

NEW YORK STATE
OFFICE OF MENTAL HEALTH

PUBLIC HEARING
NEW YORK STATE'S IMD TRANSFORMATION PROGRAM
WAIVER APPLICATION

Virtual Hearing
October 31, 2022

October 31, 2022

PRESENT:

LILLIE JOHNSON, Host

AMY CLINTON, Moderator
Department of Health
Bureau of Adult Special Populations

PANELISTS:

Department of Health
Trisha Schell-Guy, Director
Division of Program Development and Management

Sarina Master, Director
Bureau of Adult Special Populations

Office of Mental Health
Anita Daniels, Associate Commissioner

Jeremy Darman, Deputy Commissioner
State and Local Operations

Office of Addiction Supports and Services
Pat Lincourt, Associate Commissioner

Ilyana Meltzer,
Division of Addiction Treatment and Recovery

1 October 31, 2022

2 MS. AMY CLINTON: Good morning,
3 everyone. And, thank you very much for joining
4 us this morning. Our second public hearing on
5 New York State's IMD Transformation Program
6 Waiver Application.

7 My name is Amy Clinton and I work in the
8 Bureau of Adult Special Populations in the New
9 York State Department of Health.

10 Before we begin our presentation, I
11 wanted to go through and let folks know that
12 closed captioning is available for this webinar.
13 To engage closed captioning, please find the CC
14 icon in the lower left of your screen. And when
15 you click on it, click, next on, show closed
16 captioning.

17 Also, this morning, our American Sign
18 Language interpreters are available for this
19 webinar. And, to move them to the presentation
20 area or stage so that you can see them, please
21 right click on the interpreters video icon and
22 select, move to presentation stage. I'd like to
23 acknowledge our interpreters this morning,
24 Stephanie and Kelly. And, thank you both very

1 October 31, 2022

2 much.

3 In compliance with social distancing
4 guidelines due to COVID-19 and in alignment with
5 approved CMS exceptions to satisfy the public
6 hearing requirements as stated in 42 CFR 431.408,
7 the State is holding two virtual public hearings
8 in connection with this waiver amendment request.
9 Public hearings are required for 1115 waiver
10 amendments in order to give the public an
11 opportunity to provide comments regarding the
12 State's waiver amendment application.

13 Any comments made during the public
14 hearing may supplant, supplement, or reiterate
15 written comments that are submitted or already
16 submitted through alternative comment channels as
17 described later in this presentation. A
18 recording and transcription of this hearing will
19 be available on the MRT website. It is the same
20 link that you went to to see a copy of the
21 proposal and attached documents. The recording
22 for this webinar will be available three to five
23 days after the hearing and language translation
24 is available upon request.

1 October 31, 2022

2 I'd like to introduce today's panelists.
3 Starting with Trisha Schell-Guy, who is the
4 director of the program, of the Division --
5 excuse me -- of Program Development and
6 Management at the Department of Health.

7 MS. TRISHA SCHELL-GUY: Good morning.

8 MS. CLINTON: Next, we have Sarina
9 Master, who is the Director of Bureau of Adult
10 Special Populations, also at the Department of
11 House.

12 MS. SARINA MASTER: Good morning.

13 MS. CLINTON: Anita Daniels, who is the
14 Associate Commissioner at the Office of Mental
15 Health.

16 MS. ANITA DANIELS: Thanks, Amy. Hi,
17 everyone.

18 MS. CLINTON: Jeremy Darman, Deputy
19 Commissioner, State and Local Operations also at
20 the Office of Mental Health.

21 MR. JEREMY DARMAN. Good morning,
22 everyone. Good to see you.

23 MS. CLINTON: We've got Pat Lincourt,
24 Associate Commissioner for the Office of

1 October 31, 2022

2 Addiction Services and Supports.

3 MS. PAT LINCOURT: Good morning,
4 everyone.

5 MS. CLINTON: And Ilyana Meltzer,
6 Division of Addiction Treatment and Recovery.

7 MS. ILYANA MELTZER: Morning, everyone.

8 MS. CLINTON: Our agenda today will
9 include an outline of the background, purpose and
10 the waiver objectives. We will talk about the
11 program design, as well as some financial data
12 with regards to this waiver amendment; talk
13 briefly about the evaluation, and we'll outline
14 the submission timeline before we then get into
15 the public comment period. Trisha?

16 MS. SCHELL-GUY: Thank you so much, Amy.
17 So, beginning with some background, I'd like to
18 just take a few minutes to explain what an
19 institution for mental disease or IMD is, and why
20 it is that we need a waiver for the services that
21 are provided to individuals residing in these
22 IMDs. Initially, it's important to mention that
23 Medicaid is the largest payer of behavioral
24 health services in New York and across the United

1 October 31, 2022

2 States. That being said, there are still some
3 behavioral health services that Medicaid does not
4 cover.

5 IMDs have a long history in Medicaid,
6 all the way back to the inception of the program
7 back in 1965. At that time, Congress established
8 Medicaid as a public health insurance program
9 that was a partnership and continues to be a
10 partnership between states and the federal
11 government. However, there were populations and
12 services that the federal government felt were
13 state responsibilities and, therefore, were not
14 eligible for any federal financial contributions
15 on the part of the federal government.

16 One of these excluded services is for
17 individuals that reside in an institution for
18 mental disease. The intent being that
19 institutionalized individuals are a state
20 responsibility and that restrictions on federal
21 funding would provide incentives to invest in
22 community alternatives for these individuals.

23 IMDs are defined in federal law as a
24 hospital, nursing facility, or other institution

1 October 31, 2022

2 with more than 16 beds that is primarily engaged
3 in providing diagnosis, treatment, or care of
4 persons with a mental disease. Mental disease is
5 an antiquated term, but it is still the term used
6 in statute, and it includes individuals with
7 mental health or substance use disorder. It does
8 not, however, include individuals with
9 intellectual developmental disabilities.

10 There are a few exceptions to who or
11 what would be considered an IMD population or
12 setting. That includes individuals over the age
13 of 65 and persons 21 years or older that reside
14 in an in-patient psychiatric facility for youth
15 in New York State. We certainly have settings
16 where individuals over 65 reside in our long term
17 care facilities and, as far as youth go, those
18 in-patient psychiatric facilities include OMH's
19 residential treatment facilities and OASAS's
20 residential rehab services for youth or RRSYs.

21 Next slide, please. Thank you.

22 So, so what is the purpose of New York
23 State's IMD request? In the broadest sense, the
24 idea behind this waiver is to acknowledge that

1 October 31, 2022

2 some levels of care in the mental health and SUD
3 systems are so critical that we have operated
4 them for years using state only dollars and state
5 resources as an integral part of that continuum
6 of care. So now, we are looking to take
7 advantage of opportunities at the federal level
8 to obtain additional resources in the, in, in
9 money to strengthen the entire system and to
10 improve care for folks residing in and
11 transitioning out of these institutional
12 settings.

13 Next slide.

14 So, I wanted to frame for folks what
15 exactly is an IMD waiver amendment. From a
16 process perspective, we are using the federal
17 authority that is under Section 1115 of the
18 Social Security Act to ask CMS members to approve
19 a demonstration project that promotes the
20 objectives of the Medicaid program. 1115 is a
21 term that you hear often in New York State. We,
22 it's, it's the same authority that our managed
23 care program exists under. It's the same
24 authority that the HealthEquity waiver that

1 October 31, 2022

2 people have been talking about exists under.

3 And, it's the same authority that has authorized
4 many pilots and demonstrations in this state and
5 across the country.

6 This 1115 waiver is asking CMS to waive
7 a portion of Section 1905 of the Social Security
8 law that prohibits federal financial
9 participation for services delivered to
10 individuals in certain IMDs, mainly in state
11 psychiatric centers and community-based in-
12 patient and residential addiction programs.

13 There are some caveats, many of them outlined in
14 the several state Medicaid directors letters that
15 have addressed these types of waivers over the
16 last several years, including limits on length of
17 stay, requirements for average length of stay,
18 and limits, as I said before, limits on total
19 length of stay.

20 Somewhat unique to New York, we are also
21 asking CMS to approve a targeted set of
22 reimbursable in-reach services for individuals
23 who are in state psychiatric centers who would
24 not meet the 30-day average length of state

1 October 31, 2022

2 criteria. These services will include care
3 management, discharge planning, and clinical
4 services to ensure that these individuals have a
5 warm handoff to the community setting after they
6 are released. They would be provided 30 days
7 prior to release to some of the most vulnerable
8 and disadvantaged patients with the goal of
9 strengthening community engagement to help keep
10 these folks out of emergency departments and
11 prevent a return to state psychiatric and other
12 in-patient settings. CMS will not approve this
13 type of waiver, this whole 1115 IMD waiver
14 indefinitely. Typical approvals last for five
15 years. Nor will they allow federal dollars to
16 cover long lengths of stay indefinitely.

17 As we move forward with negotiating this
18 waiver, there will be a host of standard terms
19 and conditions that dictate all of the conditions
20 we must demonstrate throughout this five-year
21 term. If successful, we will likely seek to
22 continue this demonstration past the five years
23 for additional five-year terms.

24 Finally, sometime in 2023, we plan to

1 October 31, 2022

2 add services delivered to children who are in the
3 child welfare system that reside in QRTPs, that's
4 the acronym for Qualified Residential Treatment
5 Programs, and in other child welfare institutions
6 that would meet the definition of an IMD.

7 Next slide, please.

8 So now, now that we've talked about
9 background and purpose, I wanted to take a minute
10 to highlight the overall objectives that we
11 helped to, hope to achieve with the federal
12 funding that we're gaining from this waiver. So,
13 this waiver is another step towards transforming
14 the behavioral health service system -- sorry
15 about that -- by promoting improved access to
16 community-based mental health and substance use
17 disorder services. We want to use these funds to
18 transform, strengthen, and improve our system to
19 be able to provide the highest quality behavioral
20 health services in the least restrictive
21 settings. To do that, we need to make sure that
22 we have robust care transition services and
23 sufficient access to any necessary community-
24 based treatment and support that a Medicaid

1 October 31, 2022

2 member may need.

3 Next slide, please.

4 So now, we're going to get into the
5 details of the actual program design of this
6 waiver, and before I turn it over to my O-agency
7 colleagues to do that, I'm going to just take a
8 minute to level set so people understand that
9 while this waiver is specifically for services in
10 IMDs, it really is two distinct asks of CMS.

11 That being said, I think it's really
12 important to highlight that this has been a very
13 collaborative and thoughtful effort between the
14 Department of Health, the Office of Mental
15 Health, and the Office of Addiction Services and
16 Supports in designing a program that will support
17 the entire Medicaid population. Those with SMI,
18 those with SUV, those with co-occurring in these
19 settings.

20 So, the first program, the SMI program,
21 Serious Mental Illness program, is to obtain
22 federal financial participation for individuals
23 that have SMI and are receiving services in state
24 psychiatric centers. This ask would generate

1 October 31, 2022

2 federal share for any patients that have an
3 average length of stay of 30 days or less and
4 would be claimed retrospectively after that
5 patient's stay is over. This is also the section
6 of the waiver that is requesting federal
7 financial participation for the 30 days of in-
8 reach services prior to discharge from those
9 folks who have more than a 30 day average length
10 of stay or 30 date length of stay.

11 Then, there's the SUD, the Substance Use
12 Disorder component, which is seeking federal
13 financial participation for all individuals in
14 any IMDs serving the SUD population. So, in the
15 SUD world and the OASAS system, this includes
16 community-based detox programs, community-based
17 in-patient programs, and all three elements of
18 820 residential care. This is not a
19 retrospective look. This is a prospective look
20 at everyone that is in these programs. For this,
21 we will need to demonstrate a 30-day overall
22 length of stay. I want to be clear, that's 30
23 days overall over all three levels of care. That
24 does not mean that individuals in the residential

1 October 31, 2022

2 program can only stay for 30 days. It's a 30-day
3 average of all of the program. And, I'm sure my
4 OASAS colleagues will talk a little bit more
5 about that.

6 So now, to get into more detail on the
7 SMI initiatives is Anita Daniels from Office of
8 Mental Health.

9 MS. DANIELS: Thank you, Trisha. So.
10 good morning again, everyone. So, our state in-
11 patient operation system is really looking
12 forward to their continued work. We spent,
13 really, the last ten years or so really looking
14 at making sure that folks are integrated into the
15 community. So, we're really looking forward to
16 this potential project to transform, strengthen,
17 and improve our system of care that Trisha talked
18 about. So, OMH remains committed to ensuring our
19 state psychiatric centers partner with their
20 local stakeholders to ensure a continuum or a
21 hub, if you will, of services available to
22 support individuals and their families. Through
23 this waiver, we will maximize the ability of our
24 state psychiatric centers, which are located in

1 October 31, 2022

2 communities, to serve as an enhanced service
3 delivery system emphasizing community integration
4 and recovery in the community. This enhanced
5 delivery system will include transitional housing
6 that integrates most, both mental health and
7 substance use disorder, employment, and
8 educational supports, as well as primary care.
9 Communities in the surrounding areas receive
10 modest investment from the federal matching funds
11 to continue for us to promote local engagement
12 and community tenure.

13 Next slide.

14 OMH will conduct a comprehensive
15 assessment of how our state psychiatric centers
16 are currently facilitating the discharge of those
17 folks with us one year or more. This data
18 platform created will standardize across all
19 state PCs and include the assessment of
20 psychiatric stability, functional or
21 environmental barriers, and placement needs for
22 those who are ready for discharge. The discharge
23 planning process will include an individualized
24 assessment of services needed to foster stability

1 October 31, 2022

2 in the community.

3 Trisha also talked earlier about an
4 array of in-reach services, which we are looking
5 to support successful community integration.
6 And, some of these things aren't new, but we want
7 to continue to enhance those so it's active
8 mobile integration teams, home care management
9 teams, ongoing and active recruitment of peer and
10 family bridgers to improve those engagements and
11 connections, partnering with our pathway home
12 care managers, who will really be embedded, and
13 partner with our in-patient teams to facilitate
14 discharge process. And, we're really looking
15 forward to capitalizing on a program that OASAS
16 has that to use their peer led recovery centers.

17 Specific evidence-based clinical
18 programs are being planned and utilized to
19 enhance recovery by reducing our in-patient
20 length of stays, which really has been a focus of
21 us for, for many, many years. So, we're looking
22 at I function of functional skills assessment and
23 training software platform that aids staff in
24 assessing the functional limitations of an

1 October 31, 2022

2 individual. We're looking at recovery-oriented
3 cognitive therapy or CTR, which provides
4 concrete, actionable steps to promote recovery
5 and resiliency for patients who really have
6 extensive behavioral, social, and physical health
7 challenges. We're looking at COG REM, a
8 behavioral intervention targeting problems with
9 cognition with, of course, the ultimate goal of
10 improving one's day-to-day community functioning.

11 And last, we're excited about launching
12 a medication empowerment curriculum pilot
13 developed from the work of Pat Deegan and, and in
14 collaboration with the Center for Practice
15 Innovation or CPI to improve shared decision
16 making and skills for medication independence, of
17 course, with the ultimate goal of community
18 stability. OMH will continue to prioritize
19 supporting families during times of need by
20 utilizing family bridgers and transitional
21 support teams, in addition to prioritizing CPIs,
22 family systems engaging, engagement training
23 module for our clinical teams.

24 So, thank you for your time. I next

1 October 31, 2022

2 turn it over to my colleague, Jeremy Darman.

3 Thank you all.

4 MR. DARMAN: Thanks a lot, Anita. So,
5 Anita talks a lot about activities, really, that
6 we have to deploy within our state psychiatric
7 centers, but also, you know, how critical some of
8 the community transition services and, and
9 building up the community is to making sure that
10 people recovery is stable and successful because
11 the transition, the transitions from in-patient
12 out of the community can be very, very difficult.
13 It's a, it's a very critical time. You have to
14 be very focused and supportive of individuals
15 that, as they move into the community easily.
16 You can, if you have a crisis situational or
17 psychiatric crisis post-discharge, you really
18 want to prevent a person from having to go back
19 to the hospital. And so we really need to make
20 sure that through this project, we are investing
21 in and building up these supports in the
22 community to support people in the least
23 restrictive setting.

24 So, the, the reinvestment from the, the

1 October 31, 2022

2 work here is going to support critical time
3 interventions for people who need that intensive
4 and comprehensive in-reach. We have a lot of
5 good ambulatory providers in the community and
6 we'll be building on those. We'll be building on
7 those programs to help through the in-patient
8 discharge process so people can work with our
9 unit staff during discharge planning and then
10 follow the person out into the community and then
11 support them when they're in their new
12 residential, whether it's a transitional
13 residential or permanent residence, to make sure
14 that they're supporting tenure and engagement and
15 other meaningful life activities.

16 And, and I think that, you know, a lot
17 of this really comes down to, to making sure
18 people are stable in their housing. So, I think
19 that the more we keep people in, in housing
20 directly in their community where they want to
21 be, the more successful we can be. So, you know,
22 I think you've heard it many times from OMH, we
23 really want to make sure that we are getting the
24 right services in the right time at the, in the

1 October 31, 2022

2 right amount so we can cut down on our length of
3 stay. Like Anita said, we have about 50 percent
4 of our census that is considered long stay on the
5 adult side. That's over one year on census. So,
6 I think we, we really need to reduce that number
7 and I think we can increase the number of people
8 who are admitted and discharged within this 60-
9 day maximum, 60-day and average 30-day cohort.

10 So, throughout the investments that we
11 make here and the processes we build, I think
12 it's not just about investing in services, but
13 it's about creating this, this stronger framework
14 and connection between our PCs and the community
15 that, you know, our psychiatric centers are not
16 completely independent of the rest of the world,
17 really. We want to build them into the
18 community.

19 And, I, I think the last point here that
20 we want to talk about is, is that we will have
21 many performance metrics that we will follow
22 through this waiver. We already have very many
23 for our state hospital system and the community
24 side. And, for transparency and to make sure

1 October 31, 2022

2 that these projects are actually working, we will
3 have a set of key performance indicators. There
4 are several recommended metrics in the waiver
5 applications that people can review and comment
6 on. I think the key thing is we want to make
7 sure that we're looking at, you know, provider
8 performance and making sure what they're doing
9 matters. But also at the end of the day, that we
10 really are supporting recovery. Because this is
11 about supporting individuals recovery in the
12 community at the end of the day.

13 So, please review those. Take a look.
14 You can also comment on those and I look forward
15 to hearing all of your feedback today in the
16 future on this project. Thank you. I'll turn it
17 over to OASAS now.

18 MS. LINCOURT: Thank you, Jeremy. So,
19 the waiver program for SUD initiatives is a
20 little bit different. At the purpose of, of our
21 interest in the waiver is to bring community-
22 based detox, in-patient and residential services
23 as Trisha talked about completely into the, that
24 the Medicaid reimburse ability. The entire

1 October 31, 2022

2 continuum of care then is available to people
3 through Medicaid-managed care and fee for service
4 Medicaid reimburse ability. So, all of the
5 pieces of our residential redesign would be,
6 would, would be connected into the continuum and
7 all of it is rehab focused and all of it is
8 Medicaid reimbursable.

9 So, the additional residential
10 reintegration portion or element of our
11 residential redesign will be included for
12 Medicaid members in this demonstration so that
13 adds the reintegration and a rate of payment for
14 reintegration across fee for service and
15 Medicaid-managed care. It also allows for the
16 fee for service reimbursement across all of the
17 residential services.

18 And, what we're, you know, one of our
19 goals is also to increase that community-based
20 non-hospital residential support and to be sure
21 that treatment is rehab focused and that it is
22 provided within the community and supported
23 there.

24 So, next slide.

1 October 31, 2022

2 Part of that is in moving people
3 appropriately through those community-based
4 levels of care and the OASAS required level of
5 care determination is with the LOCADTR or another
6 national place, which is a national placement
7 criteria, or another OASAS-approved criteria, but
8 in the main, all providers are using LOCADTR to
9 make all managed care companies are utilizing
10 LOCADTR within a Medicaid program and all of our
11 providers also use LOCADTR. And, it allows for
12 the admission criteria to be determined as to
13 where a person would enter into care, whether
14 that's at a detox or an in-patient or residential
15 element of care, and, also, for that concurrent
16 review. And, the continuing review of
17 appropriateness for that level of care to
18 continue to consider where the person would needs
19 would best be met. And also, you know, our
20 policy will be modified to reflect that all of
21 those criteria for residential programs,
22 including the requirements for the types of
23 services, any hours of clinical care and
24 credentials of the staff delivering it that would

1 October 31, 2022

2 apply in those elements of care to allow for the
3 Medicaid reimburse ability. Much of this, we've
4 talked about with our provider system as we
5 brought reintegration into the Medicaid reimburse
6 ability.

7 So. the overall goal here is similar.
8 It is to, to have individuals served in least
9 restrictive levels of care closest to the
10 community with rehab as the ultimate goal, and to
11 bring the entire continuum of care into the
12 Medicaid program.

13 So, I'm going to hand this over to
14 Ilyana Meltzer to talk about how this fits with
15 some of the overall goals.

16 MS. MELTZER: Sure. Hi. Thanks, Pat.
17 Good morning, everyone. And so, we wanted to
18 close the OASAS portion of today's conversation
19 by putting this particular waiver in context with
20 other SUD initiatives that are currently in place
21 and developing alongside that are also leveraging
22 federal and state authorities with the eye of
23 strengthening the SUD service delivery system, in
24 particular, by expanding points of access across

1 October 31, 2022

2 the continuum. And, some of the initiatives we

3 just wanted to share today were -- excuse me --

4 the continued delivery of services in community.

5 Also, the continued utilization and expansion of

6 telehealth services, the implementation of mobile

7 service units that provide a complement of SUD

8 treatment services, including access to

9 medications. The mobile units will assist to

10 broaden the reach of opioid programs in regions

11 that may not have had access before, so we're

12 looking to increase access through those mobile

13 units. OASAS has also had a strong movement to

14 utilize peers with lived experience to support

15 individuals throughout each step of their journey

16 with engagement, treatment and recovery. We are

17 also working collaboratively with the New York

18 State Department of Health Aids Institute to

19 provide street outreach services to individuals

20 in unstable housing or who are in crisis and

21 support individuals' access to care, including

22 access to critical medications.

23 And then, finally, we just wanted to

24 signal another initiative that supports

1 October 31, 2022
2 individuals and community that includes working
3 with the OASAS provider community to develop
4 comprehensive, integrated out-patient treatment
5 programs for providers to either combine existing
6 opioid treatment programs and out-patient
7 programs or to develop new programs with that
8 integrated lens.

9 And so, with that, I will transition
10 over to Sarina Master, who is going to speak
11 about the fiscal portion of this particular
12 waiver. Thank you.

13 MS. MASTER: Thank you, Ilyana. So, I
14 just want to talk about the fiscal piece a
15 minute. The total cost of this amendment is
16 estimated to be \$268.37 million over five years.
17 So, that estimate assumes that there is continued
18 measured increases in community placement, that
19 that placement into the community is a successful
20 placement, and that we will be leveraging the
21 enhanced crisis support services.

22 So, this demonstration is going to be
23 budget neutral. All demonstrations have to be
24 budget neutral per federal requirements. And,

1 October 31, 2022

2 what that means is that this waiver will not
3 increase overall Medicaid expenditures. We
4 expect to offset any of the costs associated with
5 the waiver with savings achieved through our
6 reinvestment of the dollars into the enhanced
7 services that you just heard about, including
8 crisis services aimed at transitioning people to
9 the community, and aimed at keeping people safe
10 and healthy in the community.

11 So, I know this font in this purple
12 arrow is a little small, but . But it includes
13 the estimated eligibility projections for this
14 waiver. There is a group titled, OMH A, that
15 represents those in the cohort with a 30-day
16 average length of stay. And those titled, OMH B,
17 represents the group of people eligible for those
18 targeted in-reach services in the 30 days prior
19 to their discharge from a state psychiatric
20 center. So, I don't think I need to go through
21 every number for every box. And, this will be
22 made available for anyone who wants to go and
23 take a closer look at the numbers. But, I will
24 just say that, that it looks like the OMH B

1 October 31, 2022
2 group, those eligible for the 30 days in-reach
3 services, are expected to stay steady at an
4 enrollment of 2500 people per year throughout the
5 five-year period. The OMH A group, which, again,
6 is the 30-day average length of stay, will
7 initially start at 450 people in the eligibility
8 area. The OASAS cohort is estimated at 2,218 and
9 those two populations are expected to steadily
10 rise by a few 100 people per year over the five-
11 year period.

12 So, there will also be evaluations which
13 I will talk about in a second. There's two
14 evaluation points, one at the midpoint of the
15 waiver for the SUD program, and then both
16 programs are being evaluated at the end.

17 So, if you could go to the next slide.

18 So, there will be, as I said, an
19 evaluation. This is a very comprehensive
20 multimethod evaluation. The state will be
21 engaging an independent evaluator to conduct it
22 at the midway point for SUD and at the end of the
23 demonstration for both the SUD and the SMI
24 programs. The evaluator is going to document the

1 October 31, 2022

2 impact of the waiver on the health care service
3 delivery system and utilization, quality, health
4 outcomes, and cost effectiveness. They will be
5 examining the program to determine what led to
6 programmatic successes, what areas pose
7 particular challenges, and where the learnings
8 were from the waiver. This, again, is going to
9 be a very comprehensive assessment. The
10 evaluations will utilize pre- and post-design
11 approaches. There will be mixed effect
12 regression analyses used to examine individual
13 outcomes over time. There will be multiple
14 analyses of variance, and there will be
15 hypothesis testing to compare population and
16 acuity characteristics throughout.

17 Next slide.

18 So, here's the timeline that we have
19 projected. As you know, the public notice was
20 posted to the state register and the public
21 comment period began on October 5th, as well as
22 the tribal comment period began October 5th.
23 Today is the second of our two hearings. The
24 previous one was on October 26th. Our public

1 October 31, 2022

2 comment period ends November 4th for the general
3 public and November 10th for the tribal comment
4 period. So, if you have comments and you did not
5 get to speak today or at the last hearing, please
6 submit your comments before then. We will take
7 November to incorporate the written and oral
8 public comments and to finalize the amendment.
9 We expect to formally submit the amendment
10 application to CMS in December 2022. And, we are
11 target, targeting spring 2023 for our
12 implementation date.

13 With that, I will turn it over to Amy
14 Clinton to talk a little bit about the public
15 comment period. Thank you.

16 MS. CLINTON: Thanks, Sarina. So, we
17 have a list of pre-registered commenters, which
18 will indicate the order in which you will be
19 called on to speak. When you are, when your name
20 is called, please -- you will receive -- excuse
21 me -- a message from the host which indicates
22 that you will need to press the option, Unmute
23 yourself. And, when you do that, choose, unmute
24 me. Without doing that, you will not be able to

1 October 31, 2022

2 become unmuted in order to speak. Also, make
3 sure that your phone is unmuted to avoid that
4 infamous double mute. With that, let's go ahead
5 and start the public comment period. I'm
6 starting with Ronald Richter at the JCCA.

7 MR. RONALD RICHTER. Can you hear me?

8 MS. CLINTON: Sure can. Go ahead,
9 please.

10 MR. RICHTER: Okay. Terrific. Just
11 give me one moment, please. I wasn't sure that
12 you received my notification about providing
13 public comments, so thank you.

14 MS. CLINTON: You're welcome.

15 MR. RICHTER: So, while children and
16 their families are not part of the IMD waiver
17 application that's being discussed today,
18 clearly, young people that are 16, 17, even 18
19 years old and that are known to the foster care
20 system and other child welfare systems, who have
21 intellectual and developmental disabilities are
22 among those struggling the most with the
23 circumstances post-pandemic. We would ask that
24 as the future waiver for children and young

1 October 31, 2022

2 people is being considered that the state
3 considers seriously the changed landscape post-
4 pandemic, especially given the dire needs that
5 existed pre-pandemic for these young people.
6 There have been longstanding gaps in the
7 children's mental health system and those gaps
8 end up being delivered upon the adult mental
9 health system. And, as I know you are aware,
10 many of the traumatized adults that the adult
11 system works with are the result of unmet needs
12 in the children's mental health system. Crisis
13 services for older adolescents contributes
14 mightily to what the adult system experiences.

15 In addition to the pandemic, the
16 workforce crisis has exacerbated HealthEquity,
17 particularly for our young people, and, if
18 addressed in the IMD waiver, we could begin to
19 make inroads and providing services to
20 disproportionately impacted populations.

21 So, I contribute this as a preview to
22 comments that, of course, we will contribute as
23 young adults become adults and end up being
24 delivered upon the adult system. So, with that,

1 October 31, 2022

2 I thank you so much for giving me the opportunity
3 to be heard.

4 MS. CLINTON: Okay. Thank you, Ronald.
5 Next up, Michael Williams, if you are on.

6 MS. LILLIE JOHNSON: So, our next
7 speaker, Michael Williams, does not appear to be
8 on. Michael, if you are, you could send me a
9 private chat. The host, Lillie Johnson. And, I
10 could unmute you if you're under a different
11 name. Otherwise, I think we can proceed to the
12 next person in the list.

13 MS. CLINTON: Okay. Thank you. Lydia
14 Virgil, you're up next. And followed by that is
15 Senator Brad Hoylman.

16 MS. JOHNSON: So, as of right now, our
17 next two speakers, Lydia and Senator Hoylman, are
18 also not on. So, it looks like we're going to
19 have to skip ahead to the fifth person on the
20 list, Amy.

21 MS. CLINTON: Okay, great. Thank you
22 for that. And, that would be Ed Cichon of CAZ
23 Recovery. Ed, when you're ready.

24 MR. ED CICHON: Are you there?

1 October 31, 2022

2 MS. CLINTON: Sure am. We can hear you
3 just fine. Go ahead.

4 MR. CICHON: Alright, perfect. Thanks.
5 So, I'd like to first start off by saying that
6 the State hasn't been very clear or transparent
7 about their plans for or the implications of this
8 waiver application. Providers are already
9 stretched way too thin for a whole slew of
10 reasons, and having to wrap our heads around this
11 waiver announcement and in an extremely limited
12 timeframe hasn't felt completely transparent to
13 me, unfortunately. As mentioned, I work for CAZ
14 Recovery. We're an OASAS provider of residential
15 programs for folks with substance use disorders
16 in Western New York. And, aspects of the state's
17 plans and initial communications regarding the
18 waiver have been extremely troubling. By their
19 inherent design, residential programs respond to
20 needs of individuals who will likely not be
21 successful in other, shorter term treatment
22 programs. As evidenced by the level of care
23 determination, the people in our programs need to
24 have extensive and intensive treatment and

1 October 31, 2022

2 support. Due to these factors, residential
3 programs tend to have longer length of stay than
4 the proposed average of 30 days as outlined in
5 the proposal. Our programs certainly aren't for
6 every individual with a substance use disorder.
7 But there is a subset of the population that
8 truly requires medium to long term supports to
9 address long histories of active use.

10 While I learned that the State plans for
11 implementing the waiver requires a length of stay
12 to be a systemwide aggregated average as
13 mentioned by Trisha earlier, too, this was
14 certainly not immediately clear in the plans or
15 the communications the State had sent out.

16 MR. RICHTER: Yeah, hi. I'm trying to
17 make a reservation for Wednesday night.

18 MR. CICHON: I don't know who that is,
19 but I'll continue if that's okay.

20 MS. JOHNSON: I think that might be our
21 previous speaker, Ron.

22 MR. RICHTER: At 7:00?

23 MS. JOHNSON: You might want to mute
24 your phone. I will look for you in the list, so

1 October 31, 2022

2 we can mute you on this end.

3 MR. RICHTER: Okay. Thank you so much.
4 Thanks so much. Really appreciate it.

5 MR. CICHON: I think we're good now.
6 Alright. Thank you. So, as I was saying, I'd
7 like to see a very clear and transparent
8 statement, including the State's waiver
9 application that the State will not be requiring
10 medium and long term residential providers to
11 reduce their individual lengths of stay to an
12 average of 30 days.

13 In addition, part of the State's plan
14 seems to rely on the transitional and supported
15 housing system to help reduce lengths of stay and
16 shorter programs, in particular, referrals and
17 other connections. While this makes sense on
18 paper, I can tell you that the capacity for
19 transitional and supportive housing comes nowhere
20 near close to meeting the current need. CAZ
21 Recovery operates multiple support housing and
22 transitional housing programs for folks with
23 substance use disorders, and we can attest that
24 the need for supportive housing far outweighs

1 October 31, 2022
2 capacity all across the State. Despite the
3 variety of housing programs offered by CAZ
4 Recovery, we can't meet the needs of the people
5 in our internal contents continuum, let alone be
6 many extra per, many referrals from other
7 external sources.

8 With this in mind, New York State needs
9 to seriously consider funding supportive housing
10 programs and units much more robustly. And, I
11 know that this waiver proposal isn't the place
12 that funds supportive housing, but the State
13 needs to address this massive gap in the
14 behavioral health system before it creates more
15 plans that rely on the presence of support
16 housing, and even affordable housing, which are
17 both fully lacking across this State. Thank you
18 for letting me comment. I appreciate it.

19 MS. CLINTON: Okay. Thank you very
20 much.

21 MS. JOHNSON: And Amy, just to let you
22 know, I don't see any of the other speakers that
23 have signed up are on, and no one has reached out
24 to me in chat.

1 October 31, 2022

2 MS. CLINTON: Okay. Alright. Thank
3 you. That concludes our public comment period
4 today. And, I want to thank everyone for
5 participating and thank our commenters for
6 thoughtful comments.

7 Just a reminder to please send in your
8 written comments if you have them. You can do
9 that by e-mail to the e-mail that you see,
10 1115waivers@health.ny.gov. Or you can mail to
11 the address with the postmark of November 4th.
12 Thank you again. And, everyone, please have a
13 good rest of the day.

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CERTIFICATE OF ACCURACY

I, Claudia Marques, certify that the foregoing transcript of the NYS OMH Public Hearing on October 26, 2022 was prepared using the required transcription equipment and is a true and accurate record of the proceedings.

Certified By



Claudia Marques

Date: November 29, 2022

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