

HCBS Spending Plan For Implementation of American Rescue Plan Act

All Figures are in Millions

FFY23 Q3 Update

NYS estimate of funds attributable to the increase in FMAP that the state has claimed and/or anticipates claiming between April 1, 2021, and March 31, 2022. All dollar figures are in millions.					
Estimates based on proposed spend plan total projections					
Program	FFY21Q3 04/01/21-06/30/21	FFY21Q4 07/01/21-09/30/21	FFY22Q1 10/01/21-12/31/21	FFY22Q2 01/01/22-03/31/22	TOTAL
HCBS (Managed Care)*	\$4,366	\$4,113	\$3,954	\$4,026	\$16,459
HCBS (All 1915c Waivers)**	\$1,778	\$1,706	\$1,942	\$2,386	\$7,812
Private Duty Nursing	\$29	\$29	\$30	\$28	\$115
Rehabilitation Services	\$154	\$148	\$173	\$206	\$679
Personal Care Services†	\$179	\$178	\$182	\$197	\$737
Home Health Services†	\$149	\$134	\$137	\$142	\$562
PACE††	\$87	\$90	\$88	\$99	\$363
Case Management†	\$8	\$9	\$9	\$9	\$35
TOTAL (in millions)	\$6,749	\$6,407	\$6,514	\$7,092	\$26,761

All spending are actuals and subject to retrospective update upon future submissions or feedback from CMS on methodology.

Footnotes

* Includes Managed Care & Managed Long Term Care (MLTC) HCBS spend; excluding PACE which is lined out separately below.

** Includes non-DOH/Other State Agency (OSA) Fee-for-Service (FFS) spend and actuals pulled from the CMS 64 report spanning 4/1/21 through 3/31/22.

† These are FFS programs and actual spending is pulled from the CMS 64 report spanning 4/1/21 through 3/31/22.

†† This is an MLTC Managed Care program and actual spending is pulled from the CMS 64 report spanning 4/1/21 through 3/31/22.

Note: The expenditure values in the summary table above do not include anticipated HCBS spend resulting from re-investment of funding on HCBS eFMAP spending plan proposals.

Comparison to Projection in FFY23 Q2 Spending Plan

Shared with CMS in October 2022

FFY23 Q2	Dollar Difference	% Difference
\$16,489	(\$30)	-0.2%
\$7,817	(\$5)	-0.1%
\$122	(\$7)	-5.7%
\$615	\$64	10.5%
\$737	\$0	0.0%
\$562	\$0	0.0%
\$363	\$0	0.0%
\$35	\$0	0.0%
\$26,739	\$22	0.1%

Comparison to eFMAP Projection in FFY23 Q2 Spending Plan

Shared with CMS in October 2022

FFY23 Q3 Projection (~10% eFMAP)	FFY23 Q2 Projection (10% eFMAP)	Dollar Difference	% Difference
\$1,566	\$1,569	(\$3)	-0.2%
\$778	\$778	(\$0)	-0.1%
\$12	\$12	(\$1)	-5.8%
\$63	\$57	\$6	10.5%
\$73	\$73	\$0	0.0%
\$56	\$56	\$0	0.0%
\$36	\$36	\$0	0.0%
\$3	\$3	\$0	0.0%
\$2,587.8	\$2,586.0	\$1.8	0.1%

HCBS Spending Plan for Implementation of American Rescue Plan Act (IRA Investments)										Spending Program by Activity (IRA)										Spending by Federal Fiscal Quarter																										
Category	Proposed Name	Lead Agency	Description	SET Funding (by completion)	FTE	Costs	Set %	Estimate to Completion	Total Spent to Date (Actual Spent)	FY2023 (Est)		FY2024 (Est)		FY2025 (Est)		FY2026 (Est)		FY2027 (Est)		FY2028 (Est)		FY2029 (Est)		FY2030 (Est)		FY2031 (Est)		FY2032 (Est)		FY2033 (Est)		FY2034 (Est)		FY2035 (Est)		FY2036 (Est)		FY2037 (Est)		FY2038 (Est)		FY2039 (Est)		FY2040 (Est)		
										2023	2024	2025	2026	2027	2028	2029	2030	2031	2032	2033	2034	2035	2036	2037	2038	2039	2040	2041	2042	2043	2044	2045	2046	2047	2048	2049	2050	2051	2052	2053	2054	2055	2056	2057	2058	2059
Support & Strengthening the Direct Care Workforce	Transform the Long-Term Care Workforce and Advance Value-Based Payment	DOH	Directed Payment	\$	143,000	\$	214,000	48%	\$	143,000	\$	214,000	\$	214,000	\$	214,000	\$	214,000	\$	214,000	\$	214,000	\$	214,000	\$	214,000	\$	214,000	\$	214,000	\$	214,000	\$	214,000	\$	214,000	\$	214,000	\$	214,000	\$	214,000	\$	214,000	\$	214,000
Support & Strengthening the Direct Care Workforce	Improve the QMS Workforce	OPWDD	1815-11 Waiver Amendment, Appendix A	\$	188,141	\$	1,309,072	57%	\$	188,141	\$	1,309,072	\$	1,309,072	\$	1,309,072	\$	1,309,072	\$	1,309,072	\$	1,309,072	\$	1,309,072	\$	1,309,072	\$	1,309,072	\$	1,309,072	\$	1,309,072	\$	1,309,072	\$	1,309,072	\$	1,309,072	\$	1,309,072	\$	1,309,072	\$	1,309,072	\$	1,309,072
Support & Strengthening the Direct Care Workforce	Workforce Transportation Initiative	DOH	N/A	\$	30,000	\$	-	100%	\$	30,000	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
Support & Strengthening the Direct Care Workforce	Improve the DMH Workforce	DMH	Directed Payment & State Plan Amendment	\$	47,240	\$	15,074	46%	\$	47,240	\$	15,074	\$	15,074	\$	15,074	\$	15,074	\$	15,074	\$	15,074	\$	15,074	\$	15,074	\$	15,074	\$	15,074	\$	15,074	\$	15,074	\$	15,074	\$	15,074	\$	15,074	\$	15,074	\$	15,074	\$	15,074
Support & Strengthening the Direct Care Workforce	Improve the OASAS Workforce	OMAS	State Plan Amendment	\$	5,600	\$	116,121	46%	\$	5,600	\$	116,121	\$	116,121	\$	116,121	\$	116,121	\$	116,121	\$	116,121	\$	116,121	\$	116,121	\$	116,121	\$	116,121	\$	116,121	\$	116,121	\$	116,121	\$	116,121	\$	116,121	\$	116,121	\$	116,121	\$	116,121
Support & Strengthening the Direct Care Workforce	Increase Medicaid Reimbursement Rates for DMH	DMH	State Plan Amendment	\$	8,240	\$	9,000	47%	\$	8,240	\$	9,000	\$	9,000	\$	9,000	\$	9,000	\$	9,000	\$	9,000	\$	9,000	\$	9,000	\$	9,000	\$	9,000	\$	9,000	\$	9,000	\$	9,000	\$	9,000	\$	9,000	\$	9,000	\$	9,000	\$	9,000
Support & Strengthening the Direct Care Workforce	Enhance the Children's Services Workforce	DOH	Directed Payment	\$	4,740	\$	7,840	47%	\$	4,740	\$	7,840	\$	7,840	\$	7,840	\$	7,840	\$	7,840	\$	7,840	\$	7,840	\$	7,840	\$	7,840	\$	7,840	\$	7,840	\$	7,840	\$	7,840	\$	7,840	\$	7,840	\$	7,840	\$	7,840	\$	7,840
Support & Strengthening the Direct Care Workforce	Expand Training and Implementation Support for Evidence-Based Practices (EBPs)	DMH	N/A	\$	8,000	\$	-	100%	\$	8,000	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-		
Support & Strengthening the Direct Care Workforce	Expand Recruitment and Retention of Cultural Competency, Cultural Safety Progress and Diversity Personnel	DMH	N/A	\$	4,000	\$	-	100%	\$	4,000	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-		
Support & Strengthening the Direct Care Workforce	Expand Certified and Credentialed Peer Capacity	DMH	N/A	\$	4,000	\$	-	100%	\$	4,000	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-		
Support & Strengthening the Direct Care Workforce	Expand and Enhance the Respite Using Program (RUP) Workforce	DOH	N/A	\$	20,000	\$	-	100%	\$	20,000	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-		
Support & Strengthening the Direct Care Workforce	Home Care Minimum Wage Increase	DOH	SFA, Managed Care Rate Change	\$	1,240,000	\$	1,240,000	46%	\$	1,240,000	\$	1,240,000	\$	1,240,000	\$	1,240,000	\$	1,240,000	\$	1,240,000	\$	1,240,000	\$	1,240,000	\$	1,240,000	\$	1,240,000	\$	1,240,000	\$	1,240,000	\$	1,240,000	\$	1,240,000	\$	1,240,000	\$	1,240,000	\$	1,240,000	\$	1,240,000	\$	1,240,000
Support & Strengthening the Direct Care Workforce	Further Support the OPWDD Workforce	OPWDD	Appendix B Waiver Amendment & Program	\$	40,000	\$	51,200	46%	\$	40,000	\$	51,200	\$	51,200	\$	51,200	\$	51,200	\$	51,200	\$	51,200	\$	51,200	\$	51,200	\$	51,200	\$	51,200	\$	51,200	\$	51,200	\$	51,200	\$	51,200	\$	51,200	\$	51,200	\$	51,200	\$	51,200
HCBS Capacity, Innovation, and Systems Transformation	Expand Capacity in Nursing Home Transition and Diversion (NTHD) and Expanded Respite (ER) Waivers	DOH	1815-11 Waiver Amendment, Appendix A	\$	46,400	\$	46,400	100%	\$	46,400	\$	46,400	\$	46,400	\$	46,400	\$	46,400	\$	46,400	\$	46,400	\$	46,400	\$	46,400	\$	46,400	\$	46,400	\$	46,400	\$	46,400	\$	46,400	\$	46,400	\$	46,400	\$	46,400	\$	46,400	\$	46,400
HCBS Capacity, Innovation, and Systems Transformation	Expand the Expansion of Community First Choice Option (CFCO) Waivers	DOH	State Plan Amendment	\$	46,800	\$	-	100%	\$	46,800	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-		
HCBS Capacity, Innovation, and Systems Transformation	Support of the Unique Program of All-Inclusive Care for the Elderly (PACE) Long-Term Integrated Care Model	DOH	N/A	\$	40,000	\$	-	100%	\$	40,000	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-		
HCBS Capacity, Innovation, and Systems Transformation	Expand the OPWDD Safety System	OPWDD	N/A	\$	30,000	\$	-	100%	\$	30,000	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-		
HCBS Capacity, Innovation, and Systems Transformation	Expand in Community Engagement Initiative: HCBS Day Services	OPWDD	N/A	\$	10,000	\$	-	100%	\$	10,000	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-		
HCBS Capacity, Innovation, and Systems Transformation	Expand in Diversity, Equity, and Inclusion for People with IDD	OPWDD	N/A	\$	20,000	\$	-	100%	\$	20,000	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-		
HCBS Capacity, Innovation, and Systems Transformation	Integrate Living Transition Grant	OPWDD	N/A	\$	10,000	\$	-	100%	\$	10,000	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-		
HCBS Capacity, Innovation, and Systems Transformation	Align Residential Addition Treatment Services Rate	OMAS	State Plan Amendment	\$	12,400	\$	24,800	100%	\$	12,400	\$	24,800	\$	24,800	\$	24,800	\$	24,800	\$	24,800	\$	24,800	\$	24,800	\$	24,800	\$	24,800	\$	24,800	\$	24,800	\$	24,800	\$	24,800	\$	24,800	\$	24,800	\$	24,800	\$	24,800		
HCBS Capacity, Innovation, and Systems Transformation	Expand and Implement HCBS and Community Directed Facility and Supportive Community Services	DOH, DMH	Directed Payment	\$	9,200	\$	18,400	100%	\$	9,200	\$	18,400	\$	18,400	\$	18,400	\$	18,400	\$	18,400	\$	18,400	\$	18,400	\$	18,400	\$	18,400	\$	18,400	\$	18,400	\$	18,400	\$	18,400	\$	18,400	\$	18,400	\$	18,400	\$	18,400		
HCBS Capacity, Innovation, and Systems Transformation	Support the Transition Waiver (TW) Program (1815-11 Waiver) for Community-Integrated Care Model	DOH, OCA	State Plan Amendment	\$	24,800	\$	24,800	100%	\$	24,800	\$	24,800	\$	24,800	\$	24,800	\$	24,800	\$	24,800	\$	24,800	\$	24,800	\$	24,800	\$	24,800	\$	24,800	\$	24,800	\$	24,800	\$	24,800	\$	24,800	\$	24,800	\$	24,800	\$	24,800		
HCBS Capacity, Innovation, and Systems Transformation	Expand Child Care for People with IDD	OPWDD	Appendix B Waiver Amendment	\$	11,200	\$	16,800	70%	\$	11,200	\$	16,800	\$	16,800	\$	16,800	\$	16,800	\$	16,800	\$	16,800	\$	16,800	\$	16,800	\$	16,800	\$	16,800	\$	16,800	\$	16,800	\$	16,800	\$	16,800	\$	16,800	\$	16,800	\$	16,800		
HCBS Capacity, Innovation, and Systems Transformation	Enhance Rates for Private Duty Nursing (PDN)	DOH	State Plan Amendment	\$	12,700	\$	24,140	46%	\$	12,700	\$	24,140	\$	24,140	\$	24,140	\$	24,140	\$	24,140	\$	24,140	\$	24,140	\$	24,140	\$	24,140	\$	24,140	\$	24,140	\$	24,140	\$	24,140	\$	24,140	\$	24,140	\$	24,140	\$	24,140		
HCBS Capacity, Innovation, and Systems Transformation	Support Residential Inclusion Transformation Grant	OPWDD	N/A	\$	20,000	\$	-	100%	\$	20,000	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-		
HCBS Capacity, Innovation, and Systems Transformation	Expand in OASAS Supportive Medication Management Treatment Services Administration	OMAS	State Plan Amendment	\$	4,800	\$	9,600	47%	\$	4,800	\$	9,600	\$	9,600	\$	9,600	\$	9,600	\$	9,600	\$	9,600	\$	9,600	\$	9,600	\$	9,600	\$	9,600	\$	9,600	\$	9,600	\$	9,600	\$	9,600	\$	9,600	\$	9,600	\$	9,600		
HCBS Capacity, Innovation, and Systems Transformation	Expand in Personal Services Directed Services (PDS) Waivers	DMH	State Plan Amendment	\$	5,900	\$	11,800	46%	\$	5,900	\$	11,800	\$	11,800	\$	11,800	\$	11,800	\$	11,800	\$	11,800	\$	11,800	\$	11,800	\$	11,800	\$	11,800	\$	11,800	\$	11,800	\$	11,800	\$	11,800	\$	11,800	\$	11,800	\$	11,800		
HCBS Capacity, Innovation, and Systems Transformation	CFCO Rate Adjustments	DOH	State Plan Amendment	\$	3,300	\$	3,300	46%	\$	3,300	\$	3,300	\$	3,300	\$	3,300	\$	3,300	\$	3,300	\$	3,300	\$	3,300	\$	3,300	\$	3,300	\$	3,300	\$	3,300	\$	3,300	\$	3,300	\$	3,300	\$	3,300	\$	3,300	\$	3,300		
HCBS Capacity, Innovation, and Systems Transformation	Children's Waiver HCBS Rate Adjustments	DOH	1815-11 Waiver Amendment, Appendix K	\$	13,800	\$	13,800	46%	\$	13,800	\$	13,800	\$	13,																																