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State/Territory Name: New York

State Plan Amendment (SPA)# 17-0061

The file contains the following documents in the order listed:

- 1) Approval Letter
- 2) CMS-179 Form
- 3) Approved SPA Pages

DEPARTMENT OF HEALTH & HUMAN SERVICES
Centers for Medicare & Medicaid Services
New York Regional Office
26 Federal Plaza, Room 37-100
New York, NY 10278



NEW YORK REGIONAL OPERATIONS GROUP

ROG: SA: SPA NY 17-0061

August 28, 2019

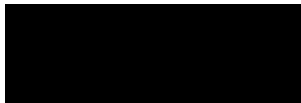
Donna Frescatore
Medicaid Director
New York State Department of Health
One Commerce Plaza, Suite 1211
Albany, NY 12210.

Dear Ms. Frescatore:

This is to notify you that New York State Plan Amendment (SPA) #17-0061 has been approved for adoption into the State Medicaid Plan with an effective date of August 1, 2017. This SPA provides temporary rate adjustments for the hospital outpatient services of specified hospitals.

If you have any questions, please contact me or Stephen Abbott of this office. I may be reached at (212) 264-2429, and Mr. Abbott at (518) 396-3810, ext. 113.

Sincerely,



Nicole McKnight
Acting Deputy Director
Regional Operations Group

cc: R. Holligan
R. Weaver
S. Abbott
M. Tabakov
M. Lopez
R. Dayette

| | | | |
|--|--|---|------------------------------|
| TRANSMITTAL AND NOTICE OF APPROVAL OF STATE PLAN MATERIAL | | 1. TRANSMITTAL NUMBER: 17-0061 | 2. STATE: New York |
| FOR: HEALTH CARE FINANCING ADMINISTRATION | | 3. PROGRAM IDENTIFICATION: TITLE XIX OF THE SOCIAL SECURITY ACT (MEDICAID) | |
| TO: REGIONAL ADMINISTRATOR HEALTH CARE FINANCING ADMINISTRATION DEPARTMENT OF HEALTH AND HUMAN SERVICES | | 4. PROPOSED EFFECTIVE DATE August 1, 2017 | |
| 5. TYPE OF PLAN MATERIAL (Check One): <input type="checkbox"/> NEW STATE PLAN <input type="checkbox"/> AMENDMENT TO BE CONSIDERED AS NEW PLAN <input checked="" type="checkbox"/> AMENDMENT COMPLETE BLOCKS 6 THRU 10 IF THIS IS AN AMENDMENT (Separate Transmittal for each amendment) | | | |
| 6. FEDERAL STATUTE/REGULATION CITATION: § 1902(a) of the Social Security Act, and 42 CFR 4470 | | 7. FEDERAL BUDGET IMPACT: (in thousands) a. FFY 08/01/17-09/30/17: 937.69 937.75 YSA b. FFY 10/01/17-09/30/18: 54,688.44 4,312.500 | |
| 8. PAGE NUMBER OF THE PLAN SECTION OR ATTACHMENT: Attachment 4.19-B: 1(q)(ii), 1(q)(iii), 1(q)(iv), 1(q)(iv)(1), 2(c)(v.2) SA Attachment A Replacement Pages SA | | 9. PAGE NUMBER OF THE SUPERSEDED PLANO SECTION OR ATTACHMENT (If Applicable): Attachment 4.19-B: 1(q)(ii), 1(q)(iii), 1(q)(iv), 2(c)(v.2) SA Attachment A Replacement Pages SA | |
| 10. SUBJECT OF AMENDMENT: Safety Net/VAP-OP-Critical Access Hospitals (FMAP = 50%) | | | |
| 11. GOVERNOR'S REVIEW (Check One): <input checked="" type="checkbox"/> GOVERNOR'S OFFICE REPORTED NO COMMENTO <input type="checkbox"/> OTHER, AS SPECIFIED: <input type="checkbox"/> COMMENTS OF GOVERNOR'S OFFICE ENCLOSEDO <input type="checkbox"/> NO REPLY RECEIVED WITHIN 45 DAYS OF SUBMITTALO | | | |
| 13. TYPED NAME: Jason A. Henderson OFFICIAL: [Redacted] | | 16. RETURN TO: New York State Department of Health Division of Finance and Rate Setting 99 Washington Ave – One Commerce Plaza Suite 1432 Albany, NY 12210 | |
| 14. TITLE: Medical Director Department of Health | | 15. DATE SUBMITTED: SEP 22 2017 | |
| FOR REGIONAL OFFICE USE ONLY | | | |
| 17. DATE RECEIVED: | | 18. DATE APPROVED: AUGUST 28, 2019 | |
| PLAN APPROVED – ONE COPY ATTACHED | | | |
| 19. EFFECTIVE DATE OF APPROVED MATERIAL: AUGUST 01, 2017 | | [Redacted] | |
| 21. TYPED NAME: NICOLE McKNIGHT | | 22. TITLE: Regional Operations Group | |
| 23. REMARKS: | | | |

**New York
1(q)(ii)**

Hospital-Based Outpatient Services – Critical Access Hospitals (CAHs):

| <u>Provider Name</u> | <u>Gross Medicaid Rate Adjustment</u> | <u>Rate Period Effective</u> |
|--|---------------------------------------|--------------------------------|
| <u>Carthage Area Hospital</u> | <u>\$325,000</u> | <u>11/01/2014 – 03/31/2015</u> |
| | <u>\$520,000</u> | <u>10/01/2015 – 03/31/2016</u> |
| | <u>\$520,000</u> | <u>04/01/2016 – 03/31/2017</u> |
| | <u>\$532,500</u> | <u>08/01/2017 – 03/31/2018</u> |
| | <u>\$532,500</u> | <u>04/01/2018 – 03/31/2019</u> |
| <u>Catskill Regional Medical Center – Hermann Division</u> | <u>\$275,000</u> | <u>02/01/2014 – 03/31/2014</u> |
| | <u>\$240,000</u> | <u>11/01/2014 – 03/31/2015</u> |
| | <u>\$327,500</u> | <u>10/01/2015 – 03/31/2016</u> |
| | <u>\$327,500</u> | <u>04/01/2016 – 03/31/2017</u> |
| | <u>\$310,000</u> | <u>08/01/2017 – 03/31/2018</u> |
| | <u>\$310,000</u> | <u>04/01/2018 – 03/31/2019</u> |
| <u>Clifton-Fine Hospital</u> | <u>\$350,000</u> | <u>02/01/2014 – 03/31/2014</u> |
| | <u>\$325,000</u> | <u>11/01/2014 – 03/31/2015</u> |
| | <u>\$520,000</u> | <u>10/01/2015 – 03/31/2016</u> |
| | <u>\$520,000</u> | <u>04/01/2016 – 03/31/2017</u> |
| | <u>\$532,500</u> | <u>08/01/2017 – 03/31/2018</u> |
| | <u>\$532,500</u> | <u>04/01/2018 – 03/31/2019</u> |
| <u>Community Memorial Hospital</u> | <u>\$240,000</u> | <u>11/01/2014 – 03/31/2015</u> |
| | <u>\$384,000</u> | <u>10/01/2015 – 03/31/2016</u> |
| | <u>\$384,000</u> | <u>04/01/2016 – 03/31/2017</u> |
| | <u>\$372,500</u> | <u>08/01/2017 – 03/31/2018</u> |
| | <u>\$372,500</u> | <u>04/01/2018 – 03/31/2019</u> |
| <u>Cuba Memorial Hospital</u> | <u>\$315,000</u> | <u>02/01/2014 – 03/31/2014</u> |
| | <u>\$445,000</u> | <u>11/01/2014 – 03/31/2015</u> |
| | <u>\$550,000</u> | <u>10/01/2015 – 03/31/2016</u> |
| | <u>\$550,000</u> | <u>04/01/2016 – 03/31/2017</u> |
| | <u>\$532,500</u> | <u>08/01/2017 – 03/31/2018</u> |
| | <u>\$532,500</u> | <u>04/01/2018 – 03/31/2019</u> |

TN #17-0061

Approval Date 08/28/2019

Supersedes TN #15-0041

Effective Date 08/01/2017

New York
1(q)(iii)

Hospital-Based Outpatient Services – Critical Access Hospitals (CAHs) (continued):

| <u>Provider Name</u> | <u>Gross Medicaid Rate Adjustment</u> | <u>Rate Period Effective</u> |
|---|--|-------------------------------------|
| <u>Delaware Valley Hospital</u> | \$246,000 | 02/01/2014 – 03/31/2014 |
| | \$240,000 | 11/01/2014 – 03/31/2015 |
| | \$327,500 | 10/01/2015 – 03/31/2016 |
| | \$327,500 | 04/01/2016 – 03/31/2017 |
| | \$310,000 | 08/01/2017 – 03/31/2018 |
| | \$310,000 | 04/01/2018 – 03/31/2019 |
| <u>Elizabethtown Community Hospital</u> | \$410,000 | 02/01/2014 – 03/31/2014 |
| | \$240,000 | 11/01/2014 – 03/31/2015 |
| | \$327,500 | 10/01/2015 – 03/31/2016 |
| | \$327,500 | 04/01/2016 – 03/31/2017 |
| | \$310,000 | 08/01/2017 – 03/31/2018 |
| | \$310,000 | 04/01/2018 – 03/31/2019 |
| <u>Ellenville Regional Hospital</u> | \$384,800 | 02/01/2014 – 03/31/2014 |
| | \$240,000 | 11/01/2014 – 03/31/2015 |
| | \$327,500 | 10/01/2015 – 03/31/2016 |
| | \$327,500 | 04/01/2016 – 03/31/2017 |
| | \$310,000 | 08/01/2017 – 03/31/2018 |
| | \$310,000 | 04/01/2018 – 03/31/2019 |
| <u>Gouverneur Hospital, Inc.</u> | \$300,000 | 02/01/2014 – 03/31/2014 |
| | \$240,000 | 11/01/2014 – 03/31/2015 |
| | \$327,500 | 10/01/2015 – 03/31/2016 |
| | \$327,500 | 04/01/2016 – 03/31/2017 |
| | \$372,500 | 08/01/2017 – 03/31/2018 |
| | \$372,500 | 04/01/2018 – 03/31/2019 |
| <u>Lewis County General Hospital</u> | \$370,000 | 02/01/2014 – 03/31/2014 |
| | \$325,000 | 11/01/2014 – 03/31/2015 |
| | \$520,000 | 10/01/2015 – 03/31/2016 |
| | \$520,000 | 04/01/2016 – 03/31/2017 |
| | \$532,500 | 08/01/2017 – 03/31/2018 |
| | \$532,500 | 04/01/2018 – 03/31/2019 |

TN #17-0061Approval Date 08/28/2019Supersedes TN #15-0041Effective Date 08/01/2017

New York
1(q)(iv)

Hospital-Based Outpatient Services – Critical Access Hospitals (CAHs) (continued):

| <u>Provider Name</u> | <u>Gross Medicaid Rate Adjustment</u> | <u>Rate Period Effective</u> |
|--|---------------------------------------|------------------------------|
| <u>Little Falls Hospital</u> | \$342,000 | 02/01/2014 – 03/31/2014 |
| | \$240,000 | 11/01/2014 – 03/31/2015 |
| | \$327,500 | 10/01/2015 – 03/31/2016 |
| | \$327,500 | 04/01/2016 – 03/31/2017 |
| | \$372,500 | 08/01/2017 – 03/31/2018 |
| | \$372,500 | 04/01/2018 – 03/31/2019 |
| <u>Margaretville Memorial Hospital</u> | \$128,600 | 02/01/2014 – 03/31/2014 |
| | \$325,000 | 11/01/2014 – 03/31/2015 |
| | \$520,000 | 10/01/2015 – 03/31/2016 |
| | \$520,000 | 04/01/2016 – 03/31/2017 |
| | \$532,500 | 08/01/2017 – 03/31/2018 |
| | \$532,500 | 04/01/2018 – 03/31/2019 |
| <u>Medina Memorial Hospital</u> | \$480,000 | 10/01/2015 – 03/31/2016 |
| | \$480,000 | 04/01/2016 – 03/31/2017 |
| | \$432,000 | 08/01/2017 – 03/31/2018 |
| | \$432,000 | 04/01/2018 – 03/31/2019 |
| <u>Moses Ludington Hospital</u> | \$359,800 | 02/01/2014 – 03/31/2014 |
| | \$325,000 | 11/01/2014 – 03/31/2015 |
| | \$390,000 | 10/01/2015 – 03/31/2016 |
| | \$390,000 | 04/01/2016 – 03/31/2017 |
| | \$372,500 | 08/01/2017 – 03/31/2018 |
| | \$372,500 | 04/01/2018 – 03/31/2019 |
| <u>O'Connor Hospital</u> | \$363,800 | 02/01/2014 – 03/31/2014 |
| | \$240,000 | 11/01/2014 – 03/31/2015 |
| | \$327,500 | 10/01/2015 – 03/31/2016 |
| | \$327,500 | 04/01/2016 – 03/31/2017 |
| | \$310,000 | 08/01/2017 – 03/31/2018 |
| | \$310,000 | 04/01/2018 – 03/31/2019 |

TN #17-0061Approval Date 08/28/2019Supersedes TN #15-0041Effective Date 08/01/2017

**New York
1(q)(iv)(1)**

Hospital-Based Outpatient Services – Critical Access Hospitals (CAHs) (continued):

| <u>Provider Name</u> | <u>Gross Medicaid Rate Adjustment</u> | <u>Rate Period Effective</u> |
|---|--|-------------------------------------|
| <u>River Hospital</u> | \$482,000 | 02/01/2014 – 03/31/2014 |
| | \$445,000 | 11/01/2014 – 03/31/2015 |
| | \$550,000 | 10/01/2015 – 03/31/2016 |
| | \$550,000 | 04/01/2016 – 03/31/2017 |
| | \$532,500 | 08/01/2017 – 03/31/2018 |
| | \$532,500 | 04/01/2018 – 03/31/2019 |
| <u>Schuyler Hospital</u> | \$453,000 | 02/01/2014 – 03/31/2014 |
| | \$240,000 | 11/01/2014 – 03/31/2015 |
| | \$384,000 | 10/01/2015 – 03/31/2016 |
| | \$384,000 | 04/01/2016 – 03/31/2017 |
| | \$462,500 | 08/01/2017 – 03/31/2018 |
| | \$462,500 | 04/01/2018 – 03/31/2019 |
| <u>Soldiers & Sailors Memorial Hospital</u> | \$220,000 | 02/01/2014 – 03/31/2014 |
| | \$325,000 | 11/01/2014 – 03/31/2015 |
| | \$390,000 | 10/01/2015 – 03/31/2016 |
| | \$390,000 | 04/01/2016 – 03/31/2017 |
| | \$372,500 | 08/01/2017 – 03/31/2018 |
| | \$372,500 | 04/01/2018 – 03/31/2019 |

TN #17-0061

Approval Date 08/28/2019

Supersedes TN NEW

Effective Date 08/01/2017

New York
2(c)(v.2)

Hospital Outpatient Supplemental Payments – Non-government Owned or Operated General Hospitals

Effective for the period April 1, 2017 through March 31, 2018, supplemental payments are authorized for certain general hospitals for outpatient services furnished in the 2017 calendar year. Payments under this provision shall not exceed [~~\$23,636,291~~] \$22,883,791.

To receive payment under this provision, a general hospital, as defined in Attachment 4.19-A of the state plan, must meet all of the following:

- (i) must be non-government owned or operated;
- (ii) must operate an emergency room; and
- (iii) must have received an Indigent Care Pool payment for the 2017 rate year; and/or must have a facility specific projected disproportionate share hospital payment ceiling for the 2017 rate year that is greater than zero.

The amount paid to each eligible hospital shall be determined based on an allocation methodology utilizing data reported in eligible hospitals' most recent Institutional Cost Report submitted to the New York State Department of Health as of October 1, 2016:

- (a) Thirty percent of the payments under this provision shall be allocated to eligible general hospitals classified as a safety net hospital, based on each hospital's proportionate share of all safety net hospitals' Medicaid discharges for inpatient hospital services, including both Medicaid fee-for-service and managed care discharges for acute and exempt services.

For this purpose, a safety net hospital is defined as an eligible general hospital having either: a Medicaid share of total inpatient hospital discharges of at least 35%, including both fee-for-service and managed care discharges for acute and exempt services; or a Medicaid share of total discharges of at least 30%, including both fee-for-service and managed care discharges for acute and exempt services, and also providing obstetrical services.

- (b) Seventy percent of the payments under this provision shall be allocated to eligible general hospitals based on each hospital's proportionate share of all eligible hospitals' Medicaid discharges for inpatient hospital services, including both Medicaid fee-for-service and managed care discharges for acute and exempt services.

Eligible Hospitals shall receive payment under (a) and/or (b), as eligible, with each hospital's payment made in a lump sum distribution that is proportionately allocable across the hospital's share of the [~~\$350,013,436~~] \$350,032,365 in outpatient services reimbursed all eligible hospitals in the 2017 calendar year.

| | |
|--------------------------------------|---|
| TN #17-0061 _____ | Approval Date <u>08/28/2019</u> |
| Supersedes TN # 17-0040 _____ | Effective Date <u>08/01/2017</u> |