Instructions :**

Please describe what the major risks are for this project, as well as the actions you plan to take to mitigate them.

1. Information Technology Adoption Risk  
PPS struggles to effectively use EHRs/other technical platforms to track all patients engaged in this project for proactive care management and for milestone reporting. Mitigation: PPS will conduct a current state assessment and develop a plan to support partner implementation of EHRs/HIE connectivity; provide technical assistance, including data and analytic support, to assist partners with meeting tracking and reporting requirements.
2. Patient Engagement Risk- PPS may encounter difficulties actively engaging "hard to reach" patients, particularly those where tobacco use remains a cultural norm which may lead to low patient participation. Mitigation: PPS will leverage NYU's expertise in engaging the Chinese-American community in tobacco use cessation. Facilitate culturally-competent tobacco patient interventions and counseling and recruit providers to make referrals to the NY Quitline and Asian Quitline, as appropriate. Work with partners to develop culturally-competent outreach and engagement strategies for reaching Arab, Latino and other identified communities. Work with BH partners to engage behavioral health patients in a clinically appropriate manner. Facilitate culturally-competent tobacco patient interventions and counseling; and recruit providers to make referrals to the NY Quitline and Asian Quitline, as appropriate. PPS will develop multilingual patient education materials that identify the risks of smoking and available support to help patients quit and engage its providers to assess patient tobacco use status and train them on the risks of smoking and benefits of quitting. PPS will provide technical assistance, such as data and analytic support, to assist partners with meeting tracking and reporting requirements.
3. CBO Engagement Risk:
  - a. Demographic and socio-economic factors may impede uptake of this initiative across the PPS's population base. The PPS may struggle to sustain provider commitment in the project, as the project does not have scale and speed commitments. Mitigation: The PPS will partner with numerous CBOs that are embedded and trusted in the community and whose missions include addressing poverty, education, and cultural barriers. These partnerships will help PPS target not only the specific issue of tobacco use but also broader socio-economic and demographic factors to improve overall health and well-being. The PPS will consider the use of provider incentives for providers that successfully engage patients in tobacco use cessation conversations and make referrals to the Quitline.
  - b. The PPS may struggle to sustain provider commitment in the project, as the project does not have scale and speed commitments. Mitigation: The PPS will discuss the project's outcome measures and the importance of meeting those measures in order to receive funding. Collaborating with other PPSs and the DOHMH will help to sustain momentum and efficient allocation of project resources to maintain communication and outreach with PPS providers across Brooklyn.
  - c. Brooklyn-based CBOs are likely to be partnering with multiple PPSs and already face many competing demands for their expertise, time and resources; PPS may be stretched to provide support to CBOs to deploy community-based interventions. Mitigation: The PPS will partner with the DOHMH and other PPSs implementing this project to leverage existing resources and minimize duplication of effort. The PPS will leverage the MIX to share best practices with other PPSs and partner organizations.



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**☑ IPQR Module 4.b.i.2 - PPS Defined Milestones**

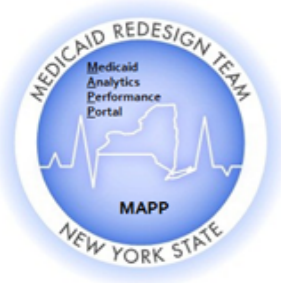
**Instructions :**

Please provide updates to baseline reporting on status, target dates, and work breakdown tasks for PPS-defined milestones.

Milestone/Task Name	Status	Description	Original Start Date	Original End Date	Start Date	End Date	Quarter End Date	DSRIP Reporting Year and Quarter
Milestone Milestone #1	In Progress	Convene a collaborative of CBOs to plan, set milestones, and implement a community campaign.	04/01/2016	03/31/2020	04/01/2016	03/31/2020	03/31/2020	DY5 Q4
Task Step 1	In Progress	Convene an initial planning workgroup with PPS partners to develop a framework for community collaboration.	04/01/2016	09/30/2016	04/01/2016	09/30/2016	09/30/2016	DY2 Q2
Task Step 2	In Progress	Develop a communication strategy to let community partners know that the PPS is pursuing the project and invite collaboration.	04/01/2016	09/30/2016	04/01/2016	09/30/2016	09/30/2016	DY2 Q2
Task Step 3	Not Started	Convene CBOs to plan and set milestones for culturally-specific community campaigns, including: (1) the enhancement of tobacco cessation education and counseling at CBOs and, (2) the development and promotion of tobacco-free environments across the PPS.	04/01/2016	09/30/2016	07/01/2016	09/30/2016	09/30/2016	DY2 Q2
Task Step 4	Not Started	Implement community campaign and develop a forum for continuing community dialogue to exchange best practices and opportunities for collaboration on tobacco cessation programs.	04/01/2016	03/31/2020	07/01/2016	03/31/2020	03/31/2020	DY5 Q4
Milestone Milestone #2	In Progress	Convene a collaborative of PPSs undertaking the tobacco cessation project and partnering with NYC DOHMH.	10/01/2015	03/31/2020	10/01/2015	03/31/2020	03/31/2020	DY5 Q4
Task Step 1	In Progress	Convene an initial planning workgroup with PPS partners to develop a framework for community and cross-PPS collaboration.	04/01/2016	09/30/2016	04/01/2016	09/30/2016	09/30/2016	DY2 Q2
Task Step 2	In Progress	Schedule and hold meetings with the other NYC PPSs pursuing the project (contingent on the PPSs' willingness to collaborate) and NYC DOHMH to discuss planning and collaboration.	04/01/2016	09/30/2016	04/01/2016	09/30/2016	09/30/2016	DY2 Q2
Task Step 3	Not Started	Plan and set milestones for cross-PPS initiatives, including a payer strategy to enhance coverage of tobacco cessation treatment and medication and the identification of value-based models of reimbursement.	04/01/2016	09/30/2016	07/01/2016	09/30/2016	09/30/2016	DY2 Q2
Task Step 4	Not Started	Implement cross-PPS tobacco cessation plan and develop forum for ongoing communication with NYC DOHMH and the other NYC PPSs pursuing the project.	04/01/2016	03/31/2020	07/01/2016	03/31/2020	03/31/2020	DY5 Q4
Milestone Milestone #3	In Progress	Agree upon shared resources across partners to implement the 5A tobacco cessation protocol in primary care settings.	07/01/2015	03/31/2018	07/01/2015	03/31/2018	03/31/2018	DY3 Q4
Task Step 1	Completed	Develop 5A tobacco cessation clinical protocols to be used by providers in the PPS.	07/01/2015	09/30/2015	07/01/2015	09/30/2015	09/30/2015	DY1 Q2
Task	Not Started	Develop flexible 5A workflow model that can be adapted for use by	04/01/2016	09/30/2016	07/01/2016	09/30/2016	09/30/2016	DY2 Q2



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Milestone/Task Name	Status	Description	Original Start Date	Original End Date	Start Date	End Date	Quarter End Date	DSRIP Reporting Year and Quarter
Step 2		providers across the PPS.						
Task Step 3	Not Started	Develop training and communication strategy, including tobacco cessation best practice materials to be rolled out across the PPS provider network.	04/01/2016	09/30/2016	07/01/2016	09/30/2016	09/30/2016	DY2 Q2
Task Step 4	Not Started	Agree upon staffing resources (e.g., Tobacco Cessation Educator) to serve the PPS and recruit the identified position(s).	04/01/2016	09/30/2016	07/01/2016	09/30/2016	09/30/2016	DY2 Q2
Task Step 5	Not Started	Roll out provider training and materials to primary care provider organizations in the network implementing the project.	04/01/2016	09/30/2016	10/01/2016	12/31/2016	12/31/2016	DY2 Q3
Task Step 6	Not Started	Evaluate ramp-up success of providers utilizing the 5A protocol and determine if any revisions and/or additional training/communication interventions are needed.	07/01/2017	03/31/2018	07/01/2017	03/31/2018	03/31/2018	DY3 Q4
Milestone Milestone #4	In Progress	Agree upon a data sharing system to address reporting and implementation needs.	04/01/2016	03/31/2017	04/01/2016	03/31/2017	03/31/2017	DY2 Q4
Task Step 1	Completed	Complete current state assessment of EHRs and tobacco cessation patient registries in use across the PPS primary care provider network.	04/01/2016	09/30/2016	04/01/2016	06/30/2016	06/30/2016	DY2 Q1
Task Step 2	Completed	Identify gaps highlighting where a) current registries do not exist to meet PPS's clinical project requirements and target PPS populations, and, b) PPS primary care partners cannot easily upload appropriate EHR patient data to a patient registry.	04/01/2016	09/30/2016	04/01/2016	06/30/2016	06/30/2016	DY2 Q1
Task Step 3	In Progress	Develop roadmap to achieving expansion and/or establishment of patient registries and EHR capabilities to track engaged tobacco cessation populations across PPS primary care partners.	06/30/2016	09/30/2016	06/30/2016	09/30/2016	09/30/2016	DY2 Q2
Task Step 4	In Progress	Identify resources, timing and expertise required to implement the patient registry plan for PPS primary care partners.	06/30/2016	09/30/2016	06/30/2016	09/30/2016	09/30/2016	DY2 Q2
Task Step 5	Not Started	Implement plan across PPS primary care partners	07/01/2016	03/31/2017	07/01/2016	03/31/2017	03/31/2017	DY2 Q4
Milestone Milestone #5	In Progress	Repurpose and/or develop outdoor media campaign.	07/01/2015	06/30/2017	07/01/2015	06/30/2017	06/30/2017	DY3 Q1
Task Step 1	Completed	Collaborate with NYC DOHMH and community partners to review inventory of existing media campaigns.	07/01/2015	07/31/2015	07/01/2015	07/31/2015	09/30/2015	DY1 Q2
Task Step 2	Completed	Review Community Needs Assessment and community data to identify high-risk neighborhoods and evaluate the specific language and cultural requirements of those highest risk communities with regard to effective messaging.	07/31/2015	09/30/2015	07/31/2015	09/30/2015	09/30/2015	DY1 Q2
Task Step 3	In Progress	Develop media campaign materials either by repurposing and rebranding previous ads or developing new materials.	10/01/2015	06/30/2016	10/01/2015	10/31/2016	12/31/2016	DY2 Q3
Task Step 4	Not Started	Perform consumer-testing of media campaigns.	04/01/2016	06/30/2016	11/01/2016	12/31/2016	12/31/2016	DY2 Q3
Task Step 5	Not Started	Launch outdoor media campaigns in the community.	04/01/2016	06/30/2016	01/01/2017	01/31/2017	03/31/2017	DY2 Q4



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Milestone/Task Name	Status	Description	Original Start Date	Original End Date	Start Date	End Date	Quarter End Date	DSRIP Reporting Year and Quarter
Task Step 6	Not Started	Develop a set of metrics to evaluate the success of the campaigns and perform an annual review.	01/01/2017	03/31/2017	01/01/2017	03/31/2017	03/31/2017	DY2 Q4
Task Step 7	Not Started	Revise and update media campaigns as needed based on feedback from the community and the results of the annual review.	04/01/2017	06/30/2017	04/01/2017	06/30/2017	06/30/2017	DY3 Q1
Milestone Mid-Point Assessment	Completed	Mid-Point Assessment Project Narrative - 4.b.i - Promote tobacco use cessation, especially among low SES populations and those with poor mental health.			04/01/2016	06/30/2016	06/30/2016	DY2 Q1

**PPS Defined Milestones Current File Uploads**

Milestone Name	User ID	File Type	File Name	Description	Upload Date
Mid-Point Assessment	dbudman	Templates	32_DY2Q1_PROJ4bi_MDL4bi2_PPS1638_TEMPL_Mid-Point_Assessment_Project_Narrative_-_4.b.i_tobacco_5563.pdf	4.b.i Mid-Point Assessment Project Narrative	08/04/2016 09:07 PM

**PPS Defined Milestones Narrative Text**

Milestone Name	Narrative Text
Milestone #1	
Milestone #2	
Milestone #3	
Milestone #4	
Milestone #5	
Mid-Point Assessment	



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**IPQR Module 4.b.i.3 - IA Monitoring**

**Instructions :**



**New York State Department Of Health  
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**NYU Lutheran Medical Center (PPS ID:32)**

**Project 4.c.ii – Increase early access to, and retention in, HIV care**

**✓ IPQR Module 4.c.ii.1 - Major Risks to Implementation and Mitigation Strategies**

**Instructions :**

Please describe what the major risks are for this project, as well as the actions you plan to take to mitigate them.

1. Patient Engagement Risk:
  - a. PPS may encounter difficulties actively engaging "hard to reach" patients. Mitigation: The PPS will utilize PNC resources and strategies to engage patients in care and provide assistance with care coordination and education about self-management and PrEP strategies. PPS will coordinate, train, equip and deploy community health workers and embed care managers in PCMH sites to actively engage difficult-to-reach patients through the PNC. CHWs and care managers will be trained to address social stigma that often accompanies HIV. PPS will utilize CHWs and CBOs, with an emphasis on organizations that have trusted relationships with high-risk communities, to support outreach and education on self-management care strategies and navigation activities.
  - b. Demographic and socio-economic factors may impede uptake of this initiative across the PPS's population base. Mitigation: Due to demographic and socio-economic factors the PPS will partner with numerous CBOs that are embedded and trusted in the community and whose missions include addressing poverty, education, and cultural barriers. These partnerships will help the PPS target not only the specific issue of HIV but support culturally competent approaches to reaching the community, for promotion of appropriate use primary care use for all clinical areas, and when to use the ER.
2. Provider Engagement Risk- Providers resist adoption of project protocols (including the use of PrEP) and given that the project does not have scale and speed commitments the PPS may struggle to sustain provider commitment in the project. Mitigation: PPS will identify clinical project leader(s) to serve as project champions and liaise with partner champions to build support across the PPS provider network; establish provider buy-in by engaging providers in design and agree upon standardized evidence-based best practice protocols; configure EMR/HIE functionality to ensure the system is user-friendly and provides information critical to meet goals. The PPS will develop multilingual patient outreach and education materials on HIV prevention and practicing safe behaviors. PPS will provide education on best practice protocols and consider incentive programs to support consistent protocol engagement. To sustain provider commitment the PPS will discuss the project's outcome measures and the importance of meeting those measures in order to receive funding. Collaborating with other PPSs and the DOHMH will help to sustain momentum and efficient allocation of project resources to maintain communication and outreach with PPS providers across Brooklyn.
3. CBO and Cross-PPS Engagement Risk- Brooklyn-based CBOs are likely to be partnering with multiple PPSs and already face many competing demands for their expertise, time and resources; PPS may be stretched to provide support to CBOs to deploy community-based interventions. HIV Collaborative struggles to implement a governance model that supports timely decision-making, budgeting and implementation of shared PPS projects initiatives and resources (e.g. protocols, access to data and reporting tools). Mitigation: CBO engagement across multiple PPSs the PPS will identify HIV Collaborative members, who will also serve on the PPS's HIV Work Group and work both across PPSs and within the NYU Lutheran PPS network to implement the HIV project. The PPS will leverage the MIX to share best practices with other PPSs and partner organizations.



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**IPQR Module 4.c.ii.2 - PPS Defined Milestones**

**Instructions :**

Please provide updates to baseline reporting on status, target dates, and work breakdown tasks for PPS-defined milestones.

Milestone/Task Name	Status	Description	Original Start Date	Original End Date	Start Date	End Date	Quarter End Date	DSRIP Reporting Year and Quarter
Milestone Milestone #1	In Progress	Convening the PPS HIV Collaborative	07/01/2015	03/31/2020	07/01/2015	03/31/2020	03/31/2020	DY5 Q4
Task Step 1	Completed	Confirm PPS participation in HIV Collaborative throughout DSRIP implementation.	07/01/2015	09/30/2015	07/01/2015	09/30/2015	09/30/2015	DY1 Q2
Task Step 2	In Progress	Contract with DOHMH to convene and support the HIV Collaborative.	10/01/2015	06/30/2016	10/01/2015	12/31/2016	12/31/2016	DY2 Q3
Task Step 3	In Progress	Develop agenda for Learning Collaborative meetings and hold meetings.	04/01/2016	03/31/2020	04/01/2016	03/31/2020	03/31/2020	DY5 Q4
Milestone Milestone #2	In Progress	Establishing a work plan and timeline for project implementation.	04/01/2016	03/31/2017	04/01/2016	03/31/2017	03/31/2017	DY2 Q4
Task Step 1	In Progress	Develop work plan and timeline for projects being implemented jointly across multiple PPSs.	04/01/2016	09/30/2016	04/01/2016	09/30/2016	09/30/2016	DY2 Q2
Task Step 2	Not Started	Develop work plan and timeline for additional projects being implemented by the Brooklyn Bridges PPS.	04/01/2016	09/30/2016	10/01/2016	12/31/2016	12/31/2016	DY2 Q3
Task Step 3	Not Started	Validate work plans and timelines with PPS governance bodies and relevant stakeholders, as needed.	04/01/2016	03/31/2017	10/01/2016	03/31/2017	03/31/2017	DY2 Q4
Milestone Milestone #3	In Progress	Developing agreed upon milestones for project implementation.	04/01/2016	03/31/2017	04/01/2016	03/31/2017	03/31/2017	DY2 Q4
Task Step 1	In Progress	Develop milestones for projects being implemented jointly across multiple PPSs.	04/01/2016	09/30/2016	04/01/2016	09/30/2016	09/30/2016	DY2 Q2
Task Step 2	Not Started	Develop milestones for additional projects being implemented by the Brooklyn Bridges PPS.	04/01/2016	09/30/2016	10/01/2016	12/31/2016	12/31/2016	DY2 Q3
Task Step 3	Not Started	Validate milestones with PPS governance bodies and relevant stakeholders, as needed.	04/01/2016	03/31/2017	10/01/2016	03/31/2017	03/31/2017	DY2 Q4
Milestone Milestone #4	In Progress	Agreeing upon project commonalities and shared resources.	04/01/2016	03/31/2017	04/01/2016	03/31/2017	03/31/2017	DY2 Q4
Task Step 1	In Progress	Determine 4.c.ii projects that are common across most/all PPSs in the Collaborative and structure for sharing resources needed for implementation.	04/01/2016	06/30/2016	04/01/2016	12/31/2016	12/31/2016	DY2 Q3
Task Step 2	In Progress	Validate agreement with PPS governance bodies and relevant stakeholders, as needed.	04/01/2016	03/31/2017	04/01/2016	03/31/2017	03/31/2017	DY2 Q4
Milestone	In Progress	Agreeing upon a data sharing system to address reporting and	04/01/2016	03/31/2017	04/01/2016	03/31/2017	03/31/2017	DY2 Q4



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Milestone/Task Name	Status	Description	Original Start Date	Original End Date	Start Date	End Date	Quarter End Date	DSRIP Reporting Year and Quarter
Milestone #5		implementation needs.						
Task Step 1	In Progress	Determine system for sharing information across PPSs and validate decision with PPS governance bodies and relevant stakeholders, as needed.	04/01/2016	03/31/2017	04/01/2016	03/31/2017	03/31/2017	DY2 Q4
Task Step 2	Not Started	Contract with system developer/administrator, as needed.	07/01/2016	03/31/2017	07/01/2016	03/31/2017	03/31/2017	DY2 Q4
Milestone Mid-Point Assessment	Completed	Mid-Point Assessment Project Narrative - 4.c.ii - Increase early access to, and retention in, HIV care			04/01/2016	06/30/2016	06/30/2016	DY2 Q1

**PPS Defined Milestones Current File Uploads**

Milestone Name	User ID	File Type	File Name	Description	Upload Date
Mid-Point Assessment	dbudman	Templates	32_DY2Q1_PROJ4cii_MDL4cii2_PPS1639_TEMPL_Mid-Point_Assessment_Project_Narrative_-_4.c.ii_HIV_5564.pdf	4.c.ii Mid-Point Assessment Project Narrative	08/04/2016 09:11 PM

**PPS Defined Milestones Narrative Text**

Milestone Name	Narrative Text
Milestone #1	
Milestone #2	
Milestone #3	
Milestone #4	
Milestone #5	
Mid-Point Assessment	



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**IPQR Module 4.c.ii.3 - IA Monitoring**

**Instructions :**



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**Attestation**

The Lead Representative has been designated by the Primary Lead PPS Provider (PPS Lead Entity) as the signing officiate for the DSRIP Quarterly Report. The Lead Representative has the authority to complete this attestation on behalf of the PPS network. The Lead Representative and PPS Lead Entity are responsible for the authenticity and accuracy of the material submitted in this report.

The Lead Representative of the Performing Provider System (PPS) must complete this attestation form in order for the project application to be accepted by the NYS Department of Health. Once the attestation is complete, the Quarterly Report will be locked down from any further editing. Do not complete this section until the entire Quarterly Report is complete.

If the Quarterly Report becomes locked in error and additional changes are necessary, please use the contact information on the Home Page to request that the Quarterly Report be unlocked.

To electronically sign this Quarterly Report, please enter the required information and check the box below:

I here by attest, as the Lead Representative of the 'NYU Lutheran Medical Center', that all information provided on this Quarterly report is true and accurate to the best of my knowledge, and that, following initial submission in the current quarterly reporting period as defined by NY DOH, changes made to this report were pursuant only to documented instructions or documented approval of changes from DOH or DSRIP Independent Assessor.

<b>Primary Lead PPS Provider:</b>	LUTHERAN MEDICAL CENTER
<b>Secondary Lead PPS Provider:</b>	
<b>Lead Representative:</b>	Larry McReynolds
<b>Submission Date:</b>	09/16/2016 04:08 PM

**Comments:**





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<b>Status Log</b>				
<b>Quarterly Report (DY,Q)</b>	<b>Status</b>	<b>Lead Representative Name</b>	<b>User ID</b>	<b>Date Timestamp</b>
DY2, Q1	Adjudicated	Larry McReynolds	jfraher	09/30/2016 03:34 PM



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<b>Comments Log</b>			
<b>Status</b>	<b>Comments</b>	<b>User ID</b>	<b>Date Timestamp</b>
Adjudicated	The IA has adjudicated the DY2 Q1 quarterly report.	jfraher	09/30/2016 03:34 PM
Returned	The IA has returned your DY2 Q1 Quarterly Report for Remediation	jfraher	09/02/2016 03:55 PM



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Section	Module Name	Status
Section 01	IPQR Module 1.1 - PPS Budget - Waiver Revenue (Baseline) - READ ONLY	✔ Completed
	IPQR Module 1.2 - PPS Budget - Waiver Revenue (Quarterly)	✔ Completed
	IPQR Module 1.3 - PPS Flow of Funds - Waiver Revenue (Baseline) - READ ONLY	✔ Completed
	IPQR Module 1.4 - PPS Flow of Funds - Waiver Revenue (Quarterly)	✔ Completed
	IPQR Module 1.5 - Prescribed Milestones	✔ Completed
	IPQR Module 1.6 - PPS Defined Milestones	✔ Completed
	IPQR Module 1.7 - PPS Budget - Non-Waiver Revenue (Baseline)	✔ Completed
	IPQR Module 1.8 - PPS Budget - Non-Waiver Revenue (Quarterly)	✔ Completed
	IPQR Module 1.9 - PPS Flow of Funds - Non-Waiver Revenue (Baseline)	✔ Completed
	IPQR Module 1.10 - PPS Flow of Funds - Non-Waiver Revenue (Quarterly)	✔ Completed
	IPQR Module 1.11 - IA Monitoring	
Section 02	IPQR Module 2.1 - Prescribed Milestones	✔ Completed
	IPQR Module 2.2 - PPS Defined Milestones	✔ Completed
	IPQR Module 2.3 - Major Risks to Implementation & Risk Mitigation Strategies	✔ Completed
	IPQR Module 2.4 - Major Dependencies on Organizational Workstreams	✔ Completed
	IPQR Module 2.5 - Roles and Responsibilities	✔ Completed
	IPQR Module 2.6 - Key Stakeholders	✔ Completed
	IPQR Module 2.7 - IT Expectations	✔ Completed
	IPQR Module 2.8 - Progress Reporting	✔ Completed
	IPQR Module 2.9 - IA Monitoring	
Section 03	IPQR Module 3.1 - Prescribed Milestones	✔ Completed
	IPQR Module 3.2 - PPS Defined Milestones	✔ Completed
	IPQR Module 3.3 - Major Risks to Implementation & Risk Mitigation Strategies	✔ Completed
	IPQR Module 3.4 - Major Dependencies on Organizational Workstreams	✔ Completed
	IPQR Module 3.5 - Roles and Responsibilities	✔ Completed
	IPQR Module 3.6 - Key Stakeholders	✔ Completed
	IPQR Module 3.7 - IT Expectations	✔ Completed



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Section	Module Name	Status
	IPQR Module 3.8 - Progress Reporting	✔ Completed
	IPQR Module 3.9 - IA Monitoring	
Section 04	IPQR Module 4.1 - Prescribed Milestones	✔ Completed
	IPQR Module 4.2 - PPS Defined Milestones	✔ Completed
	IPQR Module 4.3 - Major Risks to Implementation & Risk Mitigation Strategies	✔ Completed
	IPQR Module 4.4 - Major Dependencies on Organizational Workstreams	✔ Completed
	IPQR Module 4.5 - Roles and Responsibilities	✔ Completed
	IPQR Module 4.6 - Key Stakeholders	✔ Completed
	IPQR Module 4.7 - IT Expectations	✔ Completed
	IPQR Module 4.8 - Progress Reporting	✔ Completed
	IPQR Module 4.9 - IA Monitoring	
Section 05	IPQR Module 5.1 - Prescribed Milestones	✔ Completed
	IPQR Module 5.2 - PPS Defined Milestones	✔ Completed
	IPQR Module 5.3 - Major Risks to Implementation & Risk Mitigation Strategies	✔ Completed
	IPQR Module 5.4 - Major Dependencies on Organizational Workstreams	✔ Completed
	IPQR Module 5.5 - Roles and Responsibilities	✔ Completed
	IPQR Module 5.6 - Key Stakeholders	✔ Completed
	IPQR Module 5.7 - Progress Reporting	✔ Completed
		IPQR Module 5.8 - IA Monitoring
Section 06	IPQR Module 6.1 - Prescribed Milestones	✔ Completed
	IPQR Module 6.2 - PPS Defined Milestones	✔ Completed
	IPQR Module 6.3 - Major Risks to Implementation & Risk Mitigation Strategies	✔ Completed
	IPQR Module 6.4 - Major Dependencies on Organizational Workstreams	✔ Completed
	IPQR Module 6.5 - Roles and Responsibilities	✔ Completed
	IPQR Module 6.6 - Key Stakeholders	✔ Completed
	IPQR Module 6.7 - IT Expectations	✔ Completed
	IPQR Module 6.8 - Progress Reporting	✔ Completed
		IPQR Module 6.9 - IA Monitoring
Section 07	IPQR Module 7.1 - Prescribed Milestones	✔ Completed



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Section	Module Name	Status
	IPQR Module 7.2 - PPS Defined Milestones	✔ Completed
	IPQR Module 7.3 - Major Risks to Implementation & Risk Mitigation Strategies	✔ Completed
	IPQR Module 7.4 - Major Dependencies on Organizational Workstreams	✔ Completed
	IPQR Module 7.5 - Roles and Responsibilities	✔ Completed
	IPQR Module 7.6 - Key Stakeholders	✔ Completed
	IPQR Module 7.7 - IT Expectations	✔ Completed
	IPQR Module 7.8 - Progress Reporting	✔ Completed
	IPQR Module 7.9 - IA Monitoring	
Section 08	IPQR Module 8.1 - Prescribed Milestones	✔ Completed
	IPQR Module 8.2 - PPS Defined Milestones	✔ Completed
	IPQR Module 8.3 - Major Risks to Implementation & Risk Mitigation Strategies	✔ Completed
	IPQR Module 8.4 - Major Dependencies on Organizational Workstreams	✔ Completed
	IPQR Module 8.5 - Roles and Responsibilities	✔ Completed
	IPQR Module 8.6 - Key Stakeholders	✔ Completed
	IPQR Module 8.7 - IT Expectations	✔ Completed
	IPQR Module 8.8 - Progress Reporting	✔ Completed
IPQR Module 8.9 - IA Monitoring		
Section 09	IPQR Module 9.1 - Prescribed Milestones	✔ Completed
	IPQR Module 9.2 - PPS Defined Milestones	✔ Completed
	IPQR Module 9.3 - Major Risks to Implementation & Risk Mitigation Strategies	✔ Completed
	IPQR Module 9.4 - Major Dependencies on Organizational Workstreams	✔ Completed
	IPQR Module 9.5 - Roles and Responsibilities	✔ Completed
	IPQR Module 9.6 - Key Stakeholders	✔ Completed
	IPQR Module 9.7 - IT Expectations	✔ Completed
	IPQR Module 9.8 - Progress Reporting	✔ Completed
IPQR Module 9.9 - IA Monitoring		
Section 10	IPQR Module 10.1 - Overall approach to implementation	✔ Completed
	IPQR Module 10.2 - Major dependencies between work streams and coordination of projects	✔ Completed
	IPQR Module 10.3 - Project Roles and Responsibilities	✔ Completed



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Section	Module Name	Status
	IPQR Module 10.4 - Overview of key stakeholders and how influenced by your DSRIP projects	✔ Completed
	IPQR Module 10.5 - IT Requirements	✔ Completed
	IPQR Module 10.6 - Performance Monitoring	✔ Completed
	IPQR Module 10.7 - Community Engagement	✔ Completed
	IPQR Module 10.8 - IA Monitoring	
Section 11	IPQR Module 11.1 - Workforce Strategy Spending (Baseline)	✔ Completed
	IPQR Module 11.2 - Prescribed Milestones	✔ Completed
	IPQR Module 11.3 - PPS Defined Milestones	✔ Completed
	IPQR Module 11.4 - Major Risks to Implementation & Risk Mitigation Strategies	✔ Completed
	IPQR Module 11.5 - Major Dependencies on Organizational Workstreams	✔ Completed
	IPQR Module 11.6 - Roles and Responsibilities	✔ Completed
	IPQR Module 11.7 - Key Stakeholders	✔ Completed
	IPQR Module 11.8 - IT Expectations	✔ Completed
	IPQR Module 11.9 - Progress Reporting	✔ Completed
	IPQR Module 11.10 - Staff Impact	✔ Completed
	IPQR Module 11.11 - Workforce Strategy Spending (Quarterly)	✔ Completed
	IPQR Module 11.12 - IA Monitoring	



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Project ID	Module Name	Status
2.a.i	IPQR Module 2.a.i.1 - Major Risks to Implementation and Mitigation Strategies	✔ Completed
	IPQR Module 2.a.i.2 - Prescribed Milestones	✔ Completed
	IPQR Module 2.a.i.3 - PPS Defined Milestones	✔ Completed
	IPQR Module 2.a.i.4 - IA Monitoring	
2.b.iii	IPQR Module 2.b.iii.1 - Major Risks to Implementation and Mitigation Strategies	✔ Completed
	IPQR Module 2.b.iii.2 - Patient Engagement Speed	✔ Completed
	IPQR Module 2.b.iii.3 - Prescribed Milestones	✔ Completed
	IPQR Module 2.b.iii.4 - PPS Defined Milestones	✔ Completed
	IPQR Module 2.b.iii.5 - IA Monitoring	
2.b.ix	IPQR Module 2.b.ix.1 - Major Risks to Implementation and Mitigation Strategies	✔ Completed
	IPQR Module 2.b.ix.2 - Patient Engagement Speed	✔ Completed
	IPQR Module 2.b.ix.3 - Prescribed Milestones	✔ Completed
	IPQR Module 2.b.ix.4 - PPS Defined Milestones	✔ Completed
	IPQR Module 2.b.ix.5 - IA Monitoring	
2.c.i	IPQR Module 2.c.i.1 - Major Risks to Implementation and Mitigation Strategies	✔ Completed
	IPQR Module 2.c.i.2 - Patient Engagement Speed	✔ Completed
	IPQR Module 2.c.i.3 - Prescribed Milestones	✔ Completed
	IPQR Module 2.c.i.4 - PPS Defined Milestones	✔ Completed
	IPQR Module 2.c.i.5 - IA Monitoring	
3.a.i	IPQR Module 3.a.i.1 - Major Risks to Implementation and Mitigation Strategies	✔ Completed
	IPQR Module 3.a.i.2 - Patient Engagement Speed	✔ Completed
	IPQR Module 3.a.i.3 - Prescribed Milestones	✔ Completed
	IPQR Module 3.a.i.4 - PPS Defined Milestones	✔ Completed
	IPQR Module 3.a.i.5 - IA Monitoring	
3.c.i	IPQR Module 3.c.i.1 - Major Risks to Implementation and Mitigation Strategies	✔ Completed
	IPQR Module 3.c.i.2 - Patient Engagement Speed	✔ Completed
	IPQR Module 3.c.i.3 - Prescribed Milestones	✔ Completed



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Project ID	Module Name	Status
	IPQR Module 3.c.i.4 - PPS Defined Milestones	✔ Completed
	IPQR Module 3.c.i.5 - IA Monitoring	
3.d.ii	IPQR Module 3.d.ii.1 - Major Risks to Implementation and Mitigation Strategies	✔ Completed
	IPQR Module 3.d.ii.2 - Patient Engagement Speed	✔ Completed
	IPQR Module 3.d.ii.3 - Prescribed Milestones	✔ Completed
	IPQR Module 3.d.ii.4 - PPS Defined Milestones	✔ Completed
	IPQR Module 3.d.ii.5 - IA Monitoring	
4.b.i	IPQR Module 4.b.i.1 - Major Risks to Implementation and Mitigation Strategies	✔ Completed
	IPQR Module 4.b.i.2 - PPS Defined Milestones	✔ Completed
	IPQR Module 4.b.i.3 - IA Monitoring	
4.c.ii	IPQR Module 4.c.ii.1 - Major Risks to Implementation and Mitigation Strategies	✔ Completed
	IPQR Module 4.c.ii.2 - PPS Defined Milestones	✔ Completed
	IPQR Module 4.c.ii.3 - IA Monitoring	





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Section	Module Name / Milestone #	Review Status	
Section 01	Module 1.1 - PPS Budget - Waiver Revenue (Baseline) - READ ONLY	Pass & Ongoing	
	Module 1.2 - PPS Budget - Waiver Revenue (Quarterly)	Pass & Ongoing	
	Module 1.3 - PPS Flow of Funds - Waiver Revenue (Baseline) - READ ONLY	Pass & Ongoing	
	Module 1.4 - PPS Flow of Funds - Waiver Revenue (Quarterly)	Pass & Ongoing	
	Module 1.5 - Prescribed Milestones		
	Milestone #1 Complete funds flow budget and distribution plan and communicate with network	Pass & Complete	
	Module 1.7 - PPS Budget - Non-Waiver Revenue (Baseline)	Pass & Ongoing	
	Module 1.8 - PPS Budget - Non-Waiver Revenue (Quarterly)	Pass & Ongoing	
	Module 1.9 - PPS Flow of Funds - Non-Waiver Revenue (Baseline)	Pass & Ongoing	
	Module 1.10 - PPS Flow of Funds - Non-Waiver Revenue (Quarterly)	Pass & Ongoing	
Section 02	Module 2.1 - Prescribed Milestones		
	Milestone #1 Finalize governance structure and sub-committee structure	Pass & Complete	
	Milestone #2 Establish a clinical governance structure, including clinical quality committees for each DSRIP project	Pass & Complete	
	Milestone #3 Finalize bylaws and policies or Committee Guidelines where applicable	Pass & Complete	
	Milestone #4 Establish governance structure reporting and monitoring processes	Pass & Complete	
	Milestone #5 Finalize community engagement plan, including communications with the public and non-provider organizations (e.g. schools, churches, homeless services, housing providers, law enforcement)	Pass & Complete	
	Milestone #6 Finalize partnership agreements or contracts with CBOs	Pass & Ongoing	
	Milestone #7 Finalize agency coordination plan aimed at engaging appropriate public sector agencies at state and local levels (e.g. local departments of health and mental hygiene, Social Services, Corrections, etc.)	Pass (with Exception) & Ongoing	
	Milestone #8 Finalize workforce communication and engagement plan	Pass & Ongoing	
	Milestone #9 Inclusion of CBOs in PPS Implementation.	Pass & Ongoing	
Section 03	Module 3.1 - Prescribed Milestones		
	Milestone #1 Finalize PPS finance structure, including reporting structure	Pass & Complete	
	Milestone #2 Perform network financial health current state assessment and develop financial sustainability strategy to address	Pass & Complete	



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Section	Module Name / Milestone #	Review Status	
	key issues.		
	Milestone #3 Finalize Compliance Plan consistent with New York State Social Services Law 363-d	Pass & Complete	
	Milestone #4 Develop detailed baseline assessment of revenue linked to value-based payment, preferred compensation modalities for different provider-types and functions, and MCO strategy.	Pass & Ongoing	
	Milestone #5 Finalize a plan towards achieving 90% value-based payments across network by year 5 of the waiver at the latest	Pass & Ongoing	
	Milestone #6 Put in place Level 1 VBP arrangement for PCMH/APC care and one other care bundle or subpopulation	Pass & Ongoing	
	Milestone #7 Contract 50% of care-costs through Level 1 VBPs, and >= 30% of these costs through Level 2 VBPs or higher	Pass & Ongoing	
	Milestone #8 >=90% of total MCO-PPS payments (in terms of total dollars) captured in at least Level 1 VBPs, and >= 70% of total costs captured in VBPs has to be in Level 2 VBPs or higher	Pass & Ongoing	
Section 04	Module 4.1 - Prescribed Milestones		
	Milestone #1 Finalize cultural competency / health literacy strategy.	Pass & Complete	
	Milestone #2 Develop a training strategy focused on addressing the drivers of health disparities (beyond the availability of language-appropriate material).	Pass & Complete	 
Section 05	Module 5.1 - Prescribed Milestones		
	Milestone #1 Perform current state assessment of IT capabilities across network, identifying any critical gaps, including readiness for data sharing and the implementation of interoperable IT platform(s).	Pass & Complete	
	Milestone #2 Develop an IT Change Management Strategy.	Pass & Complete	 
	Milestone #3 Develop roadmap to achieving clinical data sharing and interoperable systems across PPS network	Pass & Ongoing	
	Milestone #4 Develop a specific plan for engaging attributed members in Qualifying Entities	Pass & Ongoing	
	Milestone #5 Develop a data security and confidentiality plan.	Pass & Complete	 
Section 06	Module 6.1 - Prescribed Milestones		
	Milestone #1 Establish reporting structure for PPS-wide performance reporting and communication.	Pass & Ongoing	
	Milestone #2 Develop training program for organizations and individuals throughout the network, focused on clinical quality and performance reporting.	Pass & Ongoing	
Section 07	Module 7.1 - Prescribed Milestones		
	Milestone #1 Develop Practitioners communication and engagement plan.	Pass & Ongoing	
	Milestone #2 Develop training / education plan targeting practioners and other professional groups, designed to educate them about the DSRIP program and your PPS-specific quality improvement agenda.	Pass & Ongoing	
Section 08	Module 8.1 - Prescribed Milestones		



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Section	Module Name / Milestone #	Review Status	
	Milestone #1 Develop population health management roadmap.	Pass & Ongoing	
	Milestone #2 Finalize PPS-wide bed reduction plan.	Pass & Ongoing	
Section 09	Module 9.1 - Prescribed Milestones		
	Milestone #1 Perform a clinical integration 'needs assessment'.	Pass & Ongoing	
	Milestone #2 Develop a Clinical Integration strategy.	Pass & Ongoing	
Section 11	Module 11.1 - Workforce Strategy Spending (Baseline)	Pass & Complete	
	Module 11.2 - Prescribed Milestones		
	Milestone #1 Define target workforce state (in line with DSRIP program's goals).	Pass & Complete	
	Milestone #2 Create a workforce transition roadmap for achieving defined target workforce state.	Pass & Complete	
	Milestone #3 Perform detailed gap analysis between current state assessment of workforce and projected future state.	Pass & Complete	
	Milestone #4 Produce a compensation and benefit analysis, covering impacts on both retrained and redeployed staff, as well as new hires, particularly focusing on full and partial placements.	Pass & Complete	
	Milestone #5 Develop training strategy.	Pass & Ongoing	
	Module 11.10 - Staff Impact	Pass & Ongoing	
	Module 11.11 - Workforce Strategy Spending (Quarterly)	Pass & Ongoing	



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Project ID	Module Name / Milestone #	Review Status	
2.a.i	Module 2.a.i.2 - Prescribed Milestones		
	Milestone #1 All PPS providers must be included in the Integrated Delivery System. The IDS should include all medical, behavioral, post-acute, long-term care, and community-based service providers within the PPS network; additionally, the IDS structure must include payers and social service organizations, as necessary to support its strategy.	Pass & Ongoing	
	Milestone #2 Utilize partnering HH and ACO population health management systems and capabilities to implement the PPS' strategy towards evolving into an IDS.	Pass & Ongoing	
	Milestone #3 Ensure patients receive appropriate health care and community support, including medical and behavioral health, post-acute care, long term care and public health services.	Pass & Ongoing	
	Milestone #4 Ensure that all PPS safety net providers are actively sharing EHR systems with local health information exchange/RHIO/SHIN-NY and sharing health information among clinical partners, including directed exchange (secure messaging), alerts and patient record look up, by the end of Demonstration Year (DY) 3.	Pass & Ongoing	
	Milestone #5 Ensure that EHR systems used by participating safety net providers meet Meaningful Use and PCMH Level 3 standards and/or APCM by the end of Demonstration Year 3.	Pass & Ongoing	
	Milestone #6 Perform population health management by actively using EHRs and other IT platforms, including use of targeted patient registries, for all participating safety net providers.	Pass & Ongoing	
	Milestone #7 Achieve 2014 Level 3 PCMH primary care certification and/or meet state-determined criteria for Advanced Primary Care Models for all participating PCPs, expand access to primary care providers, and meet EHR Meaningful Use standards by the end of DY 3.	Pass & Ongoing	
	Milestone #8 Contract with Medicaid Managed Care Organizations and other payers, as appropriate, as an integrated system and establish value-based payment arrangements.	Pass & Ongoing	
	Milestone #9 Establish monthly meetings with Medicaid MCOs to discuss utilization trends, performance issues, and payment reform.	Pass & Ongoing	
	Milestone #10 Re-enforce the transition towards value-based payment reform by aligning provider compensation to patient outcomes.	Pass & Ongoing	
Milestone #11 Engage patients in the integrated delivery system through outreach and navigation activities, leveraging community health workers, peers, and culturally competent community-based organizations, as appropriate.	Pass & Ongoing		
2.b.iii	Module 2.b.iii.2 - Patient Engagement Speed	Pass (with Exception) & Ongoing	
	Module 2.b.iii.3 - Prescribed Milestones		
	Milestone #1 Establish ED care triage program for at-risk populations	Pass & Ongoing	
	Milestone #2 Participating EDs will establish partnerships to community primary care providers with an emphasis on those that are PCMHs and have open access scheduling. a. Achieve NCQA 2014 Level 3 Medical Home standards or NYS Advanced Primary Care Model standards by the end of DSRIP Year 3. b. Develop process and procedures to establish connectivity between the emergency department and community primary care	Pass & Ongoing	



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Project ID	Module Name / Milestone #	Review Status	
	providers. c. Ensure real time notification to a Health Home care manager as applicable		
	Milestone #3 For patients presenting with minor illnesses who do not have a primary care provider: a. Patient navigators will assist the presenting patient to receive an immediate appointment with a primary care provider, after required medical screening examination, to validate a non-emergency need. b. Patient navigator will assist the patient with identifying and accessing needed community support resources. c. Patient navigator will assist the member in receiving a timely appointment with that provider's office (for patients with a primary care provider).	Pass & Ongoing	
	Milestone #4 Established protocols allowing ED and first responders - under supervision of the ED practitioners - to transport patients with non-acute disorders to alternate care sites including the PCMH to receive more appropriate level of care. (This requirement is optional.)	Pass & Ongoing	
	Milestone #5 Use EHRs and other technical platforms to track all patients engaged in the project.	Pass & Ongoing	
2.b.ix	Module 2.b.ix.2 - Patient Engagement Speed	Pass (with Exception) & Ongoing	
	Module 2.b.ix.3 - Prescribed Milestones		
	Milestone #1 Establish appropriately sized and staffed observation (OBS) units in close proximity to ED services, unless the services required are better provided in another unit. When the latter occurs, care coordination must still be provided.	Pass & Ongoing	
	Milestone #2 Create clinical and financial model to support the need for the unit.	Pass & Ongoing	
	Milestone #3 Utilize care coordination services to ensure safe discharge either to the community or a step down level of service, such as behavioral health or assisted living/SNF.	Pass & Ongoing	
	Milestone #4 Ensure that all PPS safety net providers are actively sharing EHR systems with local health information exchange/RHIO/SHIN-NY and sharing health information among clinical partners, including Direct exchange (secure messaging), alerts and patient record look up by the end of Demonstration Year (DY) 3.	Pass & Ongoing	
	Milestone #5 Use EHRs and other technical platforms to track all patients engaged in the project.	Pass & Ongoing	
2.c.i	Module 2.c.i.2 - Patient Engagement Speed	Pass (with Exception) & Ongoing	
	Module 2.c.i.3 - Prescribed Milestones		
	Milestone #1 Create community-based health navigation services, with the goal of assisting patients in accessing healthcare services efficiently.	Pass & Ongoing	
	Milestone #2 Develop a community care resource guide to assist the community resources and ensure compliance with protocols, under direction from a collaborating program oversight group of medical/behavioral health, community nursing, and social support services providers.	Pass & Ongoing	
	Milestone #3 Recruit for community navigators, ideally spearheaded by residents in the targeted area to ensure community familiarity.	Pass & Ongoing	
	Milestone #4 Resource appropriately for the community navigators, evaluating placement and service type.	Pass & Ongoing	
	Milestone #5 Provide community navigators with access to non-clinical resources, such as transportation and housing services.	Pass & Ongoing	



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Project ID	Module Name / Milestone #	Review Status	
	Milestone #6 Establish case loads and discharge processes to ensure efficiency in the system for community navigators who are following patients longitudinally.	Pass & Ongoing	
	Milestone #7 Market the availability of community-based navigation services.	Pass & Ongoing	
	Milestone #8 Use EHRs and other technical platforms to track all patients engaged in the project.	Pass & Ongoing	
3.a.i	Module 3.a.i.2 - Patient Engagement Speed	Pass (with Exception) & Ongoing	
	Module 3.a.i.3 - Prescribed Milestones		
	Milestone #1 Co-locate behavioral health services at primary care practice sites. All participating primary care practices must meet 2014 NCQA level 3 PCMH or Advance Primary Care Model standards by DY 3.	Pass & Ongoing	
	Milestone #2 Develop collaborative evidence-based standards of care including medication management and care engagement process.	Pass & Ongoing	
	Milestone #3 Conduct preventive care screenings, including behavioral health screenings (PHQ-2 or 9 for those screening positive, SBIRT) implemented for all patients to identify unmet needs.	Pass & Ongoing	
	Milestone #4 Use EHRs or other technical platforms to track all patients engaged in this project.	Pass & Ongoing	
	Milestone #5 Co-locate primary care services at behavioral health sites.	Pass & Ongoing	
	Milestone #6 Develop collaborative evidence-based standards of care including medication management and care engagement process.	Pass & Ongoing	
	Milestone #7 Conduct preventive care screenings, including behavioral health screenings (PHQ-2 or 9 for those screening positive, SBIRT) implemented for all patients to identify unmet needs.	Pass & Ongoing	
	Milestone #8 Use EHRs or other technical platforms to track all patients engaged in this project.	Pass & Ongoing	
	Milestone #9 Implement IMPACT Model at Primary Care Sites.	Pass & Ongoing	
	Milestone #10 Utilize IMPACT Model collaborative care standards, including developing coordinated evidence-based care standards and policies and procedures for care engagement.	Pass & Ongoing	
	Milestone #11 Employ a trained Depression Care Manager meeting requirements of the IMPACT model.	Pass & Ongoing	
	Milestone #12 Designate a Psychiatrist meeting requirements of the IMPACT Model.	Pass & Ongoing	
	Milestone #13 Measure outcomes as required in the IMPACT Model.	Pass & Ongoing	
Milestone #14 Provide "stepped care" as required by the IMPACT Model.	Pass & Ongoing		
Milestone #15 Use EHRs or other technical platforms to track all patients engaged in this project.	Pass & Ongoing		
3.c.i	Module 3.c.i.2 - Patient Engagement Speed	Pass (with Exception) & Ongoing	
	Module 3.c.i.3 - Prescribed Milestones		
	Milestone #1 Implement evidence-based best practices for disease management, specific to diabetes, in community and ambulatory care settings.	Pass & Ongoing	



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Project ID	Module Name / Milestone #	Review Status	
	Milestone #2 Engage at least 80% of primary care providers within the PPS in the implementation of disease management evidence-based best practices.	Pass & Ongoing	
	Milestone #3 Develop care coordination teams (including diabetes educators, nursing staff, behavioral health providers, pharmacy, community health workers, and Health Home care managers) to improve health literacy, patient self-efficacy, and patient self-management.	Pass & Ongoing	
	Milestone #4 Develop "hot spotting" strategies, in concert with Health Homes, to implement programs such as the Stanford Model for chronic diseases in high risk neighborhoods.	Pass & Ongoing	
	Milestone #5 Ensure coordination with the Medicaid Managed Care organizations serving the target population.	Pass & Ongoing	
	Milestone #6 Use EHRs or other technical platforms to track all patients engaged in this project.	Pass & Ongoing	
	Milestone #7 Meet Meaningful Use and PCMH Level 3 standards and/or APCM by the end of Demonstration Year 3 for EHR systems used by participating safety net providers.	Pass & Ongoing	
3.d.ii	Module 3.d.ii.2 - Patient Engagement Speed	Pass (with Exception) & Ongoing	 
	Module 3.d.ii.3 - Prescribed Milestones		
	Milestone #1 Expand asthma home-based self-management program to include home environmental trigger reduction, self-monitoring, medication use, and medical follow-up.	Pass & Ongoing	
	Milestone #2 Establish procedures to provide, coordinate, or link the client to resources for evidence-based trigger reduction interventions. Specifically, change the patient's indoor environment to reduce exposure to asthma triggers such as pests, mold, and second hand smoke.	Pass & Ongoing	
	Milestone #3 Develop and implement evidence-based asthma management guidelines.	Pass & Ongoing	
	Milestone #4 Implement training and asthma self-management education services, including basic facts about asthma, proper medication use, identification and avoidance of environmental exposures that worsen asthma, self-monitoring of asthma symptoms and asthma control, and using written asthma action plans.	Pass & Ongoing	
	Milestone #5 Ensure coordinated care for asthma patients includes social services and support.	Pass & Ongoing	
	Milestone #6 Implement periodic follow-up services, particularly after ED or hospital visit occurs, to provide patients with root cause analysis of what happened and how to avoid future events.	Pass & Ongoing	
	Milestone #7 Ensure communication, coordination, and continuity of care with Medicaid Managed Care plans, Health Home care managers, primary care providers, and specialty providers.	Pass & Ongoing	
Milestone #8 Use EHRs or other technical platforms to track all patients engaged in this project.	Pass & Ongoing		
4.b.i	Module 4.b.i.2 - PPS Defined Milestones	Pass & Ongoing	
4.c.ii	Module 4.c.ii.2 - PPS Defined Milestones	Pass & Ongoing	



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**Providers Participating in Projects**

	Selected Projects										
	Project 2.a.i	Project 2.b.iii	Project 2.b.ix	Project 2.c.i	Project 3.a.i	Project 3.c.i	Project 3.d.ii	Project 4.b.i	Project 4.c.ii	Project	Project
Provider Speed Commitments	DY4 Q2	DY3 Q4	DY3 Q2	DY3 Q2	DY3 Q4	DY3 Q4	DY3 Q4				

Provider Category		Project 2.a.i	Project 2.b.iii	Project 2.b.ix	Project 2.c.i	Project 3.a.i	Project 3.c.i	Project 3.d.ii	Project 4.b.i	Project 4.c.ii	Project	Project											
		Selected / Committed	Selected / Committed	Selected / Committed	Selected / Committed	Selected / Committed	Selected / Committed	Selected / Committed	Selected / Committed	Selected / Committed	Selected / Committed	Selected / Committed	Selected / Committed										
Practitioner - Primary Care Provider (PCP)	Total	410	372	1	0	0	264	1	0	1	276	5	197	2	250	0	0	0	0	0	0	0	0
	Safety Net	192	33	1	29	0	29	1	30	1	25	4	20	2	27	0	0	0	0	0	0	0	0
Practitioner - Non-Primary Care Provider (PCP)	Total	1,414	1,198	4	0	1	0	0	0	6	321	2	328	0	191	0	0	1	0	0	0	0	0
	Safety Net	236	41	0	0	0	0	0	28	1	18	0	15	0	9	0	0	0	0	0	0	0	0
Hospital	Total	2	1	2	0	2	1	2	0	2	0	2	0	2	0	2	0	2	0	0	0	0	0
	Safety Net	1	1	1	1	1	1	1	0	1	0	1	0	1	0	1	0	1	0	0	0	0	0
Clinic	Total	18	16	2	0	2	16	2	0	4	16	4	16	3	16	2	0	2	0	0	0	0	0
	Safety Net	17	17	1	17	1	17	1	17	3	17	3	17	2	17	1	0	1	0	0	0	0	0
Case Management / Health Home	Total	19	7	0	0	0	7	0	0	0	0	1	7	0	7	0	0	0	0	0	0	0	0
	Safety Net	5	3	0	3	0	3	0	3	0	0	1	3	0	3	0	0	0	0	0	0	0	0
Mental Health	Total	255	178	1	0	0	178	0	0	3	178	0	142	0	0	0	0	0	0	0	0	0	0
	Safety Net	59	23	0	0	0	23	0	18	0	23	0	18	0	0	0	0	0	0	0	0	0	0
Substance Abuse	Total	23	20	1	0	1	20	1	0	1	3	1	3	1	0	1	0	1	0	0	0	0	0
	Safety Net	23	16	1	0	1	16	1	9	1	2	1	2	1	0	1	0	1	0	0	0	0	0
Nursing Home	Total	32	27	0	0	0	27	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Safety Net	32	30	0	0	0	30	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Pharmacy	Total	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Safety Net	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Hospice	Total	5	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0





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Provider Category		Project 2.a.i		Project 2.b.iii		Project 2.b.ix		Project 2.c.i		Project 3.a.i		Project 3.c.i		Project 3.d.ii		Project 4.b.i		Project 4.c.ii		Project		Project	
		Selected / Committed		Selected / Committed		Selected / Committed		Selected / Committed		Selected / Committed		Selected / Committed		Selected / Committed		Selected / Committed		Selected / Committed		Selected / Committed		Selected / Committed	
	Safety Net	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Community Based Organizations	Total	41	18	1	0	1	0	1	0	0	15	0	14	0	15	0	0	0	0	0	0	0	0
	Safety Net	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	Total	1,031	849	2	0	0	164	0	0	2	275	6	212	2	208	0	0	0	0	0	0	0	0
	Safety Net	388	111	0	0	0	21	0	37	1	35	4	27	2	26	0	0	0	0	0	0	0	0
Uncategorized	Total	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Safety Net	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Additional Providers	Total	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Safety Net	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0

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