



**Department
of Health**

**Medicaid
Redesign Team**

Equity Programs (EP)

April 2017 Update Webinar

April 26, 2017

Today's Agenda

- **EPP Funding**
- **EIP Activity Updates**
- **EP Reporting**
- **MMCOR Reporting**
- **Next Steps and Open Forum**

EPP Funding

EPP Performance Validation and Payment Process

- Due to a delay in DY1, MCOs received 9 months of payment in December of 2016 dating back to the start of EPP – April 2016
- Monthly DOH EPP payments began January 2017 resulting in EPP DY1 payments ending in March 2017
- EPP measures need to go through the same validation process as DSRIP measures before payment can be released. EPP DY2 payments from the MCO to PPS cannot begin until the IA performance validation is received.
- The IA is scheduled to release results of EPP performance to the PPS and paired MCOs on June 29, 2017
- **There will be a lag in EPP payments to PPS from the end of DY1 funds (March 2017) to the beginning of DY2 funds after validation (July 2017)**

EPP Performance Validation and Payment Process (cont.)

- DY2 EPP payments can begin in July 2017 (accounting for 4 months worth of payment) after receipt of EPP performance results from IA and continue through March 2018
- Please note: A **3 month lag** in EPP payments between the MCOs and PPS will occur each year. EPP payments will not be made from MCOs to PPS from April until July for the remainder of the Equity Program while the IA conducts performance validation.

17-Mar	17-Apr	17-May	17-Jun	17-Jul
PPS receives last EPP DY1 Payment	NO PAYMENT	NO PAYMENT	NO PAYMENT	PPS receives first EPP Payment of DY2 (accounting for 4 months or first quarter's payment)

EIP January 2016 Rate Adjustments

- The Division of Budget (DOB) has approved the January 2016 Rates, which will close the gap between actual EIP DY1 payments and expected EIP DY1 payments.
- This rate adjustment addresses on EIP underpayments between April 2015 – March 2016. Any under payments after that point will be addressed in another rate adjustment.
- Rates will be effectuated at the latest by end of June.

EIP Activity Updates

Additional Evidence for Activities

Participation in Expanded HH Enrollment

- Evidence of development and implementation of PPS-wide workflow model related to Health Home connectivity from primary care practices, hospitals and post-acute settings – one time only
 - DOH will update the guidance on HH enrollment activities and evidence in DY3

EHR Implementation Investment

- Development and execution of data sharing agreement for Population Health Management (PHM) Platform access and use – one time only

Additional Evidence for Activities

- Please refer back to the Financial Substantiation guidance for your PPS selected activities as some language changes were needed in order to better align with the current state of the program. The document lists examples of approved evidence for EIP activities and makes suggestions as to whether each piece of evidence would warrant financial substantiation. https://www.health.ny.gov/health_care/medicaid/redesign/dsrip/vbp_initiatives/docs/ep_financial_substantiation.pdf
- As with all EIP activities, DOH is only providing examples of guidance. It is ultimately up to the MCO to determine what counts as sufficient evidence for activities in the contract. Partners are responsible for providing reasoning for alternative evidence selection should the program be audited by a governing entity.

IT TOM

Although the formal series of Information Technology Target Operating Model (IT TOM) workshops ended in DY2 Q1, PPS can continue to participate in IT TOM as one of their four selected EIP Activities.

As of DY2 Q2, PPS could continue IT TOM in EIP in one of two ways (participation in either is sufficient):

1. **Development and facilitation of PPS-led IT TOM workshops, or**
2. **Leveraging lessons learned from past IT TOM workshops to advance a PPS' target operating model (Refer to *EIP IT TOM Participation Guidance Document* for 5-step implementation plan)**

IT TOM (cont.)

DOH has developed a package of evidence PPS can provide to their paired MCOs as proof of IT TOM Activity (this list is not exhaustive):

- *IT TOM Workshop Presentations, including meeting minutes and the attendance register*
- *Current and Target State Operating Models*
- *Updated Capability Model*
- *Updated Context Model*
- *List of High-level Use Cases*
- *List of Patient Use Case Scenarios*
- *Finalized Requirements Traceability Matrices (RTMs)*
- *Finalized BRD (Business Requirement Definition) Reports*
- *SRS (System Requirements Specification) Reports*

It is recommended that all above be included in the IT TOM evidence package, but it is mandatory to submit at least the **red** items, which make up the final deliverables of a complete IT TOM workshop series.

Additional guidance on EIP Participation in IT TOM can be found in the Guidance Document on the website.

Medicaid Accelerated eXchange – MAX

Train the Trainer (TTT) MAX Series

- TTT training sessions launched in January 2017 with foundational training (focused on facilitation) and workshop prep (focused on learning and practicing delivery theories of the workshop)

Although the formal TTT training session is currently scheduled to end in July 2017, designated trainers are encouraged to continue MAX activities in DY3 by leading trainings with their own or other PPS

Medicaid Accelerated eXchange – MAX (cont.)

PPS will need to submit evidence of independently run rapid cycle improvement projects started at MAX by submitting documentation of actions and evidence of continued workshops

Documentation includes:

- Workshop Summary Reports (one after each workshop in the series for a total of 3 per series)
- Final Report (one report submitted at the end of the series on key findings and lessons learned)

DOH will develop additional guidance on MAX activities and evidence in DY3

EP Reporting

EP Reporting & Payment Frequency Table

MCO Completion

EP Reporting & Payment Frequency Table

The purpose of our table is to document the various activities in the Equity Infrastructure Program (EIP), the reporting frequency in the EIP, the payment frequency in the EIP, and the payment frequency in the Equity Performance Program (EPP).

When this document is completed, please send it to DOH via the email address: DSRIIP_SSP@health.ny.gov using the subject line 'Equity Payment Report'

The MCO should perform the following steps to complete this form:

- 1) Select the MCO filling out the report in cell F11.
- 2) Select the DSRIIP Year for this set of reports in cell F13.
- 3) Select the EIP Activity for each PPS (column E-H).
- 4) Select the reporting and payment frequencies from the drop-down menu for each PPS for the two programs (column I, J, and L).

Select the MCO filling out the report: **Affinity Health Plan**

Select the DSRIIP Year for this report: **DY3 (Apr 2017-Mar 2018)**

PPS Group	EIP Activity				Equity Infrastructure Program (EIP)		Equity Performance Program (EPP)
	Activity 1 (select from list)	Activity 2 (select from list)	Activity 3 (select from list)	Activity 4 (select from list)	Reporting Frequency	Payment Frequency	Payment Frequency
Advocate Community Providers							
Brax Health Access PPS							
Montefiore Hudson Valley Collaborative							
Mount Sinai LLC							
Nazro Queens PPS							
Brax Partner for Healthy Communities							
Suffolk Care Collaborative							
The New York and Presbyterian Hospital							

Select the MCO filling out the report: **Affinity Health Plan**

Select the DSRIIP Year for this report: **DY3 (Apr 2017-Mar 2018)**

PPS Group	Activity 1 (select from list)
Advocate Community Providers	

- MCO will complete this report yearly based on any EIP activity updates or EP reporting and payment frequency updates prior to the start of the DY.
- The MCO filling out the report will select their name and the relevant DY from the drop lists as shown.
- The list in the 'PPS Group' column will be automatically updated based on the MCO selected from the drop down list.

EP Reporting & Payment Frequency Table (cont.)

MCO Completion

EP Reporting & Payment Frequency Table

The MCO should perform the following steps to complete this form:

- 1) Select the MCO filling out the report in cell F11.
- 2) Select the DSRIP Year for this set of reports in cell F13.
- 3) Select the EIP Activities for each PPS (columns E-H).
- 4) Select the reporting and payment frequencies from the drop down menu for each PPS for the two programs (columns I, J, and L).

When this document is completed, please send it to DOH via the email address: DSRIP_SSP@health.ny.gov using the subject line 'Equity Payment Reports'

Select the MCO filling out the report: **Affinity Health Plan**

Select the DSRIP Year for this report: **DY3 (2017-18)**

PPS Group	EIP Activities				Equity Infrastructure Program (EIP)		Equity Performance Program (EPP)
	Activity 1 (select from list)	Activity 2 (select from list)	Activity 3 (select from list)	Activity 4 (select from list)	Reporting Frequency	Payment Frequency	Payment Frequency
Advocate Community Providers							
Bronx Health Access PPS							
Montefiore Hudson Valley Collaborative							
Mount Sinai LLC							
Nassau Queens PPS							
Bronx Partners for Healthy Communities							
Suffolk Care Collaborative							
The New York and Presbyterian Hospital							

Equity Infrastructure Program (EIP)		Equity Performance
Reporting Frequency	Payment Frequency	Payment Frequency
Quarterly	Quarterly	
		Monthly Quarterly

- The MCO will select the activities being completed under EIP for each of its paired PPS (shown left).
- The MCO will then fill out the selected reporting and payment frequencies for EIP, as well as the payment frequency for EPP, as specified in the contract (shown above).

PPS Group	EIP Activities				Re
	Activity 1 (select from list)	Activity 2 (select from list)	Activity 3 (select from list)	Activity 4 (select from list)	
Advocate Community Providers	Participation in IT TOM initiatives	Participation in expanded HH enrolment	Capital spending on primary / behavioral health integration		
Bronx Leabanon Hospital Center				Participation in IT TOM initiative	
Montefiore Hudson Valley Collaborative				Participation in one of the MAX EHR implementation investment	
Mount Sinai LLC				Capital spending on primary / behavioral health integration	
				Participation in a state recognized program	
				Participation in state efforts to	

EIP Activity Table MCO Completion

EIP Activity Table

The following report template should be used by MCOs to track and report their evaluation of EIP Activity achievement for their paired PPSs to DOH. In the case of PPSs not achieving EIP activities, this report should be used to explain the MCO's reasoning behind evaluating the PPSs' evidence as insufficient.

The MCO should perform the following steps to complete this form:

- 1) Select the MCO filling out the report in cell F11.
- 2) Select Demonstration Year in cell H12.
- 3) Select the quarter being reported in cell F13.
- 4) Select the EIP activities chosen by the PPS in the dark orange cells (columns E-H) for each PPS listed.
- 5) Identify whether the PPS achieved the activity in the reporting period under each of the selected EIP activities (columns C-I).
- 6) Explain all instances of PPS EIP activity non-achievement in the cell under 'Explanation for Activity Non-Achievement'.

When this document is completed, please send it to DOH via the email address: OSRIP_SSP@health.ny.gov using the subject line 'Equity Program Reports'

For recordkeeping purposes, please also send this completed document, along with a copy of all Activity evidence submitted by all paired PPS, to the Independent Assessor via IA@health.ny.gov.

Select the MCO filling out the report	Emblem Health					
EIP Demonstration Year	DY3 (Apr 2017-Mar 2018)					
Select Demonstration Year	Q1					
PPS 1	Activity 1 (select from list)	Activity 2 (select from list)	Activity 3 (select from list)	Activity 4 (select from list)	PPS Activity Achievement Count (out of 4)	Explanation for Activity Non-Achievement (if less than all 4 Activities were achieved)
NYU Lutheran Medical Center					0	
PPS 2	Activity 1 (select from list)	Activity 2 (select from list)	Activity 3 (select from list)	Activity 4 (select from list)	PPS Activity Achievement Count (out of 4)	Explanation for Activity Non-Achievement (if less than all 4 Activities were achieved)
Community Care of Brooklyn					0	
PPS 3	Activity 1 (select from list)	Activity 2 (select from list)	Activity 3 (select from list)	Activity 4 (select from list)	PPS Activity Achievement Count (out of 4)	Explanation for Activity Non-Achievement (if less than all 4 Activities were achieved)
Mount Sinai LLC					0	

		Activity Achieved?		
PPS 1	Activity 1 (select from list)	Capital spending on primary / behavioral health integration	Participation in one of the MAX Series projects	Participation in state efforts to end HIV/AIDS
Millennium Collaborative Care	Activity 1 (select from list) Participation in IT TOM initiatives			
PPS 2	Activity 1 (select from list) Participation in expanded HH EHR implementation investment Capital spending on primary / Participation in state efforts to	Activity 2 (select from list)	Activity 3 (select from list)	Activity 4 (select from list)

- MCO will select their name and the activities selected by each of their paired PPS.

Select the MCO filling out the report	Emblem Health			
EIP Demonstration Year	DY3 (Apr 2017-Mar 2018)			
Select Demonstration Year	Q1			
PPS 1	Participation in IT TOM initiatives	Participation in one of the MAX Series projects	Participation in expanded HH enrolment	Participation in expanded HH enrolment
NYU Lutheran Medical Center	Yes	No	Yes	Yes

- MCO will then mark whether participation in the activity occurred by the PPS.

EIP Activity Table (cont.)

MCO Completion

EIP Activity Table

The following report template should be used by MCOs to track and report their evaluation of EIP Activity achievement for their paired PPSs to DOH. In the case of PPSs not achieving EIP activities, this report should be used to explain the MCO's reasoning behind evaluating the PPSs' evidence as insufficient.

The MCO should perform the following steps to complete this form:

- 1) Select the MCO filling out the report in cell F11.
- 2) Select Demonstration Year in cell F12
- 3) Select the quarter being reported in cell F13.
- 4) Select the EIP activities chosen by the PPS in the dark orange cells (columns E - H) for each PPS listed.
- 5) Identify whether the PPS achieved the activity in the reporting period under each of the selected EIP activities (columns E - H).
- 6) Explain all instances of PPS EIP activity non-achievement in the cell under 'Explanation for Activity Non-Achievement'.

When this document is completed, please send it to DOH via the email address: DSRIP_SSP@health.ny.gov using the subject line 'Equity Program Reports'
For recordkeeping purposes, please also send this completed document, along with a copy of all Activity evidence submitted by all paired PPS, to the Independent Assessor via

Select the MCO filling out the report	Emblem Health
EIP Demonstration Year	DY'3 (Apr 2017-Mar 2018)
Select Demonstration Year	Q1

Activity Achieved?						PPS Activity Achievement Count (out of 4)	Explanation for Activity Non-Achievement (if less than all 4 Activities were achieved)
PPS1	Activity 1 (select from list)	Activity 2 (select from list)	Activity 3 (select from list)	Activity 4 (select from list)			
NYU Lutheran Medical Center					0		
Activity Achieved?						PPS Activity Achievement Count (out of 4)	Explanation for Activity Non-Achievement (if less than all 4 Activities were achieved)
PPS2	Activity 1 (select from list)	Activity 2 (select from list)	Activity 3 (select from list)	Activity 4 (select from list)			
Community Care of Brooklyn					0		
Activity Achieved?						PPS Activity Achievement Count (out of 4)	Explanation for Activity Non-Achievement (if less than all 4 Activities were achieved)
PPS3	Activity 1 (select from list)	Activity 2 (select from list)	Activity 3 (select from list)	Activity 4 (select from list)			
Mount Sinai LLC					0		

PPS Activity Achievement Count (out of 4)	Explanation for Activity Non-Achievement (if less than all 4 Activities were achieved)
3	

- The number of completed activities will be automatically calculated in the purple cell labeled 'PPS Activity Achievement Count'
- If this number is less than 4, the MCO must provide a narrative in the 'Explanation for Activity non-achievement' column (shown above).

EIP Payment Table MCO Completion

The following report template is to be used by MCOs to track EIP-related payments made to PPS. In the case of variance between DOH-MCO payment and subsequent MCO-PPS payment, this report should also be used to explain that variance.

The MCO should perform the following steps to complete this form:

- 1) Select the MCO filling out the report in cell G11.
- 2) Select the EIP Demonstration Year in which the EIP activities occurred G12.
- 3) Select the quarter being reported in cell G13.
- 4) List the total EIP dollar amount received by the MCO from the DOH for the DI under the 'Actual Revenue Received for the Reporting Period' [column H] for each PPS.
- 5) Select the EIP metrics chosen by the PPS in the dark orange cells [column I - N] for each PPS listed.
- 6) List the EIP payment amount paid out to the PPS for each measure selected for its EIP measures (Note: each metric in EIP is weighted equally at 1/4th of the total payment).
- 7) Explain any variance between the payments received from DOH and payments made to each PPS for the reporting period under the 'Explanation of Variance Between Revenue Received and Total Performance Payments'.

When this document is completed, please send it to DOH via the email address: DOH_PP@health.ny.gov using the subject line 'Equity Payment Reports'

EIP Payment Table										
The following report template is to be used by MCOs to track EIP-related payments made to PPS. In the case of variance between DOH-MCO payment and subsequent MCO-PPS payment, this report should also be used to explain that variance.										
The MCO should perform the following steps to complete this form:										
<ol style="list-style-type: none"> 1) Select the MCO filling out the report in cell G11. 2) Select the EIP Demonstration Year in which the EIP activities occurred G12. 3) Select the quarter being reported in cell G13. 4) List the total EIP dollar amount received by the MCO from the DOH for the DI under the 'Actual Revenue Received for the Reporting Period' [column H] for each PPS. 5) Select the EIP metrics chosen by the PPS in the dark orange cells [column I - N] for each PPS listed. 6) List the EIP payment amount paid out to the PPS for each measure selected for its EIP measures (Note: each metric in EIP is weighted equally at 1/4th of the total payment). 7) Explain any variance between the payments received from DOH and payments made to each PPS for the reporting period under the 'Explanation of Variance Between Revenue Received and Total Performance Payments'. 										
When this document is completed, please send it to DOH via the email address: DOH_PP@health.ny.gov using the subject line 'Equity Payment Reports'										
Select the MCO filling out the report		HealthFirst								
EIP Demonstration Year		DY1 (Apr 2015- Mar 2016)								
Select Reporting Period		Q1								
Revenue Received by the MCO										
PPS 1	Expected Revenue Received from the DOH	Actual Revenue Received for the Reporting Period	Expected/Actual Revenue Variance							
Advocate Community Providers	\$3,412,352.50									
Activity Payments to PPS										
PPS 1	Expected Revenue Received from the DOH	Actual Revenue Received for the Reporting Period	Expected/Actual Revenue Variance	Activity 1 (select from list)	Activity 2 (select from list)	Activity 3 (select from list)	Activity 4 (select from list)	PPS Activity Payment Total	Revenue/Activity Payment Variance	Explanation of Variance Between Revenue Received and Total Performance Payments
Advocate Community Providers	\$3,412,352.50							\$0.00	\$0.00	
Revenue Received by the MCO										
PPS 2	Expected Revenue Received from the DOH	Actual Revenue Received for the Reporting Period	Expected/Actual Revenue Variance							
Bronx Health Access PPS	\$707,000.00							\$0.00	\$0.00	
Activity Payments to PPS										
PPS 3	Expected Revenue Received from the DOH	Actual Revenue Received for the Reporting Period	Expected/Actual Revenue Variance	Activity 1 (select from list)	Activity 2 (select from list)	Activity 3 (select from list)	Activity 4 (select from list)	PPS Activity Payment Total	Revenue/Activity Payment Variance	Explanation of Variance Between Revenue Received and Total Performance Payments
NYU Lutheran Medical Center	\$249,223.75							\$0.00	\$0.00	
Revenue Received by the MCO										
PPS 4	Expected Revenue Received from the DOH	Actual Revenue Received for the Reporting Period	Expected/Actual Revenue Variance							
Community Care of the Hudson	\$1,395,444.50							\$0.00	\$0.00	

Select the MCO filling out the report	HealthFirst		
EIP Demonstration Year	DY1 (Apr 2015- Mar 2016)		
Select Reporting Period	Q1		
Revenue Received by the MCO			
PPS 1	Expected Revenue Received from the DOH	Actual Revenue Received for the Reporting Period	Expected/Actual Revenue Variance
Advocate Community Providers	\$3,412,352.50		

- The report documents expected and actual revenue received by an MCO for the reporting period as well as the amount paid to each of their paired PPS.
- MCO will select their name, EIP demonstration year, and relevant quarter/reporting period. The PPS list and expected revenue will be updated automatically based on the MCO selected.
- MCO will input the actual revenue received for the reporting period selected.

EIP Payment Table (cont.)

MCO Completion

EIP Payment Table

The following report template is to be used by MCOs to track EIP-related payments made to PPS. In the case of variance between DOH/MCO payment and subsequent MCO/PPS payment, this report should also be used to explain that variance.

The MCO should perform the following steps to complete this form:

- 1) Select the MCO filling out the report in cell G11.
- 2) Select the EIP Demonstration Year in which the EIP activities occurred G12.
- 3) Select the quarter being reported in cell G13.
- 4) List the total EIP dollar amount received by the MCO from the DOH for the DY under the 'Actual Revenue Received for the Reporting Period' (column H) for each PPS.
- 5) Select the EIP metrics chosen by the PPS in the dark orange cells (columns I - N) for each PPS listed.
- 6) List the EIP payment amount paid out to the PPS for each measure selected for its EIP measures (Note: each metric in EIP is weighted equally at 1/4th of the total payment).
- 7) Explain any variance between the payments received from DOH and payments made to each PPS for the reporting period under the 'Explanation of Variance Between Revenue Received and Total Performance Payments'.

When this document is completed, please send it to DOI via the email address: DOIIP_50P@health.ny.gov using the subject line: 'Equity Payment Reports'

PPS	Expected Revenue Received from the DOH	Actual Revenue Received for the Reporting Period	Expected/Actual Revenue Variance	Activity 1 (select from list)	Activity 2 (select from list)	Activity 3 (select from list)	Activity 4 (select from list)	PPS Activity Payment Total	Revenue/Activity Payment Variance	Explanation of Variance Between Revenue Received and Total Performance Payments
Adelphi Community Providers	\$342,352.50							\$0.00	\$0.00	
Bronx Health Access PPS	\$707,000.00							\$0.00	\$0.00	
NIU Lutheran Medical Center	\$249,223.75							\$0.00	\$0.00	
Community Care of	\$135,444.50							\$0.00	\$0.00	

Activity Payments			
Activity 1 (select from list)	Participation in one of the MAX Series projects	EHR implementation investment	Capital spending on primary / behavioral health integration
Activity 1 (select from list)	Participation in IT TOM initiatives	\$75,844.33	\$39,659.00
Activity 2 (select from list)	Activity 3 (select from list)	Activity 4 (select from list)	

- The MCO will select the activities being completed by the PPS in the orange-shaded cells as shown above.
- Underneath each activity, the MCO will enter the total dollars paid out for demonstration of activity participation.

EIP Payment Table (cont.) MCO Completion

EIP Payment Table

The following report template is to be used by MCOs to track EIP-related payments made to PPS. In the case of variance between DOH-MCO payment and subsequent MCO-PPS payment, this report should also be used to explain that variance.

The MCO should perform the following steps to complete this form:

- 1) Select the MCO filling out the report in cell G11.
- 2) Select the EIP Demonstration Year in which the EIP activities occurred G12.
- 3) Select the quarter being reported in cell G13.
- 4) List the total EIP dollar amount received by the MCO from the DOH for the DI under the 'Actual Revenue Received for the Reporting Period' [column H] for each PPS.
- 5) Select the EIP metrics chosen by the PPS in the dark orange cells [columns I - N] for each PPS listed.
- 6) List the EIP payment amount paid out to the PPS for each measure selected for its EIP measures (Note: each metric in EIP is weighted equally at 1/4th of the total payment).
- 7) Explain any variance between the payments received from DOH and payments made to each PPS for the reporting period under the 'Explanation of Variance Between Revenue Received and Total Performance Payments'.

When this document is completed, please send it to DOI via the email address: DOIIP_SOP@health.ny.gov using the subject line: 'Equity Payment Reports'

PPS1	Expected Revenue Received from the DOH	Actual Revenue Received for the Reporting Period	Expected/Actual Revenue Variance	Activity 1 (select from list)	Activity 2 (select from list)	Activity 3 (select from list)	Activity 4 (select from list)	PPS Activity Payment Total	Revenue/Activity Payment Variance	Explanation of Variance Between Revenue Received and Total Performance Payments
Advocate Community Providers	\$1,412,352.50							\$0.00	\$0.00	
PPS2	Expected Revenue Received from the DOH	Actual Revenue Received for the Reporting Period	Expected/Actual Revenue Variance	Activity 1 (select from list)	Activity 2 (select from list)	Activity 3 (select from list)	Activity 4 (select from list)	PPS Activity Payment Total	Revenue/Activity Payment Variance	Explanation of Variance Between Revenue Received and Total Performance Payments
Bronx Health Access PPS	\$707,000.00							\$0.00	\$0.00	
PPS3	Expected Revenue Received from the DOH	Actual Revenue Received for the Reporting Period	Expected/Actual Revenue Variance	Activity 1 (select from list)	Activity 2 (select from list)	Activity 3 (select from list)	Activity 4 (select from list)	PPS Activity Payment Total	Revenue/Activity Payment Variance	Explanation of Variance Between Revenue Received and Total Performance Payments
NYU Lutheran Medical Center	\$249,222.75							\$0.00	\$0.00	
PPS4	Expected Revenue Received from the DOH	Actual Revenue Received for the Reporting Period	Expected/Actual Revenue Variance	Activity 1 (select from list)	Activity 2 (select from list)	Activity 3 (select from list)	Activity 4 (select from list)	PPS Activity Payment Total	Revenue/Activity Payment Variance	Explanation of Variance Between Revenue Received and Total Performance Payments
Community Care of the Hudson Valley	\$1,395,444.50							\$0.00	\$0.00	

PPS Activity Payment Total	Revenue/Activity Payment Variance	Explanation of Variance Between Revenue Received and Total Performance Payments
\$198,068.75	\$0.00	

- The cell under 'PPS Activity Payment Total' will sum all payments made to the PPS automatically.
- Any variance between the 'PPS Activity Payment Total' and the 'Actual Revenue Received for the Reporting Period' will be recorded in the 'Revenue/Activity Payment Variance' cell automatically.
- An explanation will be needed if any variance exists between these two amounts.

EPP Payment Table MCO Completion

EPP Payment Details

The following report template could be used by MCOs to track their EPP-related payments made to PPSs. In the case of variance between DOH-MCO payment and subsequent MCO-PPS payment, this report could also be used to explain that variance.

The MCO should perform the following steps to complete this form:

- 1) Select the MCO filling out the report in cell G11.
- 2) Select the EPP Demonstration Year for which EPP Payments were made in Cell G12. For example, An MCO should select DY1(2016-2017) for EPP payments made in April - June of 2017.
- 3) Select the quarter being reported in cell G13.
- 4) List the total EPP dollar amount received by the MCO from the DOH for the DY under the MCO Actual Revenue Received for the Reporting Period (column G) for each PPS.
- 5) Select the EPP metrics chosen by the PPS in the dark orange cells (columns I - N) for each PPS listed.
- 6) List the EPP payment amount paid out to the PPS for each measure selected for its EPP measures (Note: each metric in EPP is weighted equally at 1/6th of the total payment).
- 7) Explain any variance between the payments received from DOH and payments made to each PPS for the reporting period under the Explanation of Variance Between Revenue Received and Total Performance Payments.

When this document is completed, please send it to DOH via the email address: DSRIP_SSP@health.ny.gov using the subject line 'Equity Payment Reports'

Revenue Received by the MCO		Performance Payments to PPS						PPS Performance Payment Variance		Explanation of Variance Between Revenue Received and Total Performance Payments		
PPS 1	Expected Revenue Total	Actual Revenue Received for the Reporting Period	Expected/Actual Revenue Variance	Metric 1 (select from list)	Metric 2 (select from list)	Metric 3 (select from list)	Metric 4 (select from list)	Metric 5 (select from list)	Metric 6 (select from list)			
NYU Lutheran Medical Center	\$37,304.75									\$0.00	\$0.00	
PPS 2	MCO Expected Revenue Total	MCO Actual Revenue Received for the Reporting Period	Expected/Actual Revenue Variance	Metric 1 (select from list)	Metric 2 (select from list)	Metric 3 (select from list)	Metric 4 (select from list)	Metric 5 (select from list)	Metric 6 (select from list)	PPS Performance Payment Total	Revised Performance Payment Variance	Explanation of Variance Between Revenue Received and Total Performance Payments
Community Care of Brooklyn	\$386,708.00									\$0.00	\$0.00	
PPS 3	MCO Expected Revenue Total	MCO Actual Revenue Received for the Reporting Period	Expected/Actual Revenue Variance	Metric 1 (select from list)	Metric 2 (select from list)	Metric 3 (select from list)	Metric 4 (select from list)	Metric 5 (select from list)	Metric 6 (select from list)	PPS Performance Payment Total	Revised Performance Payment Variance	Explanation of Variance Between Revenue Received and Total Performance Payments
Mear Deal LLC	\$733,654.50									\$0.00	\$0.00	
PPS 4	MCO Expected Revenue Total	MCO Actual Revenue Received for the Reporting Period	Expected/Actual Revenue Variance	Metric 1 (select from list)	Metric 2 (select from list)	Metric 3 (select from list)	Metric 4 (select from list)	Metric 5 (select from list)	Metric 6 (select from list)	PPS Performance Payment Total	Revised Performance Payment Variance	Explanation of Variance Between Revenue Received and Total Performance Payments
Marrow Oneand PPS	\$244,986.50									\$0.00	\$0.00	

Select the MCO filling out the report	Emblem Health		
EPP Demonstration Year	DY1 (Apr 2016-May 2017)		
Select Reporting Period	Q1		
	Revenue Received by the MCO		
PPS 1	Expected Revenue Total	Actual Revenue Received for the Reporting Period	Expected/Actual Revenue Variance
NYU Lutheran Medical Center	\$37,304.75		

- The report documents expected and actual revenue received by an MCO for the reporting period as well as the amount paid to each of their paired PPS.
- MCO will select name, EPP demonstration year, and relevant reporting period. The PPS list and expected revenue will be updated automatically based on the MCO selected.
- MCO will input actual revenue received for the reporting period selected.

EPP Payment Table (cont.) MCO Completion

EPP Payment Details

The following report template could be used by MCOs to track their EPP-related payments made to PPSs. In the case of variance between DDH/MCO payment and subsequent MCO-PPS payment, this report could also be used to explain that variance.

The MCO should perform the following steps to complete this form:

- 1) Select the MCO filling out the report in cell G11.
- 2) Select the EPP Demonstration Year for which EPP Payments were made in cell G12. For example, An MCO should select 'D1/1(2016-2017)' for EPP payments made in April - June of 2017.
- 3) Select the quarter being reported in cell G13.
- 4) List the total EPP dollar amount received by the MCO from the DDH for the 'D' under the 'MCO Actual Revenue Received for the Reporting Period' (column G) for each PPS.
- 5) Select the EPP metrics chosen by the PPS in the dark orange cells (columns I - N) for each PPS listed.
- 6) List the EPP payment amount paid out to the PPS for each measure selected for its EPP measures (Note: each metric in EPP is weighted equally at 16th of the total payment).
- 7) Explain any variance between the payments received from DDH and payments made to each PPS for the reporting period under the 'Explanation of Variance Between Revenue Received and Total Performance Payments'.

When this document is completed, please send it to DDH via the email address: DSRP_SSP@health.ny.gov using the subject line 'Equity Payment Reports'

Select the MCO filling out the report: **Emblem Health**
 EPP Demonstration Year: **D1/1(Apr 2016-May 2017)**
 Select Reporting Period: **Q1**

PPS	Revenue Received by the MCO			Performance Payments to PPS						PPS Performance Payment Total	Increased Performance Payment Variance	Explanation of Variance Between Revenue Received and Total Performance Payments
	MCO Expected Revenue Total	MCO Actual Revenue Received for the Reporting Period	Expected/Actual Revenue Variance	Metric 1 (select from list)	Metric 2 (select from list)	Metric 3 (select from list)	Metric 4 (select from list)	Metric 5 (select from list)	Metric 6 (select from list)			
NYU Lutheran Medical Center	\$17,504.75									\$0.00	\$0.00	
PPS 2	MCO Expected Revenue Total	MCO Actual Revenue Received for the Reporting Period	Expected/Actual Revenue Variance	Metric 1 (select from list)	Metric 2 (select from list)	Metric 3 (select from list)	Metric 4 (select from list)	Metric 5 (select from list)	Metric 6 (select from list)	PPS Performance Payment Total	Increased Performance Payment Variance	Explanation of Variance Between Revenue Received and Total Performance Payments
Community Care of Brooklyn	\$386,708.00									\$0.00	\$0.00	
PPS 3	MCO Expected Revenue Total	MCO Actual Revenue Received for the Reporting Period	Expected/Actual Revenue Variance	Metric 1 (select from list)	Metric 2 (select from list)	Metric 3 (select from list)	Metric 4 (select from list)	Metric 5 (select from list)	Metric 6 (select from list)	PPS Performance Payment Total	Increased Performance Payment Variance	Explanation of Variance Between Revenue Received and Total Performance Payments
Moor Glas LLC	\$739,454.50									\$0.00	\$0.00	
PPS 4	MCO Expected Revenue Total	MCO Actual Revenue Received for the Reporting Period	Expected/Actual Revenue Variance	Metric 1 (select from list)	Metric 2 (select from list)	Metric 3 (select from list)	Metric 4 (select from list)	Metric 5 (select from list)	Metric 6 (select from list)	PPS Performance Payment Total	Increased Performance Payment Variance	Explanation of Variance Between Revenue Received and Total Performance Payments
Maxcare Oncare PPS	\$244,156.50									\$0.00	\$0.00	

Performance Payments					
Children's Access to Primary Care - 25 months to 6 years	Children's Access to Primary Care - 7 to 11 years	Prenatal and Postpartum Care - Postpartum Visits	Comprehensive Diabetes Care	Lead Screening in Children	Follow-up on Alcohol and Other Drug Dependence Treatment (IET) within 30 days of initial engagement
\$402,145.00	\$500,087.20	\$250,300.00	\$175,000.30	\$135,000.00	Diabetes screening for p Adherence to anti-psych Behavioral Health - foll Initiation and Engagem Follow-up on Alcohol an
Performance Payments					
Metric 1 (select from list)	Metric 2 (select from list)	Metric 3 (select from list)	Metric 4 (select from list)	Metric 5 (select from list)	
Performance Payments					

- The MCO will enter the selected metrics for each PPS in the orange-shaded cells as shown above.
- Underneath each metric, the MCO will enter the total dollars paid out for the PPS meeting performance measurement criteria.

EPP Payment Table (cont.) MCO Completion

EPP Payment Details

The following report template could be used by MCOs to track their EPP-related payments made to PPSs. In the case of variance between DOH-MCO payment and subsequent MCO-PPS payment, this report could also be used to explain that variance.

The MCO should perform the following steps to complete this form:

- 1) Select the MCO filling out the report in cell G11.
- 2) Select the EPP Demonstration Year for which EPP Payments were made in Cell G12. For example, An MCO should select 'D1' (2016-2017) for EPP payments made in April - June of 2017.
- 3) Select the quarter being reported in cell G13.
- 4) List the total EPP dollar amount received by the MCO from the DOH for the D1 under the MCO Actual Revenue Received for the Reporting Period (column G) for each PPS.
- 5) Select the EPP metrics chosen by the PPS in the dark orange cells (columns I - N) for each PPS listed.
- 6) List the EPP payment amount paid out to the PPS for each measure selected for its EPP measures (Note: each metric in EPP is weighted equally at 1/6th of the total payment).
- 7) Explain any variance between the payments received from DOH and payments made to each PPS for the reporting period under the 'Explanation of Variance Between Revenue Received and Total Performance Payments'.

When this document is completed, please send it to DOH via the email address: DSPP_SSP@health.ny.gov using the subject line: 'Equity Payment Reports'

Select the MCO filling out the report	Emblem Health
EPP Demonstration Year	D1 (Apr 2016-May 2017)
Select Reporting Period	Q1

PPS ID	Revenue Received by the MCO			Performance Payments to PPS						PPS Performance Payment Total	Revenue Performance Payment Variance	Explanation of Variance Between Revenue Received and Total Performance Payments
	Expected Revenue Total	Actual Revenue Received for the Reporting Period	Expected/Actual Revenue Variance	Metric 1 (select from list)	Metric 2 (select from list)	Metric 3 (select from list)	Metric 4 (select from list)	Metric 5 (select from list)	Metric 6 (select from list)			
PP1	Expected Revenue Total	Actual Revenue Received for the Reporting Period	Expected/Actual Revenue Variance	Metric 1 (select from list)	Metric 2 (select from list)	Metric 3 (select from list)	Metric 4 (select from list)	Metric 5 (select from list)	Metric 6 (select from list)	PPS Performance Payment Total	Revenue Performance Payment Variance	Explanation of Variance Between Revenue Received and Total Performance Payments
NYU Lutheran Medical Center	\$17,304.70									\$0.00	\$0.00	
PP2	MCO Expected Revenue Total	MCO Actual Revenue Received for the Reporting Period	Expected/Actual Revenue Variance	Metric 1 (select from list)	Metric 2 (select from list)	Metric 3 (select from list)	Metric 4 (select from list)	Metric 5 (select from list)	Metric 6 (select from list)	PPS Performance Payment Total	Revenue Performance Payment Variance	Explanation of Variance Between Revenue Received and Total Performance Payments
Conemaugh Care of Brookline	\$386,769.00									\$0.00	\$0.00	
PP3	MCO Expected Revenue Total	MCO Actual Revenue Received for the Reporting Period	Expected/Actual Revenue Variance	Metric 1 (select from list)	Metric 2 (select from list)	Metric 3 (select from list)	Metric 4 (select from list)	Metric 5 (select from list)	Metric 6 (select from list)	PPS Performance Payment Total	Revenue Performance Payment Variance	Explanation of Variance Between Revenue Received and Total Performance Payments
Mount Sinai LLC	\$709,654.50									\$0.00	\$0.00	
PP4	MCO Expected Revenue Total	MCO Actual Revenue Received for the Reporting Period	Expected/Actual Revenue Variance	Metric 1 (select from list)	Metric 2 (select from list)	Metric 3 (select from list)	Metric 4 (select from list)	Metric 5 (select from list)	Metric 6 (select from list)	PPS Performance Payment Total	Revenue Performance Payment Variance	Explanation of Variance Between Revenue Received and Total Performance Payments
Warren Oncare PPS	\$244,196.50									\$0.00	\$0.00	

PPS Performance Payment Total	Revenue Performance Payment Variance	Explanation of Variance Between Revenue Received and Total Performance Payments
\$1,462,532.50	\$125,006.00	PPS did not meet the performance goal for metric 6

- The cell under 'PPS Performance Payment Total' will sum all payments made to the PPS automatically.
- Any variance between the 'PPS Performance Payment Total' and the 'Actual Revenue Received for the Reporting Period' will be recorded in the 'Revenue/Performance Payment Variance' cell automatically.
- An explanation will be needed if any variance exists between these two amounts.

EIP Reporting Table

PPS Completion - Optional

PPS EIP Activity Details

The following report template could be used by PPSs to track and report their EIP Activities for a given reporting period to their paired MCOs. This report would serve as a 'cover page' of a report package that would also include all of the supporting documentation that would be used to prove that EIP Activities took place.

In order to complete the form, the PPS should perform the following steps:

- 1) Select the PPS filling out the report in cell G11.
- 2) Select the four EIP activities the PPS participated in for the period in Column F.
- 3) Columns G and H will give the PPS space to report to the MCOs on whether each Activity was participated in over the reporting period and the name of the accompanying supporting documentation
- 4) Report expenditures made towards participation in each selected EIP Activity in the reporting period in Column J. (Note: there is no expectation that EIP expenses should match EIP payments from the MCO. Additionally, only certain Activities require financial investment as part of their participation, as can be found in the EIP Activity Guide)

When this document is completed, please send it to your paired MCO for review.

Select the PPS filling out the report		Advocate Community Providers		
EIP Activities	Select chosen Activities (must select 4)	Did participation in selected Activity occur?	Documentation	Expense Amount related to Activity participation (Only if applicable to the Activity)
Participation in IT TOM initiatives				
Participation in one of the MAX Series projects				
Participation in expanded HH enrollment				
EHR implementation investment				
Capital spending on primary / behavioral health integration				
Participation in a state recognized tobacco cessation program				
Participation in state efforts to end HIV/AIDS				
Participation in fraud deterrence and surveillance activities				
Infrastructure spending related to SHIN-NY / RHIO				
	<i>Must Select 4</i>			
TOTAL				\$0.00

Select the PPS filling out the report		Advocate Community Providers		
EIP Activities	Select chosen Activities (must select 4)			
Participation in IT TOM initiatives				
Participation in one of the MAX Series projects				

Advocate Community Providers

- Bronx-Lebanon Hospital Center
- Central New York Care Collaborative
- Lutheran Medical Center
- Maimonides Medical Center
- Millennium Collaborative Care
- Montefiore Hudson Valley Collaborative
- Mount Sinai Hospitals Group

- This report can be used by a PPS to report their paired MCOs on EIP progress.
- Frequency and format of EIP progress reports must be agreed upon with the paired MCO.
- The PPS filling out the table will select their PPS from the dropdown menu as shown in the image above.

EIP Reporting Table (cont.)

PPS Completion - Optional

PPS EIP Activity Details

The following report template could be used by PPSs to track and report their EIP Activities for a given reporting period to their paired MCOs. This report would serve as a 'cover page' of a report package that would also include all of the supporting documentation that would be used to prove that EIP Activities took place.

In order to complete the form, the PPS should perform the following steps:

- 1) Select the PPS filling out the report in cell G11.
- 2) Select the four EIP activities the PPS participated in for the period in Column F.
- 3) Columns G and H will give the PPS space to report to the MCOs on whether each Activity was participated in over the reporting period and the name of the accompanying supporting documentation
- 4) Report expenditures made towards participation in each selected EIP Activity in the reporting period in Column J. (Note: there is no expectation that EIP expenses should match EIP payments from the MCO. Additionally, only certain Activities require financial investment as part of their participation, as can be found in the EIP Activity Guide)

When this document is completed, please send it to your paired MCO for review.

Select the PPS filling out the report: **Advocate Community Providers**

EIP Activities	Select chosen Activities (must select 4)	Did participation in selected Activity occur?	if 'Yes,' write out the title of the attached documentation supporting this claim this below. If 'no' provide a brief explanation below.	Expense Amount related to Activity participation (Only if applicable to the Activity)
Participation in IT TOM initiatives				
Participation in one of the MAX Series projects				
Participation in expanded HH enrollment				
EHR implementation investment				
Capital spending on primary / behavioral health integration				
Participation in a state recognized tobacco cessation program				
Participation in state efforts to end HIV/AIDS				
Participation in fraud deterrence and surveillance activities				
Infrastructure spending related to SHIN-NY / RHIO				
	<i>Must Select 4</i>			
TOTAL				\$0.00

- PPS will mark 4 activities as selected as shown in the image.
 - 4 activities must be marked 'selected' or an error message will remain underneath the column.
- The third column will be used to mark whether participation in the selected activity occurred.
- If participation did occur, the title of the appropriate attachment will be entered in column 4.
- List expenditures in column 5 (if applicable)

EP Reporting

- PPS and MCOs should send reports (and supporting documentation) to the appropriate email address noted below
 - EP Reporting Tables can be found on the EP website at https://www.health.ny.gov/health_care/medicaid/redesign/dsrip/vbp_initiatives/supplemental_programs.htm
 - EP Reporting Guidance can be found on the EP website at https://www.health.ny.gov/health_care/medicaid/redesign/dsrip/vbp_initiatives/reporting/docs/ep_rpt_guidance.pdf
- The IA is scheduled to release EPP DY2 results by June 29, 2017

Report	Completed by	Submitted to	Frequency	Location
EP Contract Modifications	PPS & MCO	IA & DOH	Annually, as Needed	dsrip_ia@pcgus.com dsrip_ssp@health.ny.gov
EP Reporting and Payment Frequency Table	MCO	IA & DOH	Annually, March 31st	dsrip_ia@pcgus.com dsrip_ssp@health.ny.gov
EIP Activity Table	MCO	IA & DOH	Quarterly	dsrip_ia@pcgus.com dsrip_ssp@health.ny.gov
EIP Payment Table	MCO	IA & DOH	Quarterly	dsrip_ia@pcgus.com dsrip_ssp@health.ny.gov
EPP Payment Table	MCO	IA & DOH	Quarterly	dsrip_ia@pcgus.com dsrip_ssp@health.ny.gov
Supporting Documentation for EIP Activity participation/PPS EIP Reporting Table	PPS	MCOs	Based on EP Contracts	MCO contact emails
Supporting Documentation for EIP Activity participation	MCO (reviewed by MCO after being sent by PPS)	IA	Based on EP Contracts	dsrip_ia@pcgus.com
MMCOR Submission	MCO	DOH	Quarterly	Health Commerce System

Reporting Reconciliation Documentation

- MCO Reporting has not been consistent with EP program guidance
- DOH will allow 1 summary report which typically are required quarterly (i.e. EPP payment table)
- MCOs must submit all documents by no later than **June 30, 2017**

Report	Reporting Period	Completed by	Submitted to	Location
EP Reporting and Payment Frequency Table (slides 15 & 16)	DY2 (Apr 2016 – Mar 2017) & DY3 (Apr 2017 – Mar 2018)	MCO	IA & DOH	dsrip_ia@pcgus.com dsrip_ssp@health.ny.gov
EIP Activity Table (slides 17 & 18)	DY2 (Apr 2016 – Mar 2017)	MCO	IA & DOH	dsrip_ia@pcgus.com dsrip_ssp@health.ny.gov
EIP Payment Table (slides 19 - 21)	DY2 (Apr 2016 – Mar 2017)	MCO	IA & DOH	dsrip_ia@pcgus.com dsrip_ssp@health.ny.gov
EPP Payment Table (slides 22 – 24)	DY1 (Apr 2016 – Mar 2017)	MCO	IA & DOH	dsrip_ia@pcgus.com dsrip_ssp@health.ny.gov

MMCOR Reporting

Overview of MMCOR reporting tables

MMCOR Reporting Guidance for MCOs

MMCOR reports will be used to evaluate performance in the EPs. MCOs should take note of the following within the MMCOR reports:

- Tables 6, 7-1, 7-2, 7-3, 7-4:
 - All Supplemental Program revenue will be reported within the capitation line using the PMPM rate provided on your plan's Schedule B. The accrued amounts passed on to the PPS groups are to be entered in the appropriate lines within the medical revenue section of the worksheet.
- Tables 19, 19A, 19B, 19C:
 - These tables are stand alone, Statewide only tables. They are to be completed on a year-to-date actual cash in/cash out basis. They are not tied to any other table within the report.
- Table 22A:
 - Administrative costs are to be accrued and entered in the appropriate lines on Table 22A.
 - The revenue amounts you receive are manually removed from your total premium when we are calculating your plan's contingent reserve amount. The funding you receive for the Supplemental Programs does not affect your contingent reserve or your escrow amount.

MMCOR Reporting Timeframes

Quarter	Timeline	Report Due
1	January 1 – March 31	May 15
2	January 1 – June 30	August 15
3	January 1 – September 30	November 15
4 (Annual)	January 1 – December 31	April 1 of following year

- Reporting in MMCOR and EP reporting to the IA is important as there will be a reconciliation to determine recoupment of unearned EP funds that will flow into the Additional High Performance Program (AHPP) in the next DY.
- For questions on MMCOR reporting, please contact bmcfhhelp@health.ny.gov

Next Steps and Open Forum

- Moving forward webinars will be scheduled **QUARTERLY**
 - The next EP webinar is scheduled for **June 28th, 2017** from 12pm – 1pm.
- For any further questions, please contact the Supplemental Programs inbox: dsrip_ssp@health.ny.gov.
- The remainder of this webinar is reserved for an open forum.

Thank you for your continued support with EP!