

# Finger Lakes PPS DSRIP Year 2, Quarter 2 APPEAL

Finger Lakes (FLPPS) PPS submitted an appeal requesting that the Independent Assessor (IA) reconsider its Actively Engaged determination for Projects 2.b.iii, 2.b.iv, 2.b.vi, 2.d.i, 3.a.i, 3.a.ii, 3.a.v, and 3.f.i.

## Independent Assessor's Initial Determination

### **Required Documentation**

The PPS was required to submit documentation to support the numbers of Actively Engaged members reported by the PPS in their DY2, Q2 Quarterly Report. The supporting documentation must include at a minimum the Medicaid CIN# (Client Identification Number) or the Medicaid HMO Policy Number.

### **Initial IA Determination:**

Project 2.b.iii- Fail  
Project 2.b.iv.- Pass and Ongoing  
Project 2.b.vi.- Fail  
Project 2.d.i- Fail  
Project 3.a.i- Fail  
Project 3.a.ii- Fail  
Project 3.a.v- Fail  
Project 3.f.i- Fail

### **Rationale for Initial IA Determination**

#### Project 2.b.iii

PPS Committed Number: 8100  
PPS Reported Number: 6977  
IA Approved Number (Unduplicated): 3338  
Percentage of Commitment: 41.21%

#### Project 2.b.iv.

PPS Committed Number: 2090  
PPS Reported Number: 3347  
IA Approved Number (Unduplicated): 1843  
Percentage of Commitment: 88.18%

#### Project 2.b.vi.

PPS Committed Number: 235  
PPS Reported Number: 193  
IA Approved Number (Unduplicated): 108

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Percentage of Commitment: 45.96%

## Project 2.d.i

PPS Committed Number: 12,963

PPS Reported Number: 9043

IA Approved Number (Unduplicated): 5860

Percentage of Commitment: 45.21%

## Project 3.a.i

PPS Committed Number: 27,360

PPS Reported Number: 18,792

IA Approved Number (Unduplicated): 8788

Percentage of Commitment: 32.12%

## Project 3.a.ii

PPS Committed Number: 1800

PPS Reported Number: 2020

IA Approved Number (Unduplicated): 990

Percentage of Commitment: 55.0%

## Project 3.a.v

PPS Committed Number: 1000

PPS Reported Number: 995

IA Approved Number (Unduplicated): 332

Percentage of Commitment: 33.2

## Project 3.f.i

PPS Committed Number: 201

PPS Reported Number: 438

IA Approved Number (Unduplicated): 98

Percentage of Commitment: 48.76%

## Finger Lakes PPS Appeal

Finger Lakes PPS subsequently filed a formal appeal to the IA's initial determination. In its request for reconsideration, the PPS stated:

*"FLPPS respectfully requests the Independent Assessor count the supporting PHI data submitted in DY2Q1 along with the supporting PHI data submitted in DY2Q2, for a total cumulative number of Patients Engaged for each project."*

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## Independent Assessor's Final Determination

### **Final IA Determination after Appeal**

Upon further review and validation by the IA, Finger Lakes PPS will be receiving an amended IA approved cumulative total for its actively engaged commitments for all the projects subject to appeal. The FAIL status for 2.b.iii, 2.b.vi, 3.a.ii, 3.a.v, and 3.f.i will be overturned and corrected to indicate a "Pass and Ongoing" and the remaining projects (2.d.i, and 3.a.i) will have an amended IA approved cumulative total reflective of both DY2Q1 and DY2Q2 supporting documentation while maintaining its initial status.

### **Rationale for Final IA Determination**

The IA accepted Finger Lakes PPS explanation that the IA approved amount only reflected the patients on the lists uploaded in the DY2Q2 submission without taking into account the patients lists uploaded in DY2Q1 submission. Upon further review, the PPS' Actively Engaged IA Approved numbers below reflects the actively engaged submission from both DY2Q1 and DY2Q2 after further validation by the IA:

#### Project 2.b.iii

PPS Committed Number: 8100  
PPS Reported Number: 6977  
IA Approved Number (Unduplicated): 6513  
Percentage of Commitment: 80.41%

#### Project 2.b.iv.

PPS Committed Number: 2090  
PPS Reported Number: 3347  
IA Approved Number (Unduplicated): 3344  
Percentage of Commitment: 160%

#### Project 2.b.vi.

PPS Committed Number: 235  
PPS Reported Number: 193  
IA Approved Number (Unduplicated): 192  
Percentage of Commitment: 81.7%

#### Project 2.d.i

PPS Committed Number: 12,963  
PPS Reported Number: 9043  
IA Approved Number (Unduplicated): 9008  
Percentage of Commitment: 69.49%

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Project 3.a.i

PPS Committed Number: 27,360

PPS Reported Number: 18,792

IA Approved Number (Unduplicated): 18,710

Percentage of Commitment: 68.38%

Project 3.a.ii

PPS Committed Number: 1800

PPS Reported Number: 2020

IA Approved Number (Unduplicated): 1654

Percentage of Commitment: 91.89%

Project 3.a.v

PPS Committed Number: 1000

PPS Reported Number: 995

IA Approved Number (Unduplicated): 996

Percentage of Commitment: 99.6%

Project 3.f.i

PPS Committed Number: 201

PPS Reported Number: 438

IA Approved Number (Unduplicated): 433

Percentage of Commitment: 215.42%